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IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF OREGON

**DEANNA L. GEIGER and JANINE M.  
NELSON; ROBERT DUEHMG and  
WILLIAM GRIESAR,**

Plaintiffs,

v.

**JOHN KITZHABER**, in his official capacity as Governor of Oregon; **ELLEN ROSENBLUM**, in her official capacity as Attorney General of Oregon; **JENNIFER WOODWARD**, in her official capacity as State Registrar, Center for Health Statistics, Oregon Health Authority; and **RANDY WALDRUFF**, in his official capacity as Multnomah County Assessor,

Defendants.

Case No. 6:13-cv-01834-MC  
(Lead Case)

STATE DEFENDANTS' NOTICE OF  
SUPPLEMENTAL AUTHORITY

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**PAUL RUMMELL and BENJAMIN WEST; LISA CHICKADONZ and CHRISTINE TANNER; BASIC RIGHTS EDUCATION FUND,**

Plaintiffs,  
v.

**JOHN KITZHABER**, in his official capacity as Governor of Oregon; **ELLEN ROSENBLUM**, in her official capacity as Attorney General of Oregon; **JENNIFER WOODWARD**, in her official capacity as State Registrar, Center for Health Statistics, Oregon Health Authority; and **RANDY WALDRUFF**, in his official capacity as Multnomah County Assessor,

Defendants.

Case No. 6:13-cv-02256-TC

The United States Supreme Court issued a decision in April in an affirmative action case, *Schuette v. Coalition to Defend Affirmative Action*, No. 12-686. Litigants in other cases have recently suggested that the *Schuette* reasoning should apply to constitutional challenges to same-sex marriage bans. Accordingly, state defendants respectfully submit a copy of the decision (Exhibit 1) and copies of the arguments made by counsel for Utah (Exhibit 2), Oklahoma (Exhibit 3), and one Virginia defendant (Exhibit 4).

In the *Schuette* decision, the Supreme Court upheld a Michigan constitutional amendment that prohibited the consideration of race-based preferences in college admission. In doing so, a plurality of the Court noted its reluctance to foreclose public discourse on difficult issues or to upend the decisions of the voting public:

One of those premises is that a democracy has the capacity — and the duty — to rise above [its] flaws and injustices. That process is impeded, not advanced, by court decrees based on the proposition that the public cannot have the requisite repose to discuss certain issues. It is demeaning to the democratic process to presume that the voters are not capable of deciding an issue of this sensitivity on decent and rational grounds.”

*See Exhibit 1, slip op. at 15.*

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SP3/cjw/5286635-v1

Counsel for the Utah state defendants argued last week, in a letter to the Tenth Circuit, that *Schuette* “squarely held that a state’s voters can ban preferences, and in so doing reinforced Utah’s points about the importance of letting the people make difficult policy choices through democratic means.” (See Ex. 2, p.1.) Oklahoma’s lawyers likewise argued that “*Schuette* supports Smith’s argument that the People should be free to decide difficult policy questions through the political process. The plurality opinion, authored by Justice Kennedy, stressed the People’s right to ‘shap[e] the destiny of their own times’ on sensitive policy matters.” (See Ex. 3, p. 1) (citations omitted). Both sets of lawyers urged the Tenth Circuit to conclude that the states’ voters had “appropriately exercised their ‘fundamental right’ to collective democratic action when they decided to preserve the man-woman definition that pre-dates government itself.” (See, e.g., Ex. 2, p. 2.)

The remaining Virginia defendant in *Bostic v. Schaefer* also argued that *Schuette* favors upholding Virginia’s ban on same-sex marriage, in a Fourth Circuit brief filed last week. “Taking difficult policy questions away from the electorate and out of the democratic process “is inconsistent with the underlying premises of a responsible, functioning democracy.” (See Ex. 4, p. 17.) *Schuette*, he argued, “further entrenches the concept that there is no compelling federal question presented when the issue, such as the States’ right to define marriage, is a significant and contentious policy question best left to the democratic process and the First Amendment rights of all citizens.”

But all of those analyses presume that preventing same-sex couples from marrying each other, solely on the basis of their choice of partner, is nothing more than a policy decision. The plaintiffs in this case—as in the other cases—are not asking this Court to rule on a policy choice. They are asking this Court to rule on their constitutional rights. And the Supreme Court was careful to note that its holding does not extend to those laws that “expressly authoriz[e] and constitutionaliz[e]” the right to discriminate. Slip op. at 8 (citing *Reitman v. Mulkey*, 387 U.S. 369 (1967)). Thus, where a law or government action is undertaken “with the invidious intent to

injure" a protected class of people, that law cannot stand, notwithstanding the fact that it had the support of a majority of the voters. Slip op. at 9-10.

And, of course, no law can be shielded from due process or equal protection analysis simply by virtue of the mechanism by which it was passed. Legislatures are also presumed to be capable of deciding policy questions. The resulting laws are still, and always, subordinate to the United States Constitution.

Here, Measure 36 unquestionably was passed to discriminate against a group of citizens on the basis of their sexual orientation. *Cf. United States v. Windsor*, 133 S. Ct. 2675, 2693 (2013) ("The avowed purpose and practical effect of the law here in question are to impose a disadvantage, a separate status, and so a stigma upon" same-sex couples). Thus, notwithstanding the fact that a majority of the voters supported its enactment, the democratic process cannot be used in support of invidious discrimination against same-sex couples who wish to marry.

*Schuette* should have no impact on this Court's decision.

DATED May 8, 2014.

Respectfully submitted,

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(Slip Opinion)

OCTOBER TERM, 2013

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Syllabus

NOTE: Where it is feasible, a syllabus (headnote) will be released, as is being done in connection with this case, at the time the opinion is issued. The syllabus constitutes no part of the opinion of the Court but has been prepared by the Reporter of Decisions for the convenience of the reader. See *United States v. Detroit Timber & Lumber Co.*, 200 U. S. 321, 337.

**SUPREME COURT OF THE UNITED STATES**

Syllabus

SCHUETTE, ATTORNEY GENERAL OF MICHIGAN *v.*  
COALITION TO DEFEND AFFIRMATIVE ACTION,  
INTEGRATION AND IMMIGRATION RIGHTS AND  
FIGHT FOR EQUALITY BY ANY MEANS NECESSARY  
(BAMN) ET AL.

CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR  
THE SIXTH CIRCUIT

No. 12-682. Argued October 15, 2013—Decided April 22, 2014

After this Court decided that the University of Michigan's undergraduate admissions plan's use of race-based preferences violated the Equal Protection Clause, *Gratz v. Bollinger*, 539 U. S. 244, 270, but that the law school admission plan's more limited use did not, *Grutter v. Bollinger*, 539 U. S. 306, 343, Michigan voters adopted Proposal 2, now Art. I, §26, of the State Constitution, which, as relevant here, prohibits the use of race-based preferences as part of the admissions process for state universities. In consolidated challenges, the District Court granted summary judgment to Michigan, thus upholding Proposal 2, but the Sixth Circuit reversed, concluding that the proposal violated the principles of *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457.

*Held:* The judgment is reversed.

701 F. 3d 466, reversed.

JUSTICE KENNEDY, joined by THE CHIEF JUSTICE and JUSTICE ALITO, concluded that there is no authority in the Federal Constitution or in this Court's precedents for the Judiciary to set aside Michigan laws that commit to the voters the determination whether racial preferences may be considered in governmental decisions, in particular with respect to school admissions. Pp. 4–18.

(a) This case is not about the constitutionality, or the merits, of race-conscious admissions policies in higher education. Here, the principle that the consideration of race in admissions is permissible

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when certain conditions are met is not being challenged. Rather, the question concerns whether, and in what manner, voters in the States may choose to prohibit the consideration of such racial preferences. Where States have prohibited race-conscious admissions policies, universities have responded by experimenting “with a wide variety of alternative approaches.” *Grutter, supra*, at 342. The decision by Michigan voters reflects the ongoing national dialogue about such practices. Pp. 4–5.

(b) The Sixth Circuit’s determination that *Seattle* controlled here extends *Seattle*’s holding in a case presenting quite different issues to reach a mistaken conclusion. Pp. 5–18.

(1) It is necessary to consider first the relevant cases preceding *Seattle* and the background against which *Seattle* arose. Both *Reitman v. Mulkey*, 387 U. S. 369, and *Hunter v. Erickson*, 393 U. S. 385, involved demonstrated injuries on the basis of race that, by reasons of state encouragement or participation, became more aggravated. In *Mulkey*, a voter-enacted amendment to the California Constitution prohibiting state legislative interference with an owner’s prerogative to decline to sell or rent residential property on any basis barred the challenging parties, on account of race, from invoking the protection of California’s statutes, thus preventing them from leasing residential property. In *Hunter*, voters overturned an Akron ordinance that was enacted to address widespread racial discrimination in housing sales and rentals had forced many to live in “‘unhealthful, unsafe, unsanitary and overcrowded’” segregated housing, 393 U. S., at 391. In *Seattle*, after the school board adopted a mandatory busing program to alleviate racial isolation of minority students in local schools, voters passed a state initiative that barred busing to desegregate. This Court found that the state initiative had the “practical effect” of removing “the authority to address a racial problem . . . from the existing decisionmaking body, in such a way as to burden minority interests” of busing advocates who must now “seek relief from the state legislature, or from the statewide electorate.” 458 U. S., at 474. Pp. 5–8.

(2) *Seattle* is best understood as a case in which the state action had the serious risk, if not purpose, of causing specific injuries on account of race as had been the case in *Mulkey* and *Hunter*. While there had been no judicial finding of *de jure* segregation with respect to Seattle’s school district, a finding that would be required today, see *Parents Involved in Community Schools v. Seattle School Dist. No. 1*, 551 U. S. 701, 720–721, *Seattle* must be understood as *Seattle* understood itself, as a case in which neither the State nor the United States “challenge[d] the propriety of race-conscious student assignments for the purpose of achieving integration, even absent a finding

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of prior *de jure* segregation.” 458 U. S. at 472, n. 15.

*Seattle*’s broad language, however, went well beyond the analysis needed to resolve the case. Seizing upon the statement in Justice Harlan’s concurrence in *Hunter* that the procedural change in that case had “the clear purpose of making it more difficult for certain racial and religious minorities to achieve legislation that is in their interest,” 385 U. S., at 395, the *Seattle* Court established a new and far-reaching rationale: Where a government policy “inures primarily to the benefit of the minority” and “minorities . . . consider” the policy to be “in their interest,” then any state action that “place[s] effective decisionmaking authority over” that policy “at a different level of government” is subject to strict scrutiny. 458 U. S., at 472, 474. Pp. 8–11.

(3) To the extent *Seattle* is read to require the Court to determine and declare which political policies serve the “interest” of a group defined in racial terms, that rationale was unnecessary to the decision in *Seattle*; it has no support in precedent; and it raises serious equal protection concerns. In cautioning against “impermissible racial stereotypes,” this Court has rejected the assumption that all individuals of the same race think alike, see *Shaw v. Reno*, 509 U. S. 630, 647, but that proposition would be a necessary beginning point were the *Seattle* formulation to control. And if it were deemed necessary to probe how some races define their own interest in political matters, still another beginning point would be to define individuals according to race. Such a venture would be undertaken with no clear legal standards or accepted sources to guide judicial decision. It would also result in, or impose a high risk of, inquiries and categories dependent upon demeaning stereotypes, classifications of questionable constitutionality on their own terms. Assuming these steps could be taken, the court would next be required to determine the policy realms in which groups defined by race had a political interest. That undertaking, again without guidance from accepted legal standards, would risk the creation of incentives for those who support or oppose certain policies to cast the debate in terms of racial advantage or disadvantage. Adoption of the *Seattle* formulation could affect any number of laws or decisions, involving, e.g., tax policy or housing subsidies. And racial division would be validated, not discouraged.

It can be argued that objections to the larger consequences of the *Seattle* formulation need not be confronted here, for race was an undoubtedly subject of the ballot issue. But other problems raised by *Seattle*, such as racial definitions, still apply. And the principal flaw in the Sixth Circuit’s decision remains: Here there was no infliction of a specific injury of the kind at issue in *Mulkey* and *Hunter* and in the history of the Seattle schools, and there is no precedent for extending

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these cases to restrict the right of Michigan voters to determine that race-based preferences granted by state entities should be ended. The Sixth Circuit's judgment also calls into question other States' long-settled rulings on policies similar to Michigan's.

Unlike the injuries in *Mulkey*, *Hunter*, and *Seattle*, the question here is not how to address or prevent injury caused on account of race but whether voters may determine whether a policy of race-based preferences should be continued. By approving Proposal 2 and thereby adding §26 to their State Constitution, Michigan voters exercised their privilege to enact laws as a basic exercise of their democratic power, bypassing public officials they deemed not responsive to their concerns about a policy of granting race-based preferences. The mandate for segregated schools, *Brown v. Board of Education*, 347 U. S. 483, and scores of other examples teach that individual liberty has constitutional protection. But this Nation's constitutional system also embraces the right of citizens to speak and debate and learn and then, as a matter of political will, to act through a lawful electoral process, as Michigan voters have done here. These precepts are not inconsistent with the well-established principle that when hurt or injury is inflicted on racial minorities by the encouragement or command of laws or other state action, the Constitution requires redress by the courts. Such circumstances were present in *Mulkey*, *Hunter*, and *Seattle*, but they are not present here. Pp. 11–18.

JUSTICE SCALIA, joined by JUSTICE THOMAS, agreed that §26 rightly stands, though not because it passes muster under the political-process doctrine. It likely does not, but the cases establishing that doctrine should be overruled. They are patently atextual, unadministrable, and contrary to this Court's traditional equal protection jurisprudence. The question here, as in every case in which neutral state action is said to deny equal protection on account of race, is whether the challenged action reflects a racially discriminatory purpose. It plainly does not. Pp. 1–18.

(a) The Court of Appeals for the Sixth Circuit held §26 unconstitutional under the so-called political-process doctrine, derived from *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457, and *Hunter v. Erickson*, 393 U. S. 385. In those cases, one level of government exercised borrowed authority over an apparently "racial issue" until a higher level of government called the loan. This Court deemed each revocation an equal-protection violation, without regard to whether there was evidence of an invidious purpose to discriminate. The relentless, radical logic of *Hunter* and *Seattle* would point to a similar conclusion here, as in so many other cases. Pp. 3–7.

(b) The problems with the political-process doctrine begin with its triggering prong, which assigns to a court the task of determining

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whether a law that reallocates policymaking authority concerns a “racial issue,” *Seattle*, 458 U. S., at 473, *i.e.*, whether adopting one position on the question would “at bottom inur[e] primarily to the benefit of the minority, and is designed for that purpose,” *id.*, at 472. Such freeform judicial musing into ethnic and racial “interests” involves judges in the dirty business of dividing the Nation “into racial blocs,” *Metro Broadcasting, Inc. v. FCC*, 497 U. S. 547, 603, 610 (O’Connor, J., dissenting), and promotes racial stereotyping, see *Shaw v. Reno*, 509 U. S. 630, 647. More fundamentally, the analysis misreads the Equal Protection Clause to protect particular groups, a construction that has been repudiated in a “long line of cases understanding equal protection as a personal right.” *Adarand Constructors, Inc. v. Peña*, 515 U. S. 200, 224, 230. Pp. 7–12.

(c) The second part of the *Hunter-Seattle* analysis directs a court to determine whether the challenged act “place[s] effective decisionmaking authority over [the] racial issue at a different level of government,” *Seattle*, *supra*, at 474; but, in another line of cases, the Court has emphasized the near-limitless sovereignty of each State to design its governing structure as it sees fit, see, *e.g.*, *Holt Civic Club v. Tuscaloosa*, 439 U. S. 60, 71. Taken to the limits of its logic, *Hunter-Seattle* is the gaping exception that nearly swallows the rule of structural state sovereignty, which would seem to permit a State to give certain powers to cities, later assign the same powers to counties, and even reclaim them for itself. Pp. 12–15.

(d) *Hunter* and *Seattle* also endorse a version of the proposition that a facially neutral law may deny equal protection solely because it has a disparate racial impact. That equal-protection theory has been squarely and soundly rejected by an “unwavering line of cases” holding “that a violation of the Equal Protection Clause requires state action motivated by discriminatory intent,” *Hernandez v. New York*, 500 U. S. 352, 372–373 (O’Connor, J., concurring in judgment), and that “official action will not be held unconstitutional solely because it results in a racially disproportionate impact,” *Arlington Heights v. Metropolitan Housing Development Corp.*, 429 U. S. 252, 264–265. Respondents cannot prove that the action here reflects a racially discriminatory purpose, for any law expressly requiring state actors to afford all persons equal protection of the laws does not—*cannot*—deny “to any person . . . equal protection of the laws,” U. S. Const., Amdt. 14, §1. Pp. 15–17.

JUSTICE BREYER agreed that the amendment is consistent with the Equal Protection Clause, but for different reasons. First, this case addresses the amendment only as it applies to, and forbids, race-conscious admissions programs that consider race solely in order to obtain the educational benefits of a diverse student body. Second, the

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Constitution permits, but does not require, the use of the kind of race-conscious programs now barred by the Michigan Constitution. It foresees the ballot box, not the courts, as the normal instrument for resolving debates about the merits of these programs. Third, *Hunter v. Erickson*, 393 U. S. 385, and *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457, which reflect the important principle that an individual's ability to participate meaningfully in the political process should be independent of his race, do not apply here. Those cases involved a restructuring of the political process that changed the political level at which policies were enacted, while this case involves an amendment that took decisionmaking authority away from unelected actors and placed it in the hands of the voters. Hence, this case does not involve a diminution of the minority's ability to participate in the political process. Extending the holding of *Hunter* and *Seattle* to situations where decisionmaking authority is moved from an administrative body to a political one would also create significant difficulties, given the nature of the administrative process. Furthermore, the principle underlying *Hunter* and *Seattle* runs up against a competing principle favoring decisionmaking through the democratic process. Pp. 1–6.

KENNEDY, J., announced the judgment of the Court and delivered an opinion, in which ROBERTS, C. J., and ALITO, J., joined. ROBERTS, C. J., filed a concurring opinion. SCALIA, J., filed an opinion concurring in the judgment, in which THOMAS, J., joined. BREYER, J., filed an opinion concurring in the judgment. SOTOMAYOR, J., filed a dissenting opinion, in which GINSBURG, J., joined. KAGAN, J., took no part in the consideration or decision of the case.

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Opinion of KENNEDY, J.

NOTICE: This opinion is subject to formal revision before publication in the preliminary print of the United States Reports. Readers are requested to notify the Reporter of Decisions, Supreme Court of the United States, Washington, D. C. 20543, of any typographical or other formal errors, in order that corrections may be made before the preliminary print goes to press.

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No. 12-682

BILL SCHUETTE, ATTORNEY GENERAL OF MICHIGAN, PETITIONER v. COALITION TO DEFEND AFFIRMATIVE ACTION, INTEGRATION AND IMMIGRANT RIGHTS AND FIGHT FOR EQUALITY BY ANY MEANS NECESSARY (BAMN), ET AL.

ON WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

[April 22, 2014]

JUSTICE KENNEDY announced the judgment of the Court and delivered an opinion, in which THE CHIEF JUSTICE and JUSTICE ALITO join.

The Court in this case must determine whether an amendment to the Constitution of the State of Michigan, approved and enacted by its voters, is invalid under the Equal Protection Clause of the Fourteenth Amendment to the Constitution of the United States.

In 2003 the Court reviewed the constitutionality of two admissions systems at the University of Michigan, one for its undergraduate class and one for its law school. The undergraduate admissions plan was addressed in *Gratz v. Bollinger*, 539 U. S. 244. The law school admission plan was addressed in *Grutter v. Bollinger*, 539 U. S. 306. Each admissions process permitted the explicit consideration of an applicant's race. In *Gratz*, the Court invalidated the undergraduate plan as a violation of the Equal Protection Clause. 539 U. S., at 270. In *Grutter*, the Court found no

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constitutional flaw in the law school admission plan's more limited use of race-based preferences. 539 U. S., at 343.

In response to the Court's decision in *Gratz*, the university revised its undergraduate admissions process, but the revision still allowed limited use of race-based preferences. After a statewide debate on the question of racial preferences in the context of governmental decisionmaking, the voters, in 2006, adopted an amendment to the State Constitution prohibiting state and other governmental entities in Michigan from granting certain preferences, including race-based preferences, in a wide range of actions and decisions. Under the terms of the amendment, race-based preferences cannot be part of the admissions process for state universities. That particular prohibition is central to the instant case.

The ballot proposal was called Proposal 2 and, after it passed by a margin of 58 percent to 42 percent, the resulting enactment became Article I, §26, of the Michigan Constitution. As noted, the amendment is in broad terms. Section 26 states, in relevant part, as follows:

“(1) The University of Michigan, Michigan State University, Wayne State University, and any other public college or university, community college, or school district shall not discriminate against, or grant preferential treatment to, any individual or group on the basis of race, sex, color, ethnicity, or national origin in the operation of public employment, public education, or public contracting.

“(2) The state shall not discriminate against, or grant preferential treatment to, any individual or group on the basis of race, sex, color, ethnicity, or national origin in the operation of public employment, public education, or public contracting.

“(3) For the purposes of this section ‘state’ includes,

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but is not necessarily limited to, the state itself, any city, county, any public college, university, or community college, school district, or other political subdivision or governmental instrumentality of or within the State of Michigan not included in sub-section 1.”

Section 26 was challenged in two cases. Among the plaintiffs in the suits were the Coalition to Defend Affirmative Action, Integration and Immigrant Rights and Fight for Equality By Any Means Necessary (BAMN); students; faculty; and prospective applicants to Michigan public universities. The named defendants included then-Governor Jennifer Granholm, the Board of Regents of the University of Michigan, the Board of Trustees of Michigan State University, and the Board of Governors of Wayne State University. The Michigan Attorney General was granted leave to intervene as a defendant. The United States District Court for the Eastern District of Michigan consolidated the cases.

In 2008, the District Court granted summary judgment to Michigan, thus upholding Proposal 2. *BAMN v. Regents of Univ. of Mich.*, 539 F. Supp. 2d 924. The District Court denied a motion to reconsider the grant of summary judgment. 592 F. Supp. 2d 948. A panel of the United States Court of Appeals for the Sixth Circuit reversed the grant of summary judgment. 652 F. 3d 607 (2011). Judge Gibbons dissented from that holding. *Id.*, at 633–646. The panel majority held that Proposal 2 had violated the principles elaborated by this Court in *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457 (1982), and in the cases that *Seattle* relied upon.

The Court of Appeals, sitting en banc, agreed with the panel decision. 701 F. 3d 466 (CA6 2012). The majority opinion determined that *Seattle* “mirrors the [case] before us.” *Id.*, at 475. Seven judges dissented in a number of opinions. The Court granted certiorari. 568 U. S. \_\_\_\_

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(2013).

Before the Court addresses the question presented, it is important to note what this case is not about. It is not about the constitutionality, or the merits, of race-conscious admissions policies in higher education. The consideration of race in admissions presents complex questions, in part addressed last Term in *Fisher v. University of Texas at Austin*, 570 U. S. — (2013). In *Fisher*, the Court did not disturb the principle that the consideration of race in admissions is permissible, provided that certain conditions are met. In this case, as in *Fisher*, that principle is not challenged. The question here concerns not the permissibility of race-conscious admissions policies under the Constitution but whether, and in what manner, voters in the States may choose to prohibit the consideration of racial preferences in governmental decisions, in particular with respect to school admissions.

This Court has noted that some States have decided to prohibit race-conscious admissions policies. In *Grutter*, the Court noted: “Universities in California, Florida, and Washington State, where racial preferences in admissions are prohibited by state law, are currently engaged in experimenting with a wide variety of alternative approaches. Universities in other States can and should draw on the most promising aspects of these race-neutral alternatives as they develop.” 539 U. S., at 342 (citing *United States v. Lopez*, 514 U. S. 549, 581 (1995) (KENNEDY, J., concurring) (“[T]he States may perform their role as laboratories for experimentation to devise various solutions where the best solution is far from clear”)). In this way, *Grutter* acknowledged the significance of a dialogue regarding this contested and complex policy question among and within States. There was recognition that our federal structure “permits ‘innovation and experimentation’” and “enables greater citizen ‘involvement in democratic processes.’” *Bond v. United*

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*States*, 564 U. S. \_\_\_, \_\_\_ (2011) (slip op., at 9) (quoting *Gregory v. Ashcroft*, 501 U. S. 452, 458 (1991)). While this case arises in Michigan, the decision by the State’s voters reflects in part the national dialogue regarding the wisdom and practicality of race-conscious admissions policies in higher education. See, e.g., *Coalition for Economic Equity v. Wilson*, 122 F. 3d 692 (CA9 1997).

In Michigan, the State Constitution invests independent boards of trustees with plenary authority over public universities, including admissions policies. Mich. Const., Art. VIII, §5; see also *Federated Publications, Inc. v. Board of Trustees of Mich. State Univ.*, 460 Mich. 75, 86–87, 594 N. W. 2d 491, 497 (1999). Although the members of the boards are elected, some evidence in the record suggests they delegated authority over admissions policy to the faculty. But whether the boards or the faculty set the specific policy, Michigan’s public universities did consider race as a factor in admissions decisions before 2006.

In holding §26 invalid in the context of student admissions at state universities, the Court of Appeals relied in primary part on *Seattle*, *supra*, which it deemed to control the case. But that determination extends *Seattle*’s holding in a case presenting quite different issues to reach a conclusion that is mistaken here. Before explaining this further, it is necessary to consider the relevant cases that preceded *Seattle* and the background against which *Seattle* itself arose.

Though it has not been prominent in the arguments of the parties, this Court’s decision in *Reitman v. Mulkey*, 387 U. S. 369 (1967), is a proper beginning point for discussing the controlling decisions. In *Mulkey*, voters amended the California Constitution to prohibit any state legislative interference with an owner’s prerogative to decline to sell or rent residential property on any basis. Two different cases gave rise to *Mulkey*. In one a couple could not rent an apartment, and in the other a couple

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were evicted from their apartment. Those adverse actions were on account of race. In both cases the complaining parties were barred, on account of race, from invoking the protection of California's statutes; and, as a result, they were unable to lease residential property. This Court concluded that the state constitutional provision was a denial of equal protection. The Court agreed with the California Supreme Court that the amendment operated to insinuate the State into the decision to discriminate by encouraging that practice. The Court noted the "immediate design and intent" of the amendment was to "establis[h] a purported constitutional right to privately discriminate." *Id.*, at 374 (internal quotation marks omitted and emphasis deleted). The Court agreed that the amendment "expressly authorized and constitutionalized the private right to discriminate." *Id.*, at 376. The effect of the state constitutional amendment was to "significantly encourage and involve the State in private racial discriminations." *Id.*, at 381. In a dissent joined by three other Justices, Justice Harlan disagreed with the majority's holding. *Id.*, at 387. The dissent reasoned that California, by the action of its voters, simply wanted the State to remain neutral in this area, so that the State was not a party to discrimination. *Id.*, at 389. That dissenting voice did not prevail against the majority's conclusion that the state action in question encouraged discrimination, causing real and specific injury.

The next precedent of relevance, *Hunter v. Erickson*, 393 U. S. 385 (1969), is central to the arguments the respondents make in the instant case. In *Hunter*, the Court for the first time elaborated what the Court of Appeals here styled the "political process" doctrine. There, the Akron City Council found that the citizens of Akron consisted of "people of different race[s], . . . many of whom live in circumscribed and segregated areas, under sub-standard unhealthful, unsafe, unsanitary and overcrowded condi-

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tions, because of discrimination in the sale, lease, rental and financing of housing.” *Id.*, at 391. To address the problem, Akron enacted a fair housing ordinance to prohibit that sort of discrimination. In response, voters amended the city charter to overturn the ordinance and to require that any additional antidiscrimination housing ordinance be approved by referendum. But most other ordinances “regulating the real property market” were not subject to those threshold requirements. *Id.*, at 390. The plaintiff, a black woman in Akron, Ohio, alleged that her real estate agent could not show her certain residences because the owners had specified they would not sell to black persons.

Central to the Court’s reasoning in *Hunter* was that the charter amendment was enacted in circumstances where widespread racial discrimination in the sale and rental of housing led to segregated housing, forcing many to live in “unhealthful, unsafe, unsanitary and overcrowded conditions.” *Id.*, at 391. The Court stated: “It is against this background that the referendum required by [the charter amendment] must be assessed.” *Ibid.* Akron attempted to characterize the charter amendment “simply as a public decision to move slowly in the delicate area of race relations” and as a means “to allow the people of Akron to participate” in the decision. *Id.*, at 392. The Court rejected Akron’s flawed “justifications for its discrimination,” justifications that by their own terms had the effect of acknowledging the targeted nature of the charter amendment. *Ibid.* The Court noted, furthermore, that the charter amendment was unnecessary as a general means of public control over the city council; for the people of Akron already were empowered to overturn ordinances by referendum. *Id.*, at 390, n. 6. The Court found that the city charter amendment, by singling out antidiscrimination ordinances, “places special burden on racial minorities within the governmental process,” thus becoming as im-

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permissible as any other government action taken with the invidious intent to injure a racial minority. *Id.*, at 391. Justice Harlan filed a concurrence. He argued the city charter amendment “has the clear purpose of making it more difficult for certain racial and religious minorities to achieve legislation that is in their interest.” *Id.*, at 395. But without regard to the sentence just quoted, *Hunter* rests on the unremarkable principle that the State may not alter the procedures of government to target racial minorities. The facts in *Hunter* established that invidious discrimination would be the necessary result of the procedural restructuring. Thus, in *Mulkey* and *Hunter*, there was a demonstrated injury on the basis of race that, by reasons of state encouragement or participation, became more aggravated.

*Seattle* is the third case of principal relevance here. There, the school board adopted a mandatory busing program to alleviate racial isolation of minority students in local schools. Voters who opposed the school board’s busing plan passed a state initiative that barred busing to desegregate. The Court first determined that, although “white as well as Negro children benefit from” diversity, the school board’s plan “inures primarily to the benefit of the minority.” 458 U. S., at 472. The Court next found that “the practical effect” of the state initiative was to “remov[e] the authority to address a racial problem—and only a racial problem—from the existing decisionmaking body, in such a way as to burden minority interests” because advocates of busing “now must seek relief from the state legislature, or from the statewide electorate.” *Id.*, at 474. The Court therefore found that the initiative had “explicitly us[ed] the racial nature of a decision to determine the decisionmaking process.” *Id.*, at 470 (emphasis deleted).

*Seattle* is best understood as a case in which the state action in question (the bar on busing enacted by the

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State's voters) had the serious risk, if not purpose, of causing specific injuries on account of race, just as had been the case in *Mulkey* and *Hunter*. Although there had been no judicial finding of *de jure* segregation with respect to Seattle's school district, it appears as though school segregation in the district in the 1940's and 1950's may have been the partial result of school board policies that "permitted white students to transfer out of black schools while restricting the transfer of black students into white schools." *Parents Involved in Community Schools v. Seattle School Dist. No. 1*, 551 U. S. 701, 807–808 (2007) (BREYER, J., dissenting). In 1977, the National Association for the Advancement of Colored People (NAACP) filed a complaint with the Office for Civil Rights, a federal agency. The NAACP alleged that the school board had maintained a system of *de jure* segregation. Specifically, the complaint alleged "that the Seattle School Board had created or perpetuated unlawful racial segregation through, *e.g.*, certain school-transfer criteria, a construction program that needlessly built new schools in white areas, district line-drawing criteria, the maintenance of inferior facilities at black schools, the use of explicit racial criteria in the assignment of teachers and other staff, and a general pattern of delay in respect to the implementation of promised desegregation efforts." *Id.*, at 810. As part of a settlement with the Office for Civil Rights, the school board implemented the "Seattle Plan," which used busing and mandatory reassessments between elementary schools to reduce racial imbalance and which was the subject of the state initiative at issue in *Seattle*. See 551 U. S., at 807–812.

As this Court held in *Parents Involved*, the school board's purported remedial action would not be permissible today absent a showing of *de jure* segregation. *Id.*, at 720–721. That holding prompted JUSTICE BREYER to observe in dissent, as noted above, that one permissible

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reading of the record was that the school board had maintained policies to perpetuate racial segregation in the schools. In all events we must understand *Seattle* as *Seattle* understood itself, as a case in which neither the State nor the United States “challenge[d] the propriety of race-conscious student assignments for the purpose of achieving integration, even absent a finding of prior *de jure* segregation.” 458 U. S. at 472, n. 15. In other words the legitimacy and constitutionality of the remedy in question (busing for desegregation) was assumed, and *Seattle* must be understood on that basis. *Ibid.* *Seattle* involved a state initiative that “was carefully tailored to interfere only with desegregative busing.” *Id.*, at 471. The *Seattle* Court, accepting the validity of the school board’s busing remedy as a predicate to its analysis of the constitutional question, found that the State’s disapproval of the school board’s busing remedy was an aggravation of the very racial injury in which the State itself was complicit.

The broad language used in *Seattle*, however, went well beyond the analysis needed to resolve the case. The Court there seized upon the statement in Justice Harlan’s concurrence in *Hunter* that the procedural change in that case had “the clear purpose of making it more difficult for certain racial and religious minorities to achieve legislation that is in their interest.” 385 U. S., at 395. That language, taken in the context of the facts in *Hunter*, is best read simply to describe the necessity for finding an equal protection violation where specific injuries from hostile discrimination were at issue. The *Seattle* Court, however, used the language from the *Hunter* concurrence to establish a new and far-reaching rationale. *Seattle* stated that where a government policy “inures primarily to the benefit of the minority” and “minorities . . . consider” the policy to be “‘in their interest,’” then any state action that “place[s] effective decisionmaking authority over” that policy “at a different level of government” must

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be reviewed under strict scrutiny. 458 U. S., at 472, 474. In essence, according to the broad reading of *Seattle*, any state action with a “racial focus” that makes it “more difficult for certain racial minorities than for other groups” to “achieve legislation that is in their interest” is subject to strict scrutiny. It is this reading of *Seattle* that the Court of Appeals found to be controlling here. And that reading must be rejected.

The broad rationale that the Court of Appeals adopted goes beyond the necessary holding and the meaning of the precedents said to support it; and in the instant case neither the formulation of the general rule just set forth nor the precedents cited to authenticate it suffice to invalidate Proposal 2. The expansive reading of *Seattle* has no principled limitation and raises serious questions of compatibility with the Court’s settled equal protection jurisprudence. To the extent *Seattle* is read to require the Court to determine and declare which political policies serve the “interest” of a group defined in racial terms, that rationale was unnecessary to the decision in *Seattle*; it has no support in precedent; and it raises serious constitutional concerns. That expansive language does not provide a proper guide for decisions and should not be deemed authoritative or controlling. The rule that the Court of Appeals elaborated and respondents seek to establish here would contradict central equal protection principles.

In cautioning against “impermissible racial stereotypes,” this Court has rejected the assumption that “members of the same racial group—regardless of their age, education, economic status, or the community in which they live—think alike, share the same political interests, and will prefer the same candidates at the polls.” *Shaw v. Reno*, 509 U. S. 630, 647 (1993); see also *Metro Broadcasting, Inc. v. FCC*, 497 U. S. 547, 636 (1990) (KENNEDY, J., dissenting) (rejecting the “demeaning notion that members of . . . defined racial groups ascribe to certain ‘minority views’

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that must be different from those of other citizens"). It cannot be entertained as a serious proposition that all individuals of the same race think alike. Yet that proposition would be a necessary beginning point were the *Seattle* formulation to control, as the Court of Appeals held it did in this case. And if it were deemed necessary to probe how some races define their own interest in political matters, still another beginning point would be to define individuals according to race. But in a society in which those lines are becoming more blurred, the attempt to define race-based categories also raises serious questions of its own. Government action that classifies individuals on the basis of race is inherently suspect and carries the danger of perpetuating the very racial divisions the polity seeks to transcend. Cf. *Ho v. San Francisco Unified School Dist.*, 147 F. 3d 854, 858 (CA9 1998) (school district delineating 13 racial categories for purposes of racial balancing). Were courts to embark upon this venture not only would it be undertaken with no clear legal standards or accepted sources to guide judicial decision but also it would result in, or at least impose a high risk of, inquiries and categories dependent upon demeaning stereotypes, classifications of questionable constitutionality on their own terms.

Even assuming these initial steps could be taken in a manner consistent with a sound analytic and judicial framework, the court would next be required to determine the policy realms in which certain groups—groups defined by race—have a political interest. That undertaking, again without guidance from any accepted legal standards, would risk, in turn, the creation of incentives for those who support or oppose certain policies to cast the debate in terms of racial advantage or disadvantage. Thus could racial antagonisms and conflict tend to arise in the context of judicial decisions as courts undertook to announce what particular issues of public policy should be classified as advantageous to some group defined by race.

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This risk is inherent in adopting the *Seattle* formulation.

There would be no apparent limiting standards defining what public policies should be included in what *Seattle* called policies that “inur[e] primarily to the benefit of the minority” and that “minorities . . . consider” to be “in their interest.” 458 U. S., at 472, 474. Those who seek to represent the interests of particular racial groups could attempt to advance those aims by demanding an equal protection ruling that any number of matters be foreclosed from voter review or participation. In a nation in which governmental policies are wide ranging, those who seek to limit voter participation might be tempted, were this Court to adopt the *Seattle* formulation, to urge that a group they choose to define by race or racial stereotypes are advantaged or disadvantaged by any number of laws or decisions. Tax policy, housing subsidies, wage regulations, and even the naming of public schools, highways, and monuments are just a few examples of what could become a list of subjects that some organizations could insist should be beyond the power of voters to decide, or beyond the power of a legislature to decide when enacting limits on the power of local authorities or other governmental entities to address certain subjects. Racial division would be validated, not discouraged, were the *Seattle* formulation, and the reasoning of the Court of Appeals in this case, to remain in force.

Perhaps, when enacting policies as an exercise of democratic self-government, voters will determine that race-based preferences should be adopted. The constitutional validity of some of those choices regarding racial preferences is not at issue here. The holding in the instant case is simply that the courts may not disempower the voters from choosing which path to follow. In the realm of policy discussions the regular give-and-take of debate ought to be a context in which rancor or discord based on race are avoided, not invited. And if these factors are to be inter-

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jected, surely it ought not to be at the invitation or insistence of the courts.

One response to these concerns may be that objections to the larger consequences of the *Seattle* formulation need not be confronted in this case, for here race was an undoubted subject of the ballot issue. But a number of problems raised by *Seattle*, such as racial definitions, still apply. And this principal flaw in the ruling of the Court of Appeals does remain: Here there was no infliction of a specific injury of the kind at issue in *Mulkey* and *Hunter* and in the history of the Seattle schools. Here there is no precedent for extending these cases to restrict the right of Michigan voters to determine that race-based preferences granted by Michigan governmental entities should be ended.

It should also be noted that the judgment of the Court of Appeals in this case of necessity calls into question other long-settled rulings on similar state policies. The California Supreme Court has held that a California constitutional amendment prohibiting racial preferences in public contracting does not violate the rule set down by *Seattle*. *Coral Constr., Inc. v. City and County of San Francisco*, 50 Cal. 4th 315, 235 P. 3d 947 (2010). The Court of Appeals for the Ninth Circuit has held that the same amendment, which also barred racial preferences in public education, does not violate the Equal Protection Clause. *Wilson*, 122 F. 3d 692 (1997). If the Court were to affirm the essential rationale of the Court of Appeals in the instant case, those holdings would be invalidated, or at least would be put in serious question. The Court, by affirming the judgment now before it, in essence would announce a finding that the past 15 years of state public debate on this issue have been improper. And were the argument made that *Coral* might still stand because it involved racial preferences in public contracting while this case concerns racial preferences in university admissions, the implication would be

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that the constitutionality of laws forbidding racial preferences depends on the policy interest at stake, the concern that, as already explained, the voters deem it wise to avoid because of its divisive potential. The instant case presents the question involved in *Coral* and *Wilson* but not involved in *Mulkey*, *Hunter*, and *Seattle*. That question is not how to address or prevent injury caused on account of race but whether voters may determine whether a policy of race-based preferences should be continued.

By approving Proposal 2 and thereby adding §26 to their State Constitution, the Michigan voters exercised their privilege to enact laws as a basic exercise of their democratic power. In the federal system States “respond, through the enactment of positive law, to the initiative of those who seek a voice in shaping the destiny of their own times.” *Bond*, 564 U. S., at — (slip op., at 9). Michigan voters used the initiative system to bypass public officials who were deemed not responsive to the concerns of a majority of the voters with respect to a policy of granting race-based preferences that raises difficult and delicate issues.

The freedom secured by the Constitution consists, in one of its essential dimensions, of the right of the individual not to be injured by the unlawful exercise of governmental power. The mandate for segregated schools, *Brown v. Board of Education*, 347 U. S. 483 (1954); a wrongful invasion of the home, *Silverman v. United States*, 365 U. S. 505 (1961); or punishing a protester whose views offend others, *Texas v. Johnson*, 491 U. S. 397 (1989); and scores of other examples teach that individual liberty has constitutional protection, and that liberty’s full extent and meaning may remain yet to be discovered and affirmed. Yet freedom does not stop with individual rights. Our constitutional system embraces, too, the right of citizens to debate so they can learn and decide and then, through the political process, act in concert to try to shape the course

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of their own times and the course of a nation that must strive always to make freedom ever greater and more secure. Here Michigan voters acted in concert and statewide to seek consensus and adopt a policy on a difficult subject against a historical background of race in America that has been a source of tragedy and persisting injustice. That history demands that we continue to learn, to listen, and to remain open to new approaches if we are to aspire always to a constitutional order in which all persons are treated with fairness and equal dignity. Were the Court to rule that the question addressed by Michigan voters is too sensitive or complex to be within the grasp of the electorate; or that the policies at issue remain too delicate to be resolved save by university officials or faculties, acting at some remove from immediate public scrutiny and control; or that these matters are so arcane that the electorate's power must be limited because the people cannot prudently exercise that power even after a full debate, that holding would be an unprecedented restriction on the exercise of a fundamental right held not just by one person but by all in common. It is the right to speak and debate and learn and then, as a matter of political will, to act through a lawful electoral process.

The respondents in this case insist that a difficult question of public policy must be taken from the reach of the voters, and thus removed from the realm of public discussion, dialogue, and debate in an election campaign. Quite in addition to the serious First Amendment implications of that position with respect to any particular election, it is inconsistent with the underlying premises of a responsible, functioning democracy. One of those premises is that a democracy has the capacity—and the duty—to learn from its past mistakes; to discover and confront persisting biases; and by respectful, rationale deliberation to rise above those flaws and injustices. That process is impeded, not advanced, by court decrees based on the proposition

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that the public cannot have the requisite repose to discuss certain issues. It is demeaning to the democratic process to presume that the voters are not capable of deciding an issue of this sensitivity on decent and rational grounds. The process of public discourse and political debate should not be foreclosed even if there is a risk that during a public campaign there will be those, on both sides, who seek to use racial division and discord to their own political advantage. An informed public can, and must, rise above this. The idea of democracy is that it can, and must, mature. Freedom embraces the right, indeed the duty, to engage in a rational, civic discourse in order to determine how best to form a consensus to shape the destiny of the Nation and its people. These First Amendment dynamics would be disserved if this Court were to say that the question here at issue is beyond the capacity of the voters to debate and then to determine.

These precepts are not inconsistent with the well-established principle that when hurt or injury is inflicted on racial minorities by the encouragement or command of laws or other state action, the Constitution requires redress by the courts. Cf. *Johnson v. California*, 543 U. S. 499, 511–512 (2005) (“[S]earching judicial review . . . is necessary to guard against invidious discrimination”); *Edmonson v. Leesville Concrete Co.*, 500 U. S. 614, 619 (1991) (“Racial discrimination” is “invidious in all contexts”). As already noted, those were the circumstances that the Court found present in *Mulkey*, *Hunter*, and *Seattle*. But those circumstances are not present here.

For reasons already discussed, *Mulkey*, *Hunter*, and *Seattle* are not precedents that stand for the conclusion that Michigan’s voters must be disempowered from acting. Those cases were ones in which the political restriction in question was designed to be used, or was likely to be used, to encourage infliction of injury by reason of race. What is at stake here is not whether injury will be inflicted but

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whether government can be instructed not to follow a course that entails, first, the definition of racial categories and, second, the grant of favored status to persons in some racial categories and not others. The electorate's instruction to governmental entities not to embark upon the course of race-defined and race-based preferences was adopted, we must assume, because the voters deemed a preference system to be unwise, on account of what voters may deem its latent potential to become itself a source of the very resentments and hostilities based on race that this Nation seeks to put behind it. Whether those adverse results would follow is, and should be, the subject of debate. Voters might likewise consider, after debate and reflection, that programs designed to increase diversity—consistent with the Constitution—are a necessary part of progress to transcend the stigma of past racism.

This case is not about how the debate about racial preferences should be resolved. It is about who may resolve it. There is no authority in the Constitution of the United States or in this Court's precedents for the Judiciary to set aside Michigan laws that commit this policy determination to the voters. See *Sailors v. Board of Ed. of County of Kent*, 387 U. S. 105, 109 (1967) ("Save and unless the state, county, or municipal government runs afoul of a federally protected right, it has vast leeway in the management of its internal affairs"). Deliberative debate on sensitive issues such as racial preferences all too often may shade into rancor. But that does not justify removing certain court-determined issues from the voters' reach. Democracy does not presume that some subjects are either too divisive or too profound for public debate.

The judgment of the Court of Appeals for the Sixth Circuit is reversed.

*It is so ordered.*

JUSTICE KAGAN took no part in the consideration or decision of this case.

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ROBERTS, C. J., concurring

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No. 12-682

BILL SCHUETTE, ATTORNEY GENERAL OF MICHIGAN, PETITIONER v. COALITION TO DEFEND AFFIRMATIVE ACTION, INTEGRATION AND IMMIGRANT RIGHTS AND FIGHT FOR EQUALITY BY ANY MEANS NECESSARY (BAMN), ET AL.

ON WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

[April 22, 2014]

CHIEF JUSTICE ROBERTS, concurring.

The dissent devotes 11 pages to expounding its own policy preferences in favor of taking race into account in college admissions, while nonetheless concluding that it “do[es] not mean to suggest that the virtues of adopting race-sensitive admissions policies should inform the legal question before the Court.” *Post*, at 57 (opinion of SOTOMAYOR, J.). The dissent concedes that the governing boards of the State’s various universities could have implemented a policy making it illegal to “discriminate against, or grant preferential treatment to,” any individual on the basis of race. See *post*, at 3, 34–35. On the dissent’s view, if the governing boards conclude that drawing racial distinctions in university admissions is undesirable or counterproductive, they are permissibly exercising their policymaking authority. But others who might reach the same conclusion are failing to take race seriously.

The dissent states that “[t]he way to stop discrimination on the basis of race is to speak openly and candidly on the subject of race.” *Post*, at 46. And it urges that “[r]ace matters because of the slights, the snickers, the silent judgments that reinforce that most crippling of thoughts:

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“I do not belong here.” *Ibid.* But it is not “out of touch with reality” to conclude that racial preferences may themselves have the debilitating effect of reinforcing precisely that doubt, and—if so—that the preferences do more harm than good. *Post*, at 45. To disagree with the dissent’s views on the costs and benefits of racial preferences is not to “wish away, rather than confront” racial inequality. *Post*, at 46. People can disagree in good faith on this issue, but it similarly does more harm than good to question the openness and candor of those on either side of the debate.\*

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\* JUSTICE SCALIA and JUSTICE SOTOMAYOR question the relationship between *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457 (1982), and *Parents Involved in Community Schools v. Seattle School Dist. No. 1*, 551 U. S. 701 (2007). See *post*, at 6, n. 2 (SCALIA, J., concurring in judgment); *post*, at 23, n. 9 (SOTOMAYOR, J., dissenting). The plurality today addresses that issue, explaining that the race-conscious action in *Parents Involved* was unconstitutional given the absence of a showing of prior *de jure* segregation. *Parents Involved*, *supra*, at 720–721 (majority opinion), 736 (plurality opinion); see *ante*, at 9. Today’s plurality notes that the Court in *Seattle* “assumed” the constitutionality of the busing remedy at issue there, “even absent a finding of prior *de jure* segregation.” *Ante*, at 10 (quoting *Seattle*, *supra*, at 472, n. 15). The assumption on which *Seattle* proceeded did not constitute a finding sufficient to justify the race-conscious action in *Parents Involved*, though it is doubtless pertinent in analyzing *Seattle*. “As this Court held in *Parents Involved*, the [Seattle] school board’s purported remedial action would not be permissible today absent a *showing* of *de jure* segregation,” but “we must understand *Seattle* as *Seattle* understood itself.” *Ante*, at 9–10 (emphasis added).

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SCALIA, J., concurring in judgment

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No. 12-682

BILL SCHUETTE, ATTORNEY GENERAL OF MICHIGAN, PETITIONER v. COALITION TO DEFEND AFFIRMATIVE ACTION, INTEGRATION AND IMMIGRANT RIGHTS AND FIGHT FOR EQUALITY BY ANY MEANS NECESSARY (BAMN), ET AL.

ON WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

[April 22, 2014]

JUSTICE SCALIA, with whom JUSTICE THOMAS joins, concurring in the judgment.

It has come to this. Called upon to explore the jurisprudential twilight zone between two errant lines of precedent, we confront a frighteningly bizarre question: Does the Equal Protection Clause of the Fourteenth Amendment *forbid* what its text plainly *requires*? Needless to say (except that this case obliges us to say it), the question answers itself. “The Constitution proscribes government discrimination on the basis of race, and state-provided education is no exception.” *Grutter v. Bollinger*, 539 U. S. 306, 349 (2003) (SCALIA, J., concurring in part and dissenting in part). It is precisely this understanding—the correct understanding—of the federal Equal Protection Clause that the people of the State of Michigan have adopted for their own fundamental law. By adopting it, they did not simultaneously *offend* it.

Even taking this Court’s sorry line of race-based-admissions cases as a given, I find the question presented only slightly less strange: Does the Equal Protection Clause forbid a State from banning a practice that the Clause barely—and only provisionally—permits? React-

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ing to those race-based-admissions decisions, some States—whether deterred by the prospect of costly litigation; aware that *Grutter*'s bell may soon toll, see 539 U. S., at 343; or simply opposed in principle to the notion of “benign” racial discrimination—have gotten out of the racial-preferences business altogether. And with our express encouragement: “Universities in California, Florida, and Washington State, where racial preferences in admissions are prohibited by state law, are currently engaging in experimenting with a wide variety of alternative approaches. Universities in other States can *and should* draw on the most promising aspects of these race-neutral alternatives as they develop.” *Id.*, at 342 (emphasis added). Respondents seem to think this admonition was merely in jest.<sup>1</sup> The experiment, they maintain, is not only over; it never rightly began. Neither the people of the States nor their legislatures ever had the option of directing subordinate public-university officials to cease considering the race of applicants, since that would deny members of those minority groups the option of enacting a policy designed to further their interest, thus denying them the equal protection of the laws. Never mind that it is hotly disputed whether the practice of race-based admissions is *ever* in a racial minority’s interest. Cf. *id.*, at 371–373 (THOMAS, J., concurring in part and dissenting in part). And never mind that, were a public university to stake its defense of a race-based-admissions policy on the ground that it was *designed* to benefit primarily minorities (as opposed to all students, regardless of color, by enhancing diversity), *we would hold the policy unconstitutional*. See *id.*, at 322–325.

But the battleground for this case is not the constitu-

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<sup>1</sup>For simplicity’s sake, I use “respondent” or “respondents” throughout the opinion to describe only those parties who are adverse to petitioner, not Eric Russell, a respondent who supports petitioner.

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tionality of race-based admissions—at least, not quite. Rather, it is the so-called political-process doctrine, derived from this Court’s opinions in *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457 (1982), and *Hunter v. Erickson*, 393 U. S. 385 (1969). I agree with those parts of the plurality opinion that repudiate this doctrine. But I do not agree with its reinterpretation of *Seattle* and *Hunter*, which makes them stand in part for the cloudy and doctrinally anomalous proposition that whenever state action poses “the serious risk . . . of causing specific injuries on account of race,” it denies equal protection. *Ante*, at 9. I would instead reaffirm that the “ordinary principles of our law [and] of our democratic heritage” require “plaintiffs alleging equal protection violations” stemming from facially neutral acts to “prove intent and causation and not merely the existence of racial disparity.” *Freeman v. Pitts*, 503 U. S. 467, 506 (1992) (SCALIA, J., concurring) (citing *Washington v. Davis*, 426 U. S. 229 (1976)). I would further hold that a law directing state actors to provide equal protection is (to say the least) facially neutral, and cannot violate the Constitution. Section 26 of the Michigan Constitution (formerly Proposal 2) rightly stands.

I

A

The political-process doctrine has its roots in two of our cases. The first is *Hunter*. In 1964, the Akron City Council passed a fair-housing ordinance “assur[ing] equal opportunity to all persons to live in decent housing facilities regardless of race, color, religion, ancestry or national origin.” 393 U. S., at 386. Soon after, the city’s voters passed an amendment to the Akron City Charter stating that any ordinance enacted by the council that “regulates” commercial transactions in real property “on the basis of race, color, religion, national origin or ancestry”—including the already enacted 1964 ordinance—“must first

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be approved by a majority of the electors voting on the question" at a later referendum. *Id.*, at 387. The question was whether the charter amendment denied equal protection. Answering yes, the Court explained that "although the law on its face treats Negro and white, Jew and gentile in an identical manner, the reality is that the law's impact falls on the minority. The majority needs no protection against discrimination." *Id.*, at 391. By placing a "special burden on racial minorities within the governmental processes," the amendment "disadvantage[d]" a racial minority "by making it more difficult to enact legislation in its behalf." *Id.*, at 391, 393.

The reasoning in *Seattle* is of a piece. Resolving to "eliminate all [racial] imbalance from the Seattle public schools," the city school board passed a mandatory busing and pupil-reassignment plan of the sort typically imposed on districts guilty of *de jure* segregation. 458 U. S., at 460–461. A year later, the citizens of the State of Washington passed Initiative 350, which directed (with exceptions) that "no school . . . shall directly or indirectly require any student to attend a school other than the school which is geographically nearest or next nearest the student's place of residence . . . and which offers the course of study pursued by such student," permitting only court-ordered race-based busing. *Id.*, at 462. The lower courts held Initiative 350 unconstitutional, and we affirmed, announcing in the prelude of our analysis—as though it were beyond debate—that the Equal Protection Clause forbade laws that "subtly distor[t] governmental processes in such a way as to place special burdens on the ability of minority groups to achieve beneficial legislation." *Id.*, at 467.

The first question in *Seattle* was whether the subject matter of Initiative 350 was a "racial" issue," triggering *Hunter* and its process doctrine. 458 U. S., at 471–472. It was "undoubtedly. . . true" that whites and blacks were

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“counted among both the supporters and the opponents of Initiative 350.” *Id.*, at 472. It was “equally clear” that both white and black children benefitted from desegregated schools. *Ibid.* Nonetheless, we concluded that desegregation “inures *primarily* to the benefit of the minority, and is designed for that purpose.” *Ibid.* (emphasis added). In any event, it was “enough that minorities may consider busing for integration to be ‘legislation that is in their interest.’” *Id.*, at 474 (quoting *Hunter, supra*, at 395 (Harlan, J., concurring)).

So we proceeded to the heart of the political-process analysis. We held Initiative 350 unconstitutional, since it removed “the authority to address a racial problem—and only a racial problem—from the existing decisionmaking body, in such a way as to burden minority interests.” *Seattle*, 458 U. S., at 474. Although school boards in Washington retained authority over *other* student-assignment issues and over most matters of educational policy generally, under Initiative 350, minorities favoring race-based busing would have to “surmount a considerably higher hurdle” than the mere petitioning of a local assembly: They “now must seek relief from the state legislature, or from the statewide electorate,” a “different level of government.” *Ibid.*

The relentless logic of *Hunter* and *Seattle* would point to a similar conclusion in this case. In those cases, one level of government exercised borrowed authority over an apparently “racial issue,” until a higher level of government called the loan. So too here. In those cases, we deemed the revocation an equal-protection violation *regardless* of whether it facially classified according to race or reflected an invidious purpose to discriminate. Here, the Court of Appeals did the same.

The plurality sees it differently. Though it, too, disavows the political-process-doctrine basis on which *Hunter* and *Seattle* were decided, *ante*, at 10–14, it does not take

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the next step of overruling those cases. Rather, it reinterprets them beyond recognition. *Hunter*, the plurality suggests, was a case in which the challenged act had “target[ed] racial minorities.” *Ante*, at 8. Maybe, but the *Hunter* Court neither found that to be so nor considered it relevant, bypassing the question of intent entirely, satisfied that its newly minted political-process theory sufficed to invalidate the charter amendment.

As for *Seattle*, what was *really* going on, according to the plurality, was that Initiative 350 had the consequence (if not the purpose) of preserving the harms effected by prior *de jure* segregation. Thus, “the political restriction in question was designed to be used, or was likely to be used, to encourage infliction of injury by reason of race.” *Ante*, at 17. That conclusion is derived not from the opinion but from recently discovered evidence that the city of Seattle had been a cause of its schools’ racial imbalance all along: “Although there had been no judicial finding of *de jure* segregation with respect to Seattle’s school district, it appears as though school segregation in the district in the 1940’s and 1950’s may have been the partial result of school board policies.” *Ante*, at 9.<sup>2</sup> That the district’s effort to end racial imbalance had been stymied by Initiative 350 meant that the people, by passing it, somehow had become complicit in Seattle’s equal-protection-denying status quo, whether they knew it or not. Hence, there was in *Seattle* a government-furthered “infliction of a

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<sup>2</sup>The plurality cites evidence from JUSTICE BREYER’s dissent in *Parents Involved in Community Schools v. Seattle School Dist. No. 1*, 551 U. S. 701 (2007), to suggest that the city had been a “partial” cause of its segregation problem. *Ante*, at 9. The plurality in *Parents Involved* criticized that dissent for relying on irrelevant evidence, for “elid[ing] the distinction between *de jure* and *de facto* segregation,” and for “casually intimat[ing] that Seattle’s school attendance patterns reflect[ed] illegal segregation.” 551 U. S., at 736–737, and n. 15. Today’s plurality sides with the dissent and repeats its errors.

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specific”—and, presumably, constitutional—“injury.” *Ante*, at 14.

Once again this describes what our opinion in *Seattle* might have been, but assuredly not what it was. The opinion assumes throughout that Seattle’s schools suffered at most from *de facto* segregation, see, e.g., 458 U. S., at 474, 475—that is, segregation not the “product . . . of state action but of private choices,” having no “constitutional implications,” *Freeman*, 503 U. S., at 495–496. Nor did it anywhere state that the current racial imbalance was the (judicially remediable) effect of prior *de jure* segregation. Absence of *de jure* segregation or the effects of *de jure* segregation was a necessary premise of the *Seattle* opinion. That is what made the issue of busing and pupil reassignment a matter of political choice rather than judicial mandate.<sup>3</sup> And precisely *because* it was a question for the political branches to decide, the manner—which is to say, the *process*—of its resolution implicated the Court’s new process theory. The opinion itself says this: “[I]n the absence of a constitutional violation, the desirability and efficacy of school desegregation are matters to be resolved through the political process. For present purposes, it is enough [to hold reallocation of that political decision to a higher level unconstitutional] that minorities may consider busing for integration to be legislation that is in their interest.” 458 U. S., at 474 (internal quotation marks omitted).

## B

Patently atextual, unadministrable, and contrary to our traditional equal-protection jurisprudence, *Hunter* and

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<sup>3</sup>Or so the Court assumed. See 458 U. S., at 472, n. 15 (“Appellants and the United States do not challenge the propriety of race-conscious student assignments for the purpose of achieving integration, even absent a finding of prior *de jure* segregation. We therefore do not specifically pass on that issue”).

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*Seattle* should be overruled.

The problems with the political-process doctrine begin with its triggering prong, which assigns to a court the task of determining whether a law that reallocates policy-making authority concerns a “racial issue.” *Seattle*, 458 U. S., at 473. *Seattle* takes a couple of dissatisfying cracks at defining this crucial term. It suggests that an issue is racial if adopting one position on the question would “at bottom inur[e] primarily to the benefit of the minority, and is designed for that purpose.” *Id.*, at 472. It is irrelevant that, as in *Hunter* and *Seattle*, 458 U. S., at 472, both the racial minority and the racial majority benefit from the policy in question, and members of both groups favor it. Judges should instead focus their guesswork on their own juridical sense of what is primarily for the benefit of minorities. Cf. *ibid.* (regarding as dispositive what “our cases” suggest is beneficial to minorities). On second thought, maybe judges need only ask this question: Is it possible “that minorities may consider” the policy in question to be “in their interest”? *Id.*, at 474. If so, you can be sure that you are dealing with a “racial issue.”<sup>4</sup>

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<sup>4</sup>The dissent’s version of this test is just as scattershot. Since, according to the dissent, the doctrine forbids “reconfigur[ing] the political process in a manner that *burdens* only a racial minority,” *post*, at 5 (opinion of SOTOMAYOR, J.) (emphasis added), it must be that that the reason the underlying issue (that is, the issue concerning which the process has been reconfigured) is “racial” is that the policy in question *benefits* only a racial minority (if it also benefitted persons not belonging to a racial majority, then the political-process reconfiguration would burden them as well). On second thought: The issue is “racial” if the policy benefits *primarily* a racial minority and “[is] designed for that purpose,” *post*, at 44. This is the standard *Seattle* purported to apply. But under that standard, §26 does not affect a “racial issue,” because under *Grutter v. Bollinger*, 539 U. S. 306 (2003), race-based admissions policies may not constitutionally be “designed for [the] purpose,” *Seattle*, *supra*, at 472, of benefitting primarily racial minorities, but must be designed for the purpose of achieving educational benefits for students of all races, *Grutter*, *supra*, at 322–325. So the dissent must

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No good can come of such random judicial musing. The plurality gives two convincing reasons why. For one thing, it involves judges in the dirty business of dividing the Nation “into racial blocs,” *Metro Broadcasting, Inc. v. FCC*, 497 U. S. 547, 603, 610 (1990) (O’Connor, J., dissenting); *ante*, at 11–13. That task is as difficult as it is unappealing. (Does a half-Latino, half-American Indian have Latino interests, American-Indian interests, both, half of both?<sup>5</sup>) What is worse, the exercise promotes the noxious fiction that, knowing only a person’s color or ethnicity, we can be sure that he has a predetermined set of policy “interests,” thus “reinforc[ing] the perception that members of the same racial group—regardless of their age, education, economic status, or the community in which they live—think alike, [and] share the same political interests.”<sup>6</sup> *Shaw v. Reno*, 509 U. S. 630, 647 (1993). Whether done by a judge or a school board, such “racial stereotyping [is] at odds with equal protection mandates.” *Miller v. Johnson*, 515 U. S. 900, 920 (1995).

But that is not the “racial issue” prong’s only defect. More fundamentally, it misreads the Equal Protection Clause to protect “particular group[s],” a construction that we have tirelessly repudiated in a “long line of cases understanding equal protection as a personal right.”

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mean that an issue is “racial” so long as the policy in question has the incidental effect (an effect not flowing from its design) of benefiting primarily racial minorities.

<sup>5</sup> And how many members of a particular racial group must take the same position on an issue before we suppose that the position is in the *entire group’s* interest? Not *every* member, the dissent suggests, *post*, at 44. Beyond that, who knows? Five percent? Eighty-five percent?

<sup>6</sup> The dissent proves my point. After asserting—without citation, though I and many others of all races deny it—that it is “common-sense reality” that affirmative action benefits racial minorities, *post*, at 16, the dissent suggests throughout, *e.g.*, *post*, at 30, that that view of “reality” is so necessarily shared by members of racial minorities that they *must* favor affirmative action.

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*Adarand Constructors, Inc. v. Peña*, 515 U. S. 200, 224, 230 (1995). It is a “basic principle that the Fifth and Fourteenth Amendments to the Constitution protect *persons*, not *groups*.” *Id.*, at 227; *Metro Broadcasting, supra*, at 636 (KENNEDY, J., dissenting).<sup>7</sup> Yet *Seattle* insists that only those political-process alterations that burden racial *minorities* deny equal protection. “The majority,” after all, “needs no protection against discrimination.” 458 U. S., at 468 (quoting *Hunter*, 393 U. S., at 391). In the years since *Seattle*, we have repeatedly rejected “a reading of the guarantee of equal protection under which the level of scrutiny varies according to the ability of different groups to defend their interests in the representative process.” *Richmond v. J. A. Croson Co.*, 488 U. S. 469, 495 (1989). Meant to obliterate rather than endorse the practice of racial classifications, the Fourteenth Amendment’s guarantees “obtai[n] with equal force regardless of ‘the race of those burdened or benefitted.’” *Miller, supra*, at 904 (quoting *Croson, supra*, at 494 (plurality opinion)); *Adarand, supra*, at 223, 227. The Equal Protection Clause “cannot mean one thing when applied to one individual and something else when applied to a person of another color. If both are not accorded the same protection it is not equal.” *Regents of Univ. of Cal. v. Bakke*, 438 U. S. 265, 289–290 (1978) (opinion of Powell, J.).

The dissent trots out the old saw, derived from *dictum* in a footnote, that legislation motivated by “prejudice

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<sup>7</sup>The dissent contends, *post*, at 39, that this point “ignores the obvious: Discrimination against an individual occurs because of that individual’s membership in a particular group.” No, I do not ignore the obvious; it is the dissent that misses the point. Of course discrimination against a group constitutes discrimination against each member of that group. But since it is persons and not groups that are protected, one cannot say, as the dissent would, that the Constitution prohibits discrimination against minority groups, but not against majority groups.

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against discrete and insular minorities” merits “more exacting judicial scrutiny.” *Post*, at 31 (quoting *United States v. Carolene Products*, 304 U. S. 144, 152–153, n. 4). I say derived from that dictum (expressed by the four-Justice majority of a seven-Justice Court) because the dictum itself merely said “[n]or need we enquire . . . whether prejudice against discrete and insular minorities may be a special condition,” *id.*, at 153, n. 4 (emphasis added). The dissent does not argue, of course, that such “prejudice” produced §26. Nor does it explain why certain racial minorities in Michigan qualify as “insular,” meaning that “other groups will not form coalitions with them—and, critically, not because of lack of common interests but because of ‘prejudice.’” Strauss, Is *Carolene Products* Obsolete? 2010 U. Ill. L. Rev. 1251, 1257. Nor does it even make the case that a group’s “discreteness” and “insularity” are political *liabilities* rather than political *strengths*<sup>8</sup>—a serious question that alone demonstrates the prudence of the *Carolene Products* dictumizers in leaving the “enquir[y]” for another day. As for the question whether “legislation which restricts those political processes which can ordinarily be expected to bring about repeal of undesirable legislation . . . is to be subjected to more exacting judicial scrutiny,” the *Carolene Products* Court found it “unnecessary to consider [that] now.” 304 U. S., at 152, n. 4. If the dissent thinks that worth considering today, it should explain why the election of a university’s governing board is a “political process which can

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<sup>8</sup>Cf., e.g., Ackerman, Beyond *Carolene Products*, 98 Harv. L. Rev. 713, 723–724 (1985) (“Other things being equal, ‘discreteness and insularity’ will normally be a source of enormous bargaining advantage, not disadvantage, for a group engaged in pluralist American politics. Except for special cases, the concerns that underlie *Carolene* should lead judges to protect groups that possess the opposite characteristic from the ones *Carolene* emphasizes—groups that are ‘anonymous and diffuse’ rather than ‘discrete and insular’ ”).

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ordinarily be expected to bring about repeal of undesirable legislation,” but Michigan voters’ ability to amend their Constitution is not. It seems to me quite the opposite. Amending the Constitution requires the approval of only “a majority of the electors voting on the question.” Mich. Const., Art. XII, §2. By contrast, voting in a favorable board (each of which has eight members) at the three major public universities requires electing by majority vote at least 15 different candidates, several of whom would be running during different election cycles. See *BAMN v. Regents of Univ. of Mich.*, 701 F. 3d 466, 508 (CA6 2012) (Sutton, J., dissenting). So if Michigan voters, instead of amending their Constitution, had pursued the dissent’s preferred path of electing board members promising to “abolish race-sensitive admissions policies,” *post*, at 3, it would have been *harder*, not easier, for racial minorities favoring affirmative action to overturn that decision. But the more important point is that we should not design our jurisprudence to conform to dictum in a footnote in a four-Justice opinion.

C

Moving from the appalling to the absurd, I turn now to the second part of the *Hunter-Seattle* analysis—which is apparently no more administrable than the first, compare *post*, at 4–6 (BREYER, J., concurring in judgment) (“This case . . . does not involve a reordering of the *political* process”), with *post*, at 25–29 (SOTOMAYOR, J., dissenting) (yes, it does). This part of the inquiry directs a court to determine whether the challenged act “place[s] effective decisionmaking authority over [the] racial issue at a different level of government.” *Seattle*, 458 U. S., at 474. The laws in both *Hunter* and *Seattle* were thought to fail this test. In both cases, “the effect of the challenged action was to redraw decisionmaking authority over racial matters—and only over racial matters—in such a way as

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to place comparative burdens on minorities.” 458 U. S., at 475, n. 17. This, we said, a State may not do.

By contrast, in another line of cases, we have emphasized the near-limitless sovereignty of each State to design its governing structure as it sees fit. Generally, “a State is afforded wide leeway when experimenting with the appropriate allocation of state legislative power” and may create “political subdivisions such as cities and counties . . . ‘as convenient agencies for exercising such of the governmental powers of the state as may be entrusted to them.’” *Holt Civic Club v. Tuscaloosa*, 439 U. S. 60, 71 (1978) (quoting *Hunter v. Pittsburgh*, 207 U. S. 161, 178 (1907)). Accordingly, States have “absolute discretion” to determine the “number, nature and duration of the powers conferred upon [municipal] corporations and the territory over which they shall be exercised.” *Holt Civic Club*, *supra*, at 71. So it would seem to go without saying that a State may give certain powers to cities, later assign the same powers to counties, and even reclaim them for itself.

Taken to the limits of its logic, *Hunter-Seattle* is the gaping exception that nearly swallows the rule of structural state sovereignty. If indeed the Fourteenth Amendment forbids States to “place effective decisionmaking authority over” racial issues at “different level[s] of government,” then it must be true that the Amendment’s ratification in 1868 worked a partial ossification of each State’s governing structure, rendering basically irrevocable the power of any subordinate state official who, the day *before* the Fourteenth Amendment’s passage, happened to enjoy legislatively conferred authority over a “racial issue.” Under the Fourteenth Amendment, that subordinate entity (suppose it is a city council) could itself take action on the issue, action either favorable or unfavorable to minorities. It could even reverse itself later. What it could not do, however, is redelegate its power to an even lower level of state government (such as a city-

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council committee) without forfeiting it, since the necessary effect of wresting it back would be to put an additional obstacle in the path of minorities. Likewise, no entity or official higher up the state chain (e.g., a county board) could exercise authority over the issue. Nor, even, could the state legislature, or the people by constitutional amendment, revoke the legislative conferral of power to the subordinate, whether the city council, its subcommittee, or the county board. *Seattle*'s logic would create affirmative-action safe havens wherever subordinate officials in public universities (1) traditionally have enjoyed "effective decisionmaking authority" over admissions policy but (2) have not yet used that authority to prohibit race-conscious admissions decisions. The mere existence of a subordinate's discretion over the matter would work a kind of reverse pre-emption. It is "a strange notion—alien to our system—that local governmental bodies can forever pre-empt the ability of a State—the sovereign power—to address a matter of compelling concern to the State." 458 U. S., at 495 (Powell, J., dissenting). But that is precisely what the political-process doctrine contemplates.

Perhaps the spirit of *Seattle* is especially disquieted by enactments of constitutional amendments. That appears to be the dissent's position. The problem with §26, it suggests, is that amending Michigan's Constitution is simply not a part of that State's "existing" political process. *E.g., post*, at 4, 41. What a peculiar notion: that a revision of a State's fundamental law, made in precisely the manner that law prescribes, by the very people who are the source of that law's authority, is not part of the "political process" which, but for those people and that law, would not exist. This will surely come as news to the people of Michigan, who, since 1914, have amended their Constitution 20 times. Brief for Gary Segura et al. as *Amici Curiae* 12. Even so, the dissent concludes that the amendment attacked here worked an illicit "chang[ing]

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[of] the basic rules of the political process in that State" in "the middle of the game." *Post*, at 2, 4. Why, one might ask, is not the amendment provision of the Michigan Constitution one (perhaps the most basic one) of the rules of the State's political process? And why does democratic invocation of that provision not qualify as working through the "existing political process," *post*, at 41?<sup>9</sup>

## II

I part ways with *Hunter*, *Seattle*, and (I think) the plurality for an additional reason: Each endorses a version of the proposition that a facially neutral law may deny equal protection solely because it has a disparate racial impact. Few equal-protection theories have been so squarely and soundly rejected. "An unwavering line of cases from this Court holds that a violation of the Equal Protection Clause requires state action motivated by discriminatory intent," *Hernandez v. New York*, 500 U. S. 352, 372–373 (1991) (O'Connor, J., concurring in judgment), and that "official action will not be held unconstitutional solely because it results in a racially disproportionate impact," *Arlington Heights v. Metropolitan Housing Development Corp.*, 429 U. S. 252, 264–265 (1977). Indeed, we affirmed this principle the same day we decided *Seattle*: "[E]ven when a neutral law has a disproportionately adverse effect on a racial minority, the Fourteenth Amendment is violated only if a discriminatory purpose can be shown." *Crawford v. Board of Ed. of Los Angeles*, 458 U. S. 527, 537–538

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<sup>9</sup>The dissent thinks I do not understand its argument. Only when amending Michigan's Constitution violates *Hunter-Seattle*, it says, is that constitutionally prescribed activity necessarily not part of the State's existing political process. *Post*, at 21, n. 7. I understand the argument quite well; and see quite well that it begs the question. Why is Michigan's action here unconstitutional? Because it violates *Hunter-Seattle*. And why does it violate *Hunter-Seattle*? Because it is not part of the State's existing political process. And why is it not part of the State's existing political process? Because it violates *Hunter-Seattle*.

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(1982).

Notwithstanding our dozens of cases confirming the exception-less nature of the *Washington v. Davis* rule, the plurality opinion leaves ajar an effects-test escape hatch modeled after *Hunter* and *Seattle*, suggesting that state action denies equal protection when it “ha[s] the *serious risk*, if not purpose, of causing specific injuries on account of race,” or is either “designed to be used, or . . . *likely to be used*, to encourage infliction of injury by reason of race.” *Ante*, at 9, 17 (emphasis added). Since these formulations enable a determination of an equal-protection violation where there is no discriminatory intent, they are inconsistent with the long *Washington v. Davis* line of cases.<sup>10</sup>

Respondents argue that we need not bother with the discriminatory-purpose test, since §26 may be struck more straightforwardly as a racial “classification.” Admitting (as they must) that §26 does not on its face “distribut[e] burdens or benefits on the basis of individual racial classifications,” *Parents Involved in Community Schools v. Seattle School Dist. No. 1*, 551 U. S. 701, 720 (2007), respondents rely on *Seattle*’s statement that “when the political process or the decisionmaking mechanism used to address racially conscious legislation—and only such legislation—is singled out for peculiar and disadvantageous treatment,” then that “singling out” is a racial classification. 458 U. S., at 485, 486, n. 30. But this is just the political-process theory bedecked in different doctrinal

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<sup>10</sup> According to the dissent, *Hunter-Seattle* fills an important doctrinal gap left open by *Washington v. Davis*, since *Hunter-Seattle*’s rule—unique among equal-protection principles—makes clear that “the majority” may not alter a political process with the goal of “prevent[ing] minority groups from partaking in that process on equal footing.” *Post*, at 33. Nonsense. There is no gap. To “manipulate the ground rules,” *post*, at 34, or to “ri[g] the contest,” *post*, at 35, in order to harm persons because of their race is to deny equal protection under *Washington v. Davis*.

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dress. A law that “neither says nor implies that persons are to be treated differently on account of their race” is not a racial classification. *Crawford, supra*, at 537. That is particularly true of statutes mandating equal treatment. “[A] law that prohibits the State from classifying individuals by race . . . *a fortiori* does not classify individuals by race.” *Coalition for Economic Equity v. Wilson*, 122 F. 3d 692, 702 (CA9 1997) (O’Scannlain, J.).

Thus, the question in this case, as in every case in which neutral state action is said to deny equal protection on account of race, is whether the action reflects a racially discriminatory purpose. *Seattle* stresses that “singling out the political processes affecting racial issues for uniquely disadvantageous treatment inevitably raises dangers of impermissible motivation.” 458 U. S., at 486, n. 30. True enough, but that motivation must be proved. And respondents do not have a prayer of proving it here. The District Court noted that, under “conventional equal protection” doctrine, the suit was “doom[ed].” 539 F. Supp. 2d 924, 951 (ED Mich. 2008). Though the Court of Appeals did not opine on this question, I would not leave it for them on remand. In my view, any law expressly requiring state actors to afford all persons equal protection of the laws (such as Initiative 350 in *Seattle*, though not the charter amendment in *Hunter*) does not—cannot—deny “to any person . . . equal protection of the laws,” U. S. Const., Amdt. 14, §1, regardless of whatever evidence of seemingly foul purposes plaintiffs may cook up in the trial court.

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As Justice Harlan observed over a century ago, “[o]ur Constitution is color-blind, and neither knows nor tolerates classes among citizens.” *Plessy v. Ferguson*, 163 U. S. 537, 559 (1896) (dissenting opinion). The people of Michigan wish the same for their governing charter. It would

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be shameful for us to stand in their way.<sup>11</sup>

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<sup>11</sup> And doubly shameful to equate “the majority” behind §26 with “the majority” responsible for Jim Crow. *Post*, at 1–2 (SOTOMAYOR, J., dissenting).

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No. 12-682

BILL SCHUETTE, ATTORNEY GENERAL OF MICHIGAN, PETITIONER v. COALITION TO DEFEND AFFIRMATIVE ACTION, INTEGRATION AND IMMIGRANT RIGHTS AND FIGHT FOR EQUALITY BY ANY MEANS NECESSARY (BAMN), ET AL.

ON WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

[April 22, 2014]

JUSTICE BREYER, concurring in the judgment.

Michigan has amended its Constitution to forbid state universities and colleges to “discriminate against, or grant preferential treatment to, any individual or group on the basis of race, sex, color, ethnicity, or national origin in the operation of public employment, public education, or public contracting.” Mich. Const., Art. I, §26. We here focus on the prohibition of “grant[ing] . . . preferential treatment . . . on the basis of race . . . in . . . public education.” I agree with the plurality that the amendment is consistent with the Federal Equal Protection Clause. U. S. Const., Amdt. 14. But I believe this for different reasons.

First, we do not address the amendment insofar as it forbids the use of race-conscious admissions programs designed to remedy past exclusionary racial discrimination or the direct effects of that discrimination. Application of the amendment in that context would present different questions which may demand different answers. Rather, we here address the amendment only as it applies to, and forbids, programs that, as in *Grutter v. Bollinger*, 539 U. S. 306 (2003), rest upon “one justification”: using

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“race in the admissions process” solely in order to “obtai[n] the educational benefits that flow from a diverse student body,” *id.*, at 328 (internal quotation marks omitted).

Second, dissenting in *Parents Involved in Community Schools v. Seattle School Dist. No. 1*, 551 U. S. 701 (2007), I explained why I believe race-conscious programs of this kind are constitutional, whether implemented by law schools, universities, high schools, or elementary schools. I concluded that the Constitution does not “authorize judges” either to forbid or to require the adoption of diversity-seeking race-conscious “solutions” (of the kind at issue here) to such serious problems as “how best to administer America’s schools” to help “create a society that includes all Americans.” *Id.*, at 862.

I continue to believe that the Constitution permits, though it does not require, the use of the kind of race-conscious programs that are now barred by the Michigan Constitution. The serious educational problems that faced Americans at the time this Court decided *Grutter* endure. See, e.g., I. Mullis, M. Martin, P. Foy, & K. Drucker, Progress in International Reading Literacy Study, 2011 International Results in Reading 38, Exh. 1.1 (2012) (elementary-school students in numerous other countries outperform their counterparts in the United States in reading); I. Mullis, M. Martin, P. Foy, & A. Arora, Trends in International Mathematics and Science Study (TIMSS), 2011 International Results in Mathematics 40, Exh. 1.1 (2012) (same in mathematics); M. Martin, I. Mullis, P. Foy, & G. Stanco, TIMSS, 2011 International Results in Science, 38, Exh. 1.1 (2012) (same in science); Organisation of Economic Co-operation Development (OECD), Education at a Glance 2013: OECD Indicators 50 (Table A2.1a) (secondary-school graduation rate lower in the United States than in numerous other countries); McKinsey & Co., The Economic Impact of the Achievement Gap in America’s Schools 8 (Apr. 2009) (same; United States

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ranks 18th of 24 industrialized nations). And low educational achievement continues to be correlated with income and race. See, e.g., National Center for Education Statistics, Digest of Education Statistics, Advance Release of Selected 2013 Digest Tables (Table 104.20) (White Americans more likely to have completed high school than African-Americans or Hispanic-Americans), online at <http://nces.ed.gov/programs/digest> (as visited Apr. 15, 2014, and available in Clerk of Court's case file); *id.*, Table 219.75 (Americans in bottom quartile of income most likely to drop out of high school); *id.*, Table 302.60 (White Americans more likely to enroll in college than African-Americans or Hispanic-Americans); *id.*, Table 302.30 (middle- and high-income Americans more likely to enroll in college than low-income Americans).

The Constitution allows local, state, and national communities to adopt narrowly tailored race-conscious programs designed to bring about greater inclusion and diversity. But the Constitution foresees the ballot box, not the courts, as the normal instrument for resolving differences and debates about the merits of these programs. Compare *Parents Involved*, 551 U. S., at 839 (BREYER, J., dissenting) (identifying studies showing the benefits of racially integrated education), with *id.*, at 761–763 (THOMAS, J., concurring) (identifying studies suggesting racially integrated schools may not confer educational benefits). In short, the “Constitution creates a democratic political system through which the people themselves must together find answers” to disagreements of this kind. *Id.*, at 862 (BREYER, J., dissenting).

Third, cases such as *Hunter v. Erickson*, 393 U. S. 385 (1969), and *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457 (1982), reflect an important principle, namely, that an individual's ability to participate meaningfully in the political process should be independent of his race. Although racial minorities, like other political minorities,

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will not always succeed at the polls, they must have the same opportunity as others to secure through the ballot box policies that reflect their preferences. In my view, however, neither *Hunter* nor *Seattle* applies here. And the parties do not here suggest that the amendment violates the Equal Protection Clause if not under the *Hunter-Seattle* doctrine.

*Hunter* and *Seattle* involved efforts to manipulate the political process in a way not here at issue. Both cases involved a restructuring of the political process that changed the political level at which policies were enacted. In *Hunter*, decisionmaking was moved from the elected city council to the local electorate at large. 393 U. S., at 389–390. And in *Seattle*, decisionmaking by an elected school board was replaced with decisionmaking by the state legislature and electorate at large. 458 U. S., at 466.

This case, in contrast, does not involve a reordering of the *political* process; it does not in fact involve the movement of decisionmaking from one political level to another. Rather, here, Michigan law delegated broad policymaking authority to elected university boards, see Mich. Const., Art. VIII, §5, but those boards delegated admissions-related decisionmaking authority to unelected university faculty members and administrators, see, e.g., Bylaws of Univ. of Mich. Bd. of Regents §8.01; Mich. State Univ. Bylaws of Bd. of Trustees, Preamble; Mich. State Univ. Bylaws for Academic Governance §4.4.3; Wayne State Univ. Stat. §§2–34–09, 2–34–12. Although the boards unquestionably retained the *power* to set policy regarding race-conscious admissions, see *post*, at 25–29 (SOTOMAYOR, J., dissenting), in *fact* faculty members and administrators set the race-conscious admissions policies in question. (It is often true that elected bodies—including, for example, school boards, city councils, and state legislatures—have the power to enact policies, but in fact delegate that power to administrators.) Although at

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limited times the university boards were advised of the content of their race-conscious admissions policies, see 701 F. 3d 466, 481–482 (CA6 2012), to my knowledge no board voted to accept or reject any of those policies. Thus, unelected faculty members and administrators, not voters or their elected representatives, adopted the race-conscious admissions programs affected by Michigan’s constitutional amendment. The amendment took decisionmaking authority away from these unelected actors and placed it in the hands of the voters.

Why does this matter? For one thing, considered conceptually, the doctrine set forth in *Hunter* and *Seattle* does not easily fit this case. In those cases minorities had participated in the political process and they had won. The majority’s subsequent reordering of the political process repealed the minority’s successes and made it more difficult for the minority to succeed in the future. The majority thereby diminished the minority’s ability to participate meaningfully in the electoral process. But one cannot as easily characterize the movement of the decisionmaking mechanism at issue here—from an administrative process to an electoral process—as diminishing the minority’s ability to participate meaningfully in the *political* process. There is no prior electoral process in which the minority participated.

For another thing, to extend the holding of *Hunter* and *Seattle* to reach situations in which decisionmaking authority is moved from an administrative body to a political one would pose significant difficulties. The administrative process encompasses vast numbers of decisionmakers answering numerous policy questions in hosts of different fields. See *Free Enterprise Fund v. Public Company Accounting Oversight Bd.*, 561 U. S. 477, \_\_\_\_ (2010) (BREYER, J., dissenting). Administrative bodies modify programs in detail, and decisionmaking authority within the administrative process frequently moves around—due to amend-

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ments to statutes, new administrative rules, and evolving agency practice. It is thus particularly difficult in this context for judges to determine when a change in the locus of decisionmaking authority places a comparative structural burden on a racial minority. And to apply *Hunter* and *Seattle* to the administrative process would, by tending to hinder change, risk discouraging experimentation, interfering with efforts to see when and how race-conscious policies work.

Finally, the principle that underlies *Hunter* and *Seattle* runs up against a competing principle, discussed above. This competing principle favors decisionmaking through the democratic process. Just as this principle strongly supports the right of the people, or their elected representatives, to adopt race-conscious policies for reasons of inclusion, so must it give them the right to vote not to do so.

As I have said, my discussion here is limited to circumstances in which decisionmaking is moved from an un-elected administrative body to a politically responsive one, and in which the targeted race-conscious admissions programs consider race solely in order to obtain the educational benefits of a diverse student body. We need now decide no more than whether the Federal Constitution permits Michigan to apply its constitutional amendment in those circumstances. I would hold that it does. Therefore, I concur in the judgment of the Court.

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No. 12-682

BILL SCHUETTE, ATTORNEY GENERAL OF MICHIGAN, PETITIONER v. COALITION TO DEFEND AFFIRMATIVE ACTION, INTEGRATION AND IMMIGRANT RIGHTS AND FIGHT FOR EQUALITY BY ANY MEANS NECESSARY (BAMN), ET AL.

ON WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SIXTH CIRCUIT

[April 22, 2014]

JUSTICE SOTOMAYOR, with whom JUSTICE GINSBURG joins, dissenting.

We are fortunate to live in a democratic society. But without checks, democratically approved legislation can oppress minority groups. For that reason, our Constitution places limits on what a majority of the people may do. This case implicates one such limit: the guarantee of equal protection of the laws. Although that guarantee is traditionally understood to prohibit intentional discrimination under existing laws, equal protection does not end there. Another fundamental strand of our equal protection jurisprudence focuses on process, securing to all citizens the right to participate meaningfully and equally in self-government. That right is the bedrock of our democracy, for it preserves all other rights.

Yet to know the history of our Nation is to understand its long and lamentable record of stymieing the right of racial minorities to participate in the political process. At first, the majority acted with an open, invidious purpose. Notwithstanding the command of the Fifteenth Amendment, certain States shut racial minorities out of the political process altogether by withholding the right to

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vote. This Court intervened to preserve that right. The majority tried again, replacing outright bans on voting with literacy tests, good character requirements, poll taxes, and gerrymandering. The Court was not fooled; it invalidated those measures, too. The majority persisted. This time, although it allowed the minority access to the political process, the majority changed the ground rules of the process so as to make it more difficult for the minority, and the minority alone, to obtain policies designed to foster racial integration. Although these political restructurings may not have been discriminatory in purpose, the Court reaffirmed the right of minority members of our society to participate meaningfully and equally in the political process.

This case involves this last chapter of discrimination: A majority of the Michigan electorate changed the basic rules of the political process in that State in a manner that uniquely disadvantaged racial minorities.<sup>1</sup> Prior to the enactment of the constitutional initiative at issue here, all of the admissions policies of Michigan’s public colleges and universities—including race-sensitive admissions policies<sup>2</sup>—were in the hands of each institution’s governing

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<sup>1</sup>I of course do not mean to suggest that Michigan’s voters acted with anything like the invidious intent, see n. 8, *infra*, of those who historically stymied the rights of racial minorities. *Contra, ante*, at 18, n. 11 (SCALIA, J., concurring in judgment). But like earlier chapters of political restructuring, the Michigan amendment at issue in this case changed the rules of the political process to the disadvantage of minority members of our society.

<sup>2</sup>Although the term “affirmative action” is commonly used to describe colleges’ and universities’ use of race in crafting admissions policies, I instead use the term “race-sensitive admissions policies.” Some comprehend the term “affirmative action” as connoting intentional preferential treatment based on race alone—for example, the use of a quota system, whereby a certain proportion of seats in an institution’s incoming class must be set aside for racial minorities; the use of a “points” system, whereby an institution accords a fixed numerical advantage to an applicant because of her race; or the admission of otherwise unquali-

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board. The members of those boards are nominated by political parties and elected by the citizenry in statewide elections. After over a century of being shut out of Michigan's institutions of higher education, racial minorities in Michigan had succeeded in persuading the elected board representatives to adopt admissions policies that took into account the benefits of racial diversity. And this Court twice blessed such efforts—first in *Regents of Univ. of Cal. v. Bakke*, 438 U. S. 265 (1978), and again in *Grutter v. Bollinger*, 539 U. S. 306 (2003), a case that itself concerned a Michigan admissions policy.

In the wake of *Grutter*, some voters in Michigan set out to eliminate the use of race-sensitive admissions policies. Those voters were of course free to pursue this end in any number of ways. For example, they could have persuaded existing board members to change their minds through individual or grassroots lobbying efforts, or through general public awareness campaigns. Or they could have mobilized efforts to vote uncooperative board members out of office, replacing them with members who would share their desire to abolish race-sensitive admissions policies. When this Court holds that the Constitution permits a particular policy, nothing prevents a majority of a State's

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fied students to an institution solely on account of their race. None of this is an accurate description of the practices that public universities are permitted to adopt after this Court's decision in *Grutter v. Bollinger*, 539 U. S. 306 (2003). There, we instructed that institutions of higher education could consider race in admissions in only a very limited way in an effort to create a diverse student body. To comport with *Grutter*, colleges and universities must use race flexibly, *id.*, at 334, and must not maintain a quota, *ibid.* And even this limited sensitivity to race must be limited in time, *id.*, at 341–343, and must be employed only after “serious, good faith consideration of workable race-neutral alternatives,” *id.*, at 339. *Grutter*-compliant admissions plans, like the ones in place at Michigan's institutions, are thus a far cry from affirmative action plans that confer preferential treatment intentionally and solely on the basis of race.

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voters from choosing not to adopt that policy. Our system of government encourages—and indeed, depends on—that type of democratic action.

But instead, the majority of Michigan voters changed the rules in the middle of the game, reconfiguring the existing political process in Michigan in a manner that burdened racial minorities. They did so in the 2006 election by amending the Michigan Constitution to enact Art. I, §26, which provides in relevant part that Michigan’s public universities “shall not discriminate against, or grant preferential treatment to, any individual or group on the basis of race, sex, color, ethnicity, or national origin in the operation of public employment, public education, or public contracting.”

As a result of §26, there are now two very different processes through which a Michigan citizen is permitted to influence the admissions policies of the State’s universities: one for persons interested in race-sensitive admissions policies and one for everyone else. A citizen who is a University of Michigan alumnus, for instance, can advocate for an admissions policy that considers an applicant’s legacy status by meeting individually with members of the Board of Regents to convince them of her views, by joining with other legacy parents to lobby the Board, or by voting for and supporting Board candidates who share her position. The same options are available to a citizen who wants the Board to adopt admissions policies that consider athleticism, geography, area of study, and so on. The one and only policy a Michigan citizen may not seek through this long-established process is a race-sensitive admissions policy that considers race in an individualized manner when it is clear that race-neutral alternatives are not adequate to achieve diversity. For that policy alone, the citizens of Michigan must undertake the daunting task of amending the State Constitution.

Our precedents do not permit political restructurings

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that create one process for racial minorities and a separate, less burdensome process for everyone else. This Court has held that the Fourteenth Amendment does not tolerate “a political structure that treats all individuals as equals, yet more subtly distorts governmental processes in such a way as to place special burdens on the ability of minority groups to achieve beneficial legislation.” *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457, 467 (1982) (internal quotation marks omitted). Such restructuring, the Court explained, “is no more permissible than denying [the minority] the [right to] vote, on an equal basis with others.” *Hunter v. Erickson*, 393 U. S. 385, 391 (1969). In those cases—*Hunter* and *Seattle*—the Court recognized what is now known as the “political-process doctrine”: When the majority reconfigures the political process in a manner that burdens only a racial minority, that alteration triggers strict judicial scrutiny.

Today, disregarding *stare decisis*, a majority of the Court effectively discards those precedents. The plurality does so, it tells us, because the freedom actually secured by the Constitution is the freedom of self-government—because the majority of Michigan citizens “exercised their privilege to enact laws as a basic exercise of their democratic power.” *Ante*, at 15. It would be “demeaning to the democratic process,” the plurality concludes, to disturb that decision in any way. *Ante*, at 17. This logic embraces majority rule without an important constitutional limit.

The plurality’s decision fundamentally misunderstands the nature of the injustice worked by §26. This case is not, as the plurality imagines, about “who may resolve” the debate over the use of race in higher education admissions. *Ante*, at 18. I agree wholeheartedly that nothing vests the resolution of that debate exclusively in the courts or requires that we remove it from the reach of the electorate. Rather, this case is about *how* the debate over the use of race-sensitive admissions policies may be resolved,

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contra, *ibid.*—that is, it must be resolved in constitutionally permissible ways. While our Constitution does not guarantee minority groups victory in the political process, it does guarantee them meaningful and equal access to that process. It guarantees that the majority may not win by stacking the political process against minority groups permanently, forcing the minority alone to surmount unique obstacles in pursuit of its goals—here, educational diversity that cannot reasonably be accomplished through race-neutral measures. Today, by permitting a majority of the voters in Michigan to do what our Constitution forbids, the Court ends the debate over race-sensitive admissions policies in Michigan in a manner that contravenes constitutional protections long recognized in our precedents.

Like the plurality, I have faith that our citizenry will continue to learn from this Nation’s regrettable history; that it will strive to move beyond those injustices towards a future of equality. And I, too, believe in the importance of public discourse on matters of public policy. But I part ways with the plurality when it suggests that judicial intervention in this case “impede[s]” rather than “advance[s]” the democratic process and the ultimate hope of equality. *Ante*, at 16. I firmly believe that our role as judges includes policing the process of self-government and stepping in when necessary to secure the constitutional guarantee of equal protection. Because I would do so here, I respectfully dissent.

I

For much of its history, our Nation has denied to many of its citizens the right to participate meaningfully and equally in its politics. This is a history we strive to put behind us. But it is a history that still informs the society we live in, and so it is one we must address with candor. Because the political-process doctrine is best understood against the backdrop of this history, I will briefly trace its

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course.

The Fifteenth Amendment, ratified after the Civil War, promised to racial minorities the right to vote. But many States ignored this promise. In addition to outright tactics of fraud, intimidation, and violence, there are countless examples of States categorically denying to racial minorities access to the political process. Consider Texas; there, a 1923 statute prevented racial minorities from participating in primary elections. After this Court declared that statute unconstitutional, *Nixon v. Herndon*, 273 U. S. 536, 540–541 (1927), Texas responded by changing the rules. It enacted a new statute that gave political parties themselves the right to determine who could participate in their primaries. Predictably, the Democratic Party specified that only white Democrats could participate in its primaries. *Nixon v. Condon*, 286 U. S. 73, 81–82 (1932). The Court invalidated that scheme, too. *Id.*, at 89; see also *Smith v. Allwright*, 321 U. S. 649 (1944); *Terry v. Adams*, 345 U. S. 461 (1953).

Some States were less direct. Oklahoma was one of many that required all voters to pass a literacy test. But the test did not apply equally to all voters. Under a “grandfather clause,” voters were exempt if their grandfathers had been voters or had served as soldiers before 1866. This meant, of course, that black voters had to pass the test, but many white voters did not. The Court held the scheme unconstitutional. *Guinn v. United States*, 238 U. S. 347 (1915). In response, Oklahoma changed the rules. It enacted a new statute under which all voters who were qualified to vote in 1914 (under the unconstitutional grandfather clause) remained qualified, and the remaining voters had to apply for registration within a 12-day period. *Lane v. Wilson*, 307 U. S. 268, 270–271 (1939). The Court struck down that statute as well. *Id.*, at 275.

Racial minorities were occasionally able to surmount the hurdles to their political participation. Indeed, in some

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States, minority citizens were even able to win elective office. But just as many States responded to the Fifteenth Amendment by subverting minorities' access to the polls, many States responded to the prospect of elected minority officials by undermining the ability of minorities to win and hold elective office. Some States blatantly removed black officials from local offices. See, e.g., H. Rabinowitz, *Race Relations in the Urban South, 1865–1890*, pp. 267, 269–270 (1978) (describing events in Tennessee and Virginia). Others changed the processes by which local officials were elected. See, e.g., Extension of the Voting Rights Act, Hearings before the Subcommittee on Civil and Constitutional Rights of the House Committee on the Judiciary, 97th Cong., 1st Sess., pt. 1, pp. 2016–2017 (1981) (hereinafter 1981 Hearings) (statement of Professor J. Morgan Kousser) (after a black judge refused to resign in Alabama, the legislature abolished the court on which he served and replaced it with one whose judges were appointed by the Governor); Rabinowitz, *supra*, at 269–270 (the North Carolina Legislature divested voters of the right to elect justices of the peace and county commissioners, then arrogated to itself the authority to select justices of the peace and gave them the power to select commissioners).

This Court did not stand idly by. In Alabama, for example, the legislature responded to increased black voter registration in the city of Tuskegee by amending the State Constitution to authorize legislative abolition of the county in which Tuskegee was located, Ala. Const. Amdt. 132 (1957), repealed by Ala. Const. Amdt. 406 (1982), and by redrawing the city's boundaries to remove all the black voters "while not removing a single white voter," *Gomillion v. Lightfoot*, 364 U. S. 339, 341 (1960). The Court intervened, finding it "inconceivable that guarantees embedded in the Constitution" could be "manipulated out of existence" by being "cloaked in the garb of [political] rea-

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lignment.” *Id.*, at 345 (internal quotation marks omitted).

This Court’s landmark ruling in *Brown v. Board of Education*, 347 U. S. 483 (1954), triggered a new era of political restructuring, this time in the context of education. In Virginia, the General Assembly transferred control of student assignment from local school districts to a State Pupil Placement Board. See B. Muse, Virginia’s Massive Resistance 34, 74 (1961). And when the legislature learned that the Arlington County school board had prepared a desegregation plan, the General Assembly “swiftly retaliated” by stripping the county of its right to elect its school board by popular vote and instead making the board an appointed body. *Id.*, at 24; see also B. Smith, *They Closed Their Schools* 142–143 (1965).

Other States similarly disregarded this Court’s mandate by changing their political process. See, e.g., *Bush v. Orleans Parish School Bd.*, 187 F. Supp. 42, 44–45 (ED La. 1960) (the Louisiana Legislature gave the Governor the authority to supersede any school board’s decision to integrate); Extension of the Voting Rights Act, Hearings on H. R. 4249 et al. before Subcommittee No. 5 of the House Committee on the Judiciary, 91st Cong., 1st Sess., 146–149 (1969) (statement of Thomas E. Harris, Assoc. Gen. Counsel, American Federation of Labor and Congress of Industrial Organizations) (the Mississippi Legislature removed from the people the right to elect superintendents of education in 11 counties and instead made those positions appointive).

The Court remained true to its command in *Brown*. In Arkansas, for example, it enforced a desegregation order against the Little Rock school board. *Cooper v. Aaron*, 358 U. S. 1, 5 (1958). On the very day the Court announced that ruling, the Arkansas Legislature responded by changing the rules. It enacted a law permitting the Governor to close any public school in the State, and stripping local school districts of their decisionmaking authority so long

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as the Governor determined that local officials could not maintain “a general, suitable, and efficient educational system.” *Aaron v. Cooper*, 261 F. 2d 97, 99 (CA8 1958) (*per curiam*) (quoting Arkansas statute). The then-Governor immediately closed all of Little Rock’s high schools. *Id.*, at 99–100; see also S. Breyer, *Making Our Democracy Work* 49–67 (2010) (discussing the events in Little Rock).

The States’ political restructuring efforts in the 1960’s and 1970’s went beyond the context of education. Many States tried to suppress the political voice of racial minorities more generally by reconfiguring the manner in which they filled vacancies in local offices, often transferring authority from the electorate (where minority citizens had a voice at the local level) to the States’ executive branch (where minorities wielded little if any influence). See, *e.g.*, 1981 Hearings, pt. 1, at 815 (report of J. Cox & A. Turner) (the Alabama Legislature changed all municipal judgeships from elective to appointive offices); *id.*, at 1955 (report of R. Hudlin & K. Brimah, Voter Educ. Project, Inc.) (the Georgia Legislature eliminated some elective offices and made others appointive when it appeared that a minority candidate would be victorious); *id.*, at 501 (statement of Frank R. Parker, Director, Lawyers’ Comm. for Civil Rights Under Law) (the Mississippi Legislature changed the manner of filling vacancies for various public offices from election to appointment).

## II

It was in this historical context that the Court intervened in *Hunter v. Erickson*, 393 U. S. 385 (1969), and *Washington v. Seattle School Dist. No. 1*, 458 U. S. 457 (1982). Together, *Hunter* and *Seattle* recognized a fundamental strand of this Court’s equal protection jurisprudence: the political-process doctrine. To understand that doctrine fully, it is necessary to set forth in detail precisely

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what the Court had before it, and precisely what it said. For to understand *Hunter* and *Seattle* is to understand why those cases straightforwardly resolve this one.

A

In *Hunter*, the City Council of Akron, Ohio, enacted a fair housing ordinance to “assure equal opportunity to all persons to live in decent housing facilities regardless of race, color, religion, ancestry, or national origin.” 393 U. S., at 386 (internal quotation marks omitted). A majority of the citizens of Akron disagreed with the ordinance and overturned it. But the majority did not stop there; it also amended the city charter to prevent the City Council from implementing any future ordinance dealing with racial, religious, or ancestral discrimination in housing without the approval of the majority of the Akron electorate. *Ibid.* That amendment changed the rules of the political process in Akron. The Court described the result of the change as follows:

“[T]o enact an ordinance barring housing discrimination on the basis of race or religion, proponents had to obtain the approval of the City Council and of a majority of the voters citywide. To enact an ordinance preventing housing discrimination on other grounds, or to enact any other type of housing ordinance, proponents needed the support of only the City Council.” *Seattle*, 458 U. S., at 468 (describing *Hunter*; emphasis deleted).

The Court invalidated the Akron charter amendment under the Equal Protection Clause. It concluded that the amendment unjustifiably “place[d] special burdens on racial minorities within the governmental process,” thus effecting “a real, substantial, and invidious denial of the equal protection of the laws.” *Hunter*, 393 U. S., at 391, 393. The Court characterized the amendment as “no more

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permissible” than denying racial minorities the right to vote on an equal basis with the majority. *Id.*, at 391. For a “State may no more disadvantage any particular group by making it more difficult to enact legislation in its behalf than it may dilute any person’s vote or give any group a smaller representation than another of comparable size.” *Id.*, at 392–393. The vehicle for the change—a popular referendum—did not move the Court: “The sovereignty of the people,” it explained, “is itself subject to . . . constitutional limitations.” *Id.*, at 392.

Justice Harlan, joined by Justice Stewart, wrote in his concurrence that although a State can normally allocate political power according to any general principle, it bears a “far heavier burden of justification” when it reallocates political power based on race, because the selective reallocation necessarily makes it far more difficult for racial minorities to “achieve legislation that is in their interest.” *Id.*, at 395 (internal quotation marks omitted).

In *Seattle*, a case that mirrors the one before us, the Court applied *Hunter* to invalidate a statute, enacted by a majority of Washington State’s citizens, that prohibited racially integrative busing in the wake of *Brown*. As early as 1963, Seattle’s School District No. 1 began taking steps to cure the *de facto* racial segregation in its schools. 458 U. S., at 460–461. Among other measures, it enacted a desegregation plan that made extensive use of busing and mandatory assignments. *Id.*, at 461. The district was under no obligation to adopt the plan; *Brown* charged school boards with a duty to integrate schools that were segregated because of *de jure* racial discrimination, but there had been no finding that the *de facto* segregation in Seattle’s schools was the product of *de jure* discrimination. 458 U. S., at 472, n. 15. Several residents who opposed the desegregation efforts formed a committee and sued to enjoin implementation of the plan. *Id.*, at 461. When these efforts failed, the committee sought to change the

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rules of the political process. It drafted a statewide initiative “designed to terminate the use of mandatory busing for purposes of racial integration.” *Id.*, at 462. A majority of the State’s citizens approved the initiative. *Id.*, at 463–464.

The Court invalidated the initiative under the Equal Protection Clause. It began by observing that equal protection of the laws “guarantees racial minorities the right to full participation in the political life of the community.” *Id.*, at 467. “It is beyond dispute,” the Court explained, “that given racial or ethnic groups may not be denied the franchise, or precluded from entering into the political process in a reliable and meaningful manner.” *Ibid.* But the Equal Protection Clause reaches further, the Court stated, reaffirming the principle espoused in *Hunter*—that while “laws structuring political institutions or allocating political power according to neutral principles” do not violate the Constitution, “a different analysis is required when the State allocates governmental power nonneutrally, by explicitly using the *racial* nature of a decision to determine the decisionmaking process.” 458 U. S., at 470. That kind of state action, it observed, “places *special* burdens on racial minorities within the governmental process,” by making it “*more* difficult for certain racial and religious minorities” than for other members of the community “to achieve legislation . . . in their interest.” *Ibid.*

Rejecting the argument that the initiative had no racial focus, the Court found that the desegregation of public schools, like the Akron housing ordinance, “inure[d] primarily to the benefit of the minority, and [was] designed for that purpose.” *Id.*, at 472. Because minorities had good reason to “consider busing for integration to be ‘legislation that is in their interest,’” the Court concluded that the “racial focus of [the initiative] . . . suffice[d] to trigger application of the *Hunter* doctrine.” *Id.*, at 474 (quoting *Hunter*, 393 U. S., at 395) (Harlan, J. concurring)).

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The Court next concluded that “the practical effect of [the initiative was] to work a reallocation of power of the kind condemned in *Hunter*.” *Seattle*, 458 U. S., at 474. It explained: “Those favoring the elimination of *de facto* school segregation now must seek relief from the state legislature, or from the statewide electorate. Yet authority over all other student assignment decisions, as well as over most other areas of educational policy, remains vested in the local school board.” *Ibid.* Thus, the initiative required those in favor of racial integration in public schools to “surmount a considerably higher hurdle than persons seeking comparable legislative action” in different contexts. *Ibid.*

The Court reaffirmed that the “‘simple repeal or modification of desegregation or antidiscrimination laws, without more, never has been viewed as embodying a presumptively invalid racial classification.’” *Id.*, at 483 (quoting *Crawford v. Board of Ed. of Los Angeles*, 458 U. S. 527, 539 (1982)). But because the initiative burdened future attempts to integrate by lodging the decisionmaking authority at a “new and remote level of government,” it was more than a “mere repeal”; it was an unconstitutionally discriminatory change to the political process.<sup>3</sup> *Seattle*,

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<sup>3</sup>In *Crawford*, the Court confronted an amendment to the California Constitution prohibiting state courts from mandating pupil assignments unless a federal court would be required to do so under the Equal Protection Clause. We upheld the amendment as nothing more than a repeal of existing legislation: The standard previously required by California went beyond what was federally required; the amendment merely moved the standard back to the federal baseline. The Court distinguished the amendment from the one in *Seattle* because it left the rules of the political game unchanged. Racial minorities in *Crawford*, unlike racial minorities in *Seattle*, could still appeal to their local school districts for relief.

The *Crawford* Court distinguished *Hunter v. Erickson*, 393 U. S. 385 (1969), by clarifying that the charter amendment in *Hunter* was “something more than a mere repeal” because it altered the framework of the

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458 U. S., at 483–484.

B

*Hunter* and *Seattle* vindicated a principle that is as elementary to our equal protection jurisprudence as it is essential: The majority may not suppress the minority’s right to participate on equal terms in the political process. Under this doctrine, governmental action deprives minority groups of equal protection when it (1) has a racial focus, targeting a policy or program that “inures primarily to the benefit of the minority,” *Seattle*, 458 U. S., at 472; and (2) alters the political process in a manner that uniquely burdens racial minorities’ ability to achieve their goals through that process. A faithful application of the doctrine resoundingly resolves this case in respondents’ favor.

1

Section 26 has a “racial focus.” *Seattle*, 458 U. S., at 474. That is clear from its text, which prohibits Michigan’s public colleges and universities from “grant[ing] preferential treatment to any individual or group on the basis of race.” Mich. Const., Art. I, §26. Like desegregation of public schools, race-sensitive admissions policies “inur[e] primarily to the benefit of the minority,” 458 U. S., at 472, as they are designed to increase minorities’ access to institutions of higher education.<sup>4</sup>

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political process. 458 U. S., at 540. And the *Seattle* Court drew the same distinction when it held that the initiative “work[ed] something more than the ‘mere repeal’ of a desegregation law by the political entity that created it.” 458 U. S., at 483.

<sup>4</sup>JUSTICE SCALIA accuses me of crafting my own version (or versions) of the racial-focus prong. See *ante*, at 8–9, n. 4 (opinion concurring in judgment). I do not. I simply apply the test announced in *Seattle*: whether the policy in question “inures primarily to the benefit of the minority.” 458 U. S., at 472. JUSTICE SCALIA ignores this analysis, see Part II–B–1, *supra*, and instead purports to identify three versions of the test that he thinks my opinion advances. The first—whether “the policy in question *benefits* only a racial minority,” *ante*, at 8, n. 4

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Petitioner argues that race-sensitive admissions policies cannot “inur[e] primarily to the benefit of the minority,” *ibid.*, as the Court has upheld such policies only insofar as they further “the educational benefits that flow from a diverse student body,” *Grutter*, 539 U. S., at 343. But there is no conflict between this Court’s pronouncement in *Grutter* and the common-sense reality that race-sensitive admissions policies benefit minorities. Rather, race-sensitive admissions policies further a compelling state interest in achieving a diverse student body precisely because they increase minority enrollment, which necessarily benefits minority groups. In other words, constitutionally permissible race-sensitive admissions policies can both serve the compelling interest of obtaining the educational benefits that flow from a diverse student body, and inure to the benefit of racial minorities. There is nothing mutually exclusive about the two. Cf. *Seattle*, 458 U. S., at 472 (concluding that the desegregation plan had a racial focus even though “white as well as Negro children benefit from exposure to ‘ethnic and racial diversity in the classroom’”).

It is worth emphasizing, moreover, that §26 is relevant

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(quoting *supra*, at 5)—misunderstands the doctrine and misquotes my opinion. The racial-focus prong has never required a policy to benefit *only* a minority group. The sentence from which JUSTICE SCALIA appears to quote makes the altogether different point that the political-process doctrine is obviously not implicated in the first place by a restructuring that burdens members of society equally. This is the second prong of the political-process doctrine. See *supra*, at 5 (explaining that the political-process doctrine is implicated “[w]hen the majority reconfigures the political process in a manner that burdens only a racial minority”). The second version—which asks whether a policy “benefits *primarily* a racial minority,” *ante*, at 8, n. 4—is the one articulated by the *Seattle* Court and, as I have explained, see *supra*, at 15 and this page, it is easily met in this case. And the third—whether the policy has “the incidental effect” of benefitting racial minorities,” *ante*, at 8–9, n. 4—is not a test I advance at all.

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only to admissions policies that have survived strict scrutiny under *Grutter*; other policies, under this Court’s rulings, would be forbidden with or without §26. A *Grutter*-compliant admissions policy must use race flexibly, not maintain a quota; must be limited in time; and must be employed only after “serious, good faith consideration of workable race-neutral alternatives,” 539 U. S., at 339. The policies banned by §26 meet all these requirements and thus already constitute the least restrictive ways to advance Michigan’s compelling interest in diversity in higher education.

2

Section 26 restructures the political process in Michigan in a manner that places unique burdens on racial minorities. It establishes a distinct and more burdensome political process for the enactment of admissions plans that consider racial diversity.

Long before the enactment of §26, the Michigan Constitution granted plenary authority over all matters relating to Michigan’s public universities, including admissions criteria, to each university’s eight-member governing board. See Mich. Const., Art. VIII, §5 (establishing the Board of Regents of the University of Michigan, the Board of Trustees of Michigan State University, and the Board of Governors of Wayne State University). The boards have the “power to enact ordinances, by-laws and regulations for the government of the university.” Mich. Comp. Laws Ann. §390.5 (West 2010); see also §390.3 (“The government of the university is vested in the board of regents”). They are ““constitutional corporation[s] of independent authority, which, within the scope of [their] functions, [are] co-ordinate with and equal to . . . the legislature.”” *Federated Publications, Inc. v. Board of Trustees of Mich. State Univ.*, 460 Mich. 75, 84, n. 8, 594 N. W. 2d 491, 496, n. 8 (1999).

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The boards are indisputably a part of the political process in Michigan. Each political party nominates two candidates for membership to each board, and board members are elected to 8-year terms in the general statewide election. See Mich. Comp. Laws Ann. §§168.282, 168.286 (West 2008); Mich. Const., Art. VIII, §5. Prior to §26, board candidates frequently included their views on race-sensitive admissions in their campaigns. For example, in 2005, one candidate pledged to “work to end so-called ‘Affirmative-Action,’ a racist, degrading system.” See League of Women Voters, 2005 General Election Voter Guide, online at <http://www.lwvka.org/guide04/regents/html> (all Internet materials as visited Apr. 18, 2014, and available in Clerk of Court’s case file); see also George, U-M Regents Race Tests Policy, Detroit Free Press, Oct. 26, 2000, p. 2B (noting that one candidate “opposes affirmative action admissions policies” because they “basically sa[y] minority students are not qualified”).

Before the enactment of §26, Michigan’s political structure permitted both supporters and opponents of race-sensitive admissions policies to vote for their candidates of choice and to lobby the elected and politically accountable boards. Section 26 reconfigured that structure. After §26, the boards retain plenary authority over all admissions criteria *except* for race-sensitive admissions policies.<sup>5</sup> To change admissions policies on this one issue, a Michigan citizen must instead amend the Michigan Constitution. That is no small task. To place a proposed constitutional

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<sup>5</sup>By stripping the governing boards of the authority to decide whether to adopt race-sensitive admissions policies, the majority removed the decision from bodies well suited to make that decision: boards engaged in the arguments on both sides of a matter, which deliberate and then make and refine “considered judgment[s]” about racial diversity and admissions policies, see *Grutter*, 539 U. S., at 387 (KENNEDY, J., dissenting).

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amendment on the ballot requires either the support of two-thirds of both Houses of the Michigan Legislature or a vast number of signatures from Michigan voters—10 percent of the total number of votes cast in the preceding gubernatorial election. See Mich. Const., Art. XII, §§1, 2. Since more than 3.2 million votes were cast in the 2010 election for Governor, more than 320,000 signatures are currently needed to win a ballot spot. See Brief for Gary Segura et al. as *Amici Curiae* 9 (hereinafter Segura Brief). Moreover, “[t]o account for invalid and duplicative signatures, initiative sponsors ‘need to obtain substantially more than the actual required number of signatures, typically by a 25% to 50% margin.’” *Id.*, at 10 (quoting Tolbert, Lowenstein, & Donovan, *Election Law and Rules for Using Initiatives*, in *Citizens as Legislators: Direct Democracy in the United States* 27, 37 (S. Bowler, T. Donovan, & C. Tolbert eds., 1998)).

And the costs of qualifying an amendment are significant. For example, “[t]he vast majority of petition efforts . . . require initiative sponsors to hire paid petition circulators, at significant expense.” Segura Brief 10; see also T. Donovan, C. Mooney, & D. Smith, *State and Local Politics: Institutions and Reform* 96 (2012) (hereinafter Donovan) (“In many states, it is difficult to place a measure on the ballot unless professional petition firms are paid to collect some or all the signatures required for qualification”); Tolbert, *supra*, at 35 (“Qualifying an initiative for the statewide ballot is . . . no longer so much a measure of general citizen interest as it is a test of fundraising ability’’). In addition to the cost of collecting signatures, campaigning for a majority of votes is an expensive endeavor, and “organizations advocating on behalf of marginalized groups remain . . . outmoneied by corporate, business, and professional organizations.” Strolovitch & Forrest, *Social and Economic Justice Movements and Organizations*, in *The Oxford Handbook of*

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American Political Parties and Interest Groups 468, 471 (L. Maisel & J. Berry eds., 2010). In 2008, for instance, over \$800 million was spent nationally on state-level initiative and referendum campaigns, nearly \$300 million more than was spent in the 2006 cycle. Donovan 98. “In several states, more money [is] spent on ballot initiative campaigns than for all other races for political office combined.” *Ibid.* Indeed, the amount spent on state-level initiative and referendum campaigns in 2008 eclipsed the \$740.6 million spent by President Obama in his 2008 presidential campaign, Salant, Spending Doubled as Obama Led Billion-Dollar Campaign, Bloomberg News, Dec. 27, 2008, online at <http://www.bloomberg.com/apps/news?pid=newsarchive&sid=anLDS9WWPQW8>.

Michigan’s Constitution has only rarely been amended through the initiative process. Between 1914 and 2000, voters have placed only 60 statewide initiatives on the Michigan ballot, of which only 20 have passed. See Segura Brief 12. Minority groups face an especially uphill battle. See Donovan 106 (“[O]n issues dealing with racial and ethnic matters, studies show that racial and ethnic minorities do end up more on the losing side of the popular vote”). In fact, “[i]t is difficult to find even a single statewide initiative in any State in which voters approved policies that explicitly favor racial or ethnic minority groups.”<sup>6</sup> Segura Brief 13.

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<sup>6</sup>In the face of this overwhelming evidence, JUSTICE SCALIA claims that it is actually easier, not harder, for minorities to effectuate change at the constitutional amendment level than at the board level. See *ante*, at 11–12 (opinion concurring in judgment) (“voting in a favorable board (each of which has eight members) at the three major public universities requires electing by majority vote at least 15 different candidates, several of whom would be running during different election cycles”). This claim minimizes just how difficult it is to amend the State Constitution. See *supra*, at 18–20. It is also incorrect in its premise that minorities must elect an entirely new slate of board members in order to effectuate change at the board level. JUSTICE

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This is the onerous task that §26 forces a Michigan citizen to complete in order to change the admissions policies of Michigan’s public colleges and universities with respect to racial sensitivity. While substantially less grueling paths remain open to those advocating for any other admissions policies, a constitutional amendment is the only avenue by which race-sensitive admissions policies may be obtained. The effect of §26 is that a white graduate of a public Michigan university who wishes to pass his historical privilege on to his children may freely lobby the board of that university in favor of an expanded legacy admissions policy, whereas a black Michigander who was denied the opportunity to attend that very university cannot lobby the board in favor of a policy that might give his children a chance that he never had and that they might never have absent that policy.

Such reordering of the political process contravenes *Hunter* and *Seattle*.<sup>7</sup> See *Seattle*, 458 U. S., at 467 (the Equal Protection Clause prohibits “a political structure that treats all individuals as equals,” yet more subtly distorts governmental processes in such a way as to place special burdens on the ability of minority groups to

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SCALIA overlooks the fact that minorities need not elect any new board members in order to effect change; they may instead seek to persuade existing board members to adopt changes in their interests.

<sup>7</sup>I do not take the position, as JUSTICE SCALIA asserts, that the process of amending the Michigan Constitution is not a part of Michigan’s existing political process. See *ante*, at 13–14 (opinion concurring in judgment). It clearly is. The problem with §26 is not that “amending Michigan’s Constitution is simply not a part of that State’s ‘existing political process.’” *Ante*, at 14. It is that §26 reconfigured the political process in Michigan such that it is now more difficult for racial minorities, and racial minorities alone, to achieve legislation in their interest. Section 26 elevated the issue of race-sensitive admissions policies, and not any other kinds of admissions policies, to a higher plane of the existing political process in Michigan: that of a constitutional amendment.

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achieve beneficial legislation" (citation omitted)). Where, as here, the majority alters the political process to the detriment of a racial minority, the governmental action is subject to strict scrutiny. See *id.*, at 485, n. 28. Michigan does not assert that §26 satisfies a compelling state interest. That should settle the matter.

C  
1

The plurality sees it differently. Disregarding the language used in *Hunter*, the plurality asks us to contort that case into one that "rests on the unremarkable principle that the State may not alter the procedures of government to target racial minorities." *Ante*, at 8. And the plurality recasts *Seattle* "as a case in which the state action in question . . . had the serious risk, if not purpose, of causing specific injuries on account of race." *Ante*, at 8–9. According to the plurality, the *Hunter* and *Seattle* Courts were not concerned with efforts to reconfigure the political process to the detriment of racial minorities; rather, those cases invalidated governmental actions merely because they reflected an invidious purpose to discriminate. This is not a tenable reading of those cases.

The plurality identifies "invidious discrimination" as the "necessary result" of the restructuring in *Hunter*. *Ante*, at 8. It is impossible to assess whether the housing amendment in *Hunter* was motivated by discriminatory purpose, for the opinion does not discuss the question of intent.<sup>8</sup>

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<sup>8</sup>It certainly is fair to assume that some voters may have supported the *Hunter* amendment because of discriminatory animus. But others may have been motivated by their strong beliefs in the freedom of contract or the freedom to alienate property. Similarly, here, although some Michiganders may have voted for §26 out of racial animus, some may have been acting on a personal belief, like that of some of my colleagues today, that using race-sensitive admissions policies in higher education is unwise. The presence (or absence) of invidious discrimination has no place in the current analysis. That is the very purpose of

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What is obvious, however, is that the possibility of invidious discrimination played no role in the Court’s reasoning. We ordinarily understand our precedents to mean what they actually say, not what we later think they could or should have said. The *Hunter* Court was clear about why it invalidated the Akron charter amendment: It was impermissible as a restructuring of the political process, not as an action motivated by discriminatory intent. See 393 U. S., at 391 (striking down the Akron charter amendment because it “places a special burden on racial minorities within the governmental process”).

Similarly, the plurality disregards what *Seattle* actually says and instead opines that “the political restriction in question was designed to be used, or was likely to be used, to encourage infliction of injury by reason of race.” *Ante*, at 17. Here, the plurality derives its conclusion not from *Seattle* itself, but from evidence unearthed more than a quarter-century later in *Parents Involved in Community Schools v. Seattle School Dist. No. 1*, 551 U. S. 701 (2007): “Although there had been no judicial finding of *de jure* segregation with respect to Seattle’s school district, it appears as though school desegregation in the district in the 1940’s and 1950’s *may have been* the partial result of school board policies that ‘permitted white students to transfer out of black schools while restricting the transfer of black students into white schools.’”<sup>9</sup> *Ante*, at 9 (quoting *Parents Involved*, 551 U. S., at 807–808 (BREYER, J., dissenting) (emphasis added)). It follows, according to the

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the political-process doctrine; it operates irrespective of discriminatory intent, for it protects a process-based right.

<sup>9</sup>The plurality relies on JUSTICE BREYER’s dissent in *Parents Involved* to conclude that “one permissible reading of the record was that the school board had maintained policies to perpetuate racial segregation in the schools.” *Ante*, at 9–10. Remarkably, some Members of today’s plurality criticized JUSTICE BREYER’s reading of the record in *Parents Involved* itself. See 551 U. S., at 736.

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plurality, that Seattle’s desegregation plan was constitutionally required, so that the initiative halting the plan was an instance of invidious discrimination aimed at inflicting a racial injury.

Again, the plurality might prefer that the *Seattle* Court had said that, but it plainly did not. Not once did the Court suggest the presence of *de jure* segregation in Seattle. Quite the opposite: The opinion explicitly suggested the desegregation plan was adopted to remedy *de facto* rather than *de jure* segregation. See 458 U. S., at 472, n. 15 (referring to the “absen[ce]” of “a finding of prior *de jure* segregation”). The Court, moreover, assumed that no “constitutional violation” through *de jure* segregation had occurred. *Id.*, at 474. And it unmistakably rested its decision on *Hunter*, holding Seattle’s initiative invalid because it “use[d] the racial nature of an issue to define the governmental decisionmaking structure, and thus impose[d] substantial and unique burdens on racial minorities.” 458 U. S., at 470.

It is nothing short of baffling, then, for the plurality to insist—in the face of clear language in *Hunter* and *Seattle* saying otherwise—that those cases were about nothing more than the intentional and invidious infliction of a racial injury. *Ante*, at 8 (describing the injury in *Hunter* as “a demonstrated injury on the basis of race”); *ante*, at 8–9 (describing the injury in *Seattle* as an “injur[y] on account of race”). The plurality’s attempt to rewrite *Hunter* and *Seattle* so as to cast aside the political-process doctrine *sub silentio* is impermissible as a matter of *stare decisis*. Under the doctrine of *stare decisis*, we usually stand by our decisions, even if we disagree with them, because people rely on what we say, and they believe they can take us at our word.

And what now of the political-process doctrine? After the plurality’s revision of *Hunter* and *Seattle*, it is unclear what is left. The plurality certainly does not tell us. On

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this point, and this point only, I agree with JUSTICE SCALIA that the plurality has rewritten those precedents beyond recognition. See *ante*, at 5–7 (opinion concurring in judgment).

2

JUSTICE BREYER concludes that *Hunter* and *Seattle* do not apply. Section 26, he reasons, did not move the relevant decisionmaking authority from one political level to another; rather, it removed that authority from “unelected actors and placed it in the hands of the voters.” *Ante*, at 5 (opinion concurring in judgment). He bases this conclusion on the premise that Michigan’s elected boards “delegated admissions-related decisionmaking authority to unelected university faculty members and administrators.” *Ibid.* But this premise is simply incorrect.

For one thing, it is undeniable that prior to §26, board candidates often pledged to end or carry on the use of race-sensitive admissions policies at Michigan’s public universities. See *supra*, at 18. Surely those were not empty promises. Indeed, the issue of race-sensitive admissions policies often dominated board elections. See, e.g., George, Detroit Free Press, at 2B (observing that “[t]he race for the University of Michigan Board of Regents could determine . . . the future of [the University’s] affirmative action policies”); Kosseff, UM Policy May Hang On Election, Crain’s Detroit Business, Sept. 18, 2000, p. 1 (noting that an upcoming election could determine whether the University would continue to defend its affirmative action policies); University of Michigan’s Admissions Policy Still an Issue for Regents’ Election, Black Issues in Higher Education, Oct. 21, 2004, p. 17 (commenting that although “the Supreme Court struck down the University of Michigan’s undergraduate admissions policy as too formulaic,” the issue “remains an important [one] to several people running” in an upcoming election for the Board of

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Regents).

Moreover, a careful examination of the boards and their governing structure reveals that they remain actively involved in setting admissions policies and procedures. Take Wayne State University, for example. Its Board of Governors has enacted university statutes that govern the day-to-day running of the institution. See Wayne State Univ. Stat., online at <http://bog.wayne.edu/code>. A number of those statutes establish general admissions procedures, see §2.34.09 (establishing undergraduate admissions procedures); §2.34.12 (establishing graduate admissions procedures), and some set out more specific instructions for university officials, see, *e.g.*, §2.34.09.030 (“Admissions decisions will be based on a full evaluation of each student’s academic record, and on empirical data reflecting the characteristics of students who have successfully graduated from [the university] within the four years prior to the year in which the student applies”); §§2.34.12.080, 2.34.12.090 (setting the requisite grade point average for graduate applicants).

The Board of Governors does give primary responsibility over day-to-day admissions matters to the university’s President. §2.34.09.080. But the President is “elected by and answerable to the Board.” Brief for Respondent Board of Governors of Wayne State University et al. 15. And while university officials and faculty members “serv[e] an important advisory role in recommending educational policy,” *id.*, at 14, the Board alone ultimately controls educational policy and decides whether to adopt (or reject) program-specific admissions recommendations. For example, the Board has voted on recommendations “to revise guidelines for establishment of honors curricula, including admissions criteria”; “to modify the honor point criteria for graduate admission”; and “to modify the maximum number of transfer credits that the university would allow in certain cases where articulation agreements rendered

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modification appropriate.” *Id.*, at 17; see also *id.*, at 18–20 (providing examples of the Board’s “review[ing] and pass[ing] upon admissions requirements in the course of voting on broader issues, such as the implementation of new academic programs”). The Board also “engages in robust and regular review of administrative actions involving admissions policy and related matters.” *Id.*, at 16.

Other public universities more clearly entrust admissions policy to university officials. The Board of Regents of the University of Michigan, for example, gives primary responsibility for admissions to the Associate Vice Provost, Executive Director of Undergraduate Admissions, and Directors of Admissions. Bylaws §8.01, online at <http://www.regents.umich.edu/bylaws>. And the Board of Trustees of Michigan State University relies on the President to make recommendations regarding admissions policies. Bylaws, Art. 8, online at <http://www.trustees.msu.edu/bylaws>. But the bylaws of the Board of Regents and the Board of Trustees “make clear that all university operations remain subject to their control.” Brief for Respondents Regents of the University of Michigan, the Board of Trustees of Michigan State University et al. 13–14.

The boards retain ultimate authority to adopt or reject admissions policies in at least three ways. First, they routinely meet with university officials to review admissions policies, including race-sensitive admissions policies. For example, shortly after this Court’s decisions in *Gratz v. Bollinger*, 539 U. S. 244 (2003), and *Grutter*, 539 U. S., at 306, the President of the University of Michigan appeared before the University’s Board of Regents to discuss the impact of those decisions on the University. See Proceedings 2003–2004, pp. 10–12 (July 2003), online at <http://name.umdl.umich.edu/ACW7513.2003.001>. Six members of the Board voiced strong support for the University’s use of race as a factor in admissions. *Id.*, at 11–12. In June 2004, the President again appeared before the

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Board to discuss changes to undergraduate admissions policies. *Id.*, at 301 (June 2004). And in March 2007, the University’s Provost appeared before the Board of Regents to present strategies to increase diversity in light of the passage of Proposal 2. *Proceedings 2006–2007*, pp. 264–265 (Mar. 2007), online at <http://name.umdl.umich.edu/ACW7513.2006.001>.

Second, the boards may enact bylaws with respect to specific admissions policies and may alter any admissions policies set by university officials. The Board of Regents may amend any bylaw “at any regular meeting of the board, or at any special meeting, provided notice is given to each regent one week in advance.” Bylaws §14.03. And Michigan State University’s Board of Trustees may, “[u]pon the recommendation of the President[,] . . . determine and establish the qualifications of students for admissions at any level.” Bylaws, Art. 8. The boards may also permanently remove certain admissions decisions from university officials.<sup>10</sup> This authority is not merely theoretical. Between 2008 and 2012, the University of Michigan’s Board of Regents “revised more than two dozen of its bylaws, two of which fall within Chapter VIII, the section regulating admissions practices.” App. to Pet. for Cert. 30a.

Finally, the boards may appoint university officials who share their admissions goals, and they may remove those officials if the officials’ goals diverge from those of the boards. The University of Michigan’s Board of Regents “directly appoints [the University’s] Associate Vice Provost and Executive Director of Undergraduate Admissions,”

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<sup>10</sup> Under the bylaws of the University of Michigan’s Board of Regents, “[a]ny and all delegations of authority made at any time and from time to time by the board to any member of the university staff, or to any unit of the university may be revoked by the board at any time, and notice of such revocation shall be given in writing.” Bylaws §14.04, online at <http://www.regents.umich.edu/bylaws>.

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and Michigan State University’s Board of Trustees elects that institution’s President. Brief for Respondents Regents of the University of Michigan, the Board of Trustees of Michigan State University et al. 14.

The salient point is this: Although the elected and politically accountable boards may well entrust university officials with certain day-to-day admissions responsibilities, they often weigh in on admissions policies themselves and, at all times, they retain complete supervisory authority over university officials and over all admissions decisions.

There is no question, then, that the elected boards in Michigan had the power to eliminate or adopt race-sensitive admissions policies prior to §26. There is also no question that §26 worked an impermissible reordering of the political process; it removed that power from the elected boards and placed it instead at a higher level of the political process in Michigan. See *supra*, at 17–22. This case is no different from *Hunter* and *Seattle* in that respect. Just as in *Hunter* and *Seattle*, minorities in Michigan “participated in the political process and won.” *Ante*, at 5 (BREYER, J., concurring in judgment). And just as in *Hunter* and *Seattle*, “the majority’s subsequent reordering of the political process repealed the minority’s successes and made it more difficult for the minority to succeed in the future,” thereby “diminish[ing] the minority’s ability to participate meaningfully in the electoral process.” *Ibid.* There is therefore no need to consider “extend[ing] the holding of *Hunter* and *Seattle* to reach situations in which decisionmaking authority is moved from an administrative body to a political one,” *ibid.* Such a scenario is not before us.

### III

The political-process doctrine not only resolves this case as a matter of *stare decisis*; it is correct as a matter of first

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principles.

A

Under our Constitution, majority rule is not without limit. Our system of government is predicated on an equilibrium between the notion that a majority of citizens may determine governmental policy through legislation enacted by their elected representatives, and the overriding principle that there are nonetheless some things the Constitution forbids even a majority of citizens to do. The political-process doctrine, grounded in the Fourteenth Amendment, is a central check on majority rule.

The Fourteenth Amendment instructs that all who act for the government may not “deny to any person . . . the equal protection of the laws.” We often think of equal protection as a guarantee that the government will apply the law in an equal fashion—that it will not intentionally discriminate against minority groups. But equal protection of the laws means more than that; it also secures the right of all citizens to participate meaningfully and equally in the process through which laws are created.

Few rights are as fundamental as the right to participate meaningfully and equally in the process of government. See *Yick Wo v. Hopkins*, 118 U. S. 356, 370 (1886) (political rights are “fundamental” because they are “preservative of all rights”). That right is the bedrock of our democracy, recognized from its very inception. See J. Ely, *Democracy and Distrust* 87 (1980) (the Constitution “is overwhelmingly concerned, on the one hand, with procedural fairness in the resolution of individual disputes,” and on the other, “with ensuring broad participation in the processes and distributions of government”).

This should come as no surprise. The political process is the channel of change. *Id.*, at 103 (describing the importance of the judiciary in policing the “channels of political change”). It is the means by which citizens may both

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obtain desirable legislation and repeal undesirable legislation. Of course, we do not expect minority members of our society to obtain every single result they seek through the political process—not, at least, when their views conflict with those of the majority. The minority plainly does not have a right to prevail over majority groups in any given political contest. But the minority does have a right to play by the same rules as the majority. It is this right that *Hunter* and *Seattle* so boldly vindicated.

This right was hardly novel at the time of *Hunter* and *Seattle*. For example, this Court focused on the vital importance of safeguarding minority groups' access to the political process in *United States v. Carolene Products Co.*, 304 U. S. 144 (1938), a case that predated *Hunter* by 30 years. In a now-famous footnote, the Court explained that while ordinary social and economic legislation carries a presumption of constitutionality, the same may not be true of legislation that offends fundamental rights or targets minority groups. Citing cases involving restrictions on the right to vote, restraints on the dissemination of information, interferences with political organizations, and prohibition of peaceable assembly, the Court recognized that "legislation which restricts those political processes which can ordinarily be expected to bring about repeal of undesirable legislation" could be worthy of "more exacting judicial scrutiny under the general prohibitions of the Fourteenth Amendment than are most other types of legislation." *Id.*, at 152, n. 4; see also Ely, *supra*, at 76 (explaining that "[p]aragraph two [of *Carolene Products* footnote 4] suggests that it is an appropriate function of the Court to keep the machinery of democratic government running as it should, to make sure the channels of political participation and communication are kept open"). The Court also noted that "prejudice against discrete and insular minorities may be a special condition, which tends seriously to curtail the operation of those political pro-

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cesses ordinarily to be relied upon to protect minorities, and which may call for a correspondingly more searching judicial inquiry.” *Carolene Products*, 304 U. S., at 153, n. 4, see also Ely, *supra*, at 76 (explaining that “[p]aragraph three [of *Carolene Products* footnote 4] suggests that the Court should also concern itself with what majorities do to minorities, particularly mentioning laws ‘directed at’ religious, national and racial minorities and those infected by prejudice against them”).

The values identified in *Carolene Products* lie at the heart of the political-process doctrine. Indeed, *Seattle* explicitly relied on *Carolene Products*. See 458 U. S., at 486 (“[W]hen the State’s allocation of power places unusual burdens on the ability of racial groups to enact legislation specifically designed to overcome the ‘special condition’ of prejudice, the governmental action seriously ‘curtail[s] the operation of those political processes ordinarily to be relied upon to protect minorities’” (quoting *Carolene Products*, 304 U. S., at 153, n. 4)). These values are central tenets of our equal protection jurisprudence.

Our cases recognize at least three features of the right to meaningful participation in the political process. Two of them, thankfully, are uncontroversial. First, every eligible citizen has a right to vote. See *Shaw v. Reno*, 509 U. S. 630, 639 (1993). This, woefully, has not always been the case. But it is a right no one would take issue with today. Second, the majority may not make it more difficult for the minority to exercise the right to vote. This, too, is widely accepted. After all, the Court has invalidated grandfather clauses, good character requirements, poll taxes, and gerrymandering provisions.<sup>11</sup> The third fea-

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<sup>11</sup> Attempts by the majority to make it more difficult for the minority to exercise its right to vote are, sadly, not a thing of the past. See *Shelby County v. Holder*, 570 U. S. \_\_\_, \_\_\_ (2013) (slip op., at 15–17) (GINSBURG, J., dissenting) (describing recent examples of discriminatory changes to state voting laws, including a 1995 dual voter registration

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ture, the one the plurality dismantles today, is that a majority may not reconfigure the existing political process in a manner that creates a two-tiered system of political change, subjecting laws designed to protect or benefit discrete and insular minorities to a more burdensome political process than all other laws. This is the political-process doctrine of *Hunter* and *Seattle*.

My colleagues would stop at the second. The plurality embraces the freedom of “self-government” without limits. See *ante*, at 13. And JUSTICE SCALIA values a “near-limitless” notion of state sovereignty. See *ante*, at 13 (opinion concurring in judgment). The wrong sought to be corrected by the political-process doctrine, they say, is not one that should concern us and is in any event beyond the reach of the Fourteenth Amendment. As they see it, the Court’s role in protecting the political process ends once we have removed certain barriers to the minority’s participation in that process. Then, they say, we must sit back and let the majority rule without the key constitutional limit recognized in *Hunter* and *Seattle*.

That view drains the Fourteenth Amendment of one of its core teachings. Contrary to today’s decision, protecting the right to meaningful participation in the political process must mean more than simply removing barriers to participation. It must mean vigilantly policing the political process to ensure that the majority does not use other methods to prevent minority groups from partaking in that process on equal footing. Why? For the same reason we guard the right of every citizen to vote. If “[e]fforts to reduce the impact of minority votes, in contrast to direct

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system in Mississippi to disfranchise black voters, a 2000 redistricting plan in Georgia to decrease black voting strength, and a 2003 proposal to change the voting mechanism for school board elections in South Carolina). Until this Court’s decision last Term in *Shelby County*, the preclearance requirement of §5 of the Voting Rights Act of 1965 blocked those and many other discriminatory changes to voting procedures.

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attempts to block access to the ballot,” were ““second-generation barriers” to minority voting, *Shelby County v. Holder*, 570 U. S. \_\_\_, \_\_\_ (2013) (GINSBURG, J., dissenting) (slip op., at 5), efforts to reconfigure the political process in ways that uniquely disadvantage minority groups who have already long been disadvantaged are third-generation barriers. For as the Court recognized in *Seattle*, “minorities are no less powerless with the vote than without it when a racial criterion is used to assign governmental power in such a way as to exclude particular racial groups ‘from effective participation in the political process[es].’”<sup>12</sup> 458 U. S., at 486.

To accept the first two features of the right to meaningful participation in the political process, while renouncing the third, paves the way for the majority to do what it has done time and again throughout our Nation’s history: afford the minority the opportunity to participate, yet manipulate the ground rules so as to ensure the minority’s defeat. This is entirely at odds with our idea of equality under the law.

To reiterate, none of this is to say that the political-process doctrine prohibits the exercise of democratic self-government. Nothing prevents a majority of citizens from pursuing or obtaining its preferred outcome in a political contest. Here, for instance, I agree with the plurality that

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<sup>12</sup> Preserving the right to participate meaningfully and equally in the process of government is especially important with respect to education policy. I do not mean to suggest that “the constitutionality of laws forbidding racial preferences depends on the policy interest at stake.” *Ante*, at 14–15 (plurality opinion). I note only that we have long recognized that ““education . . . is the very foundation of good citizenship.”” *Grutter*, 539 U. S., at 331 (quoting *Brown v. Board of Education*, 347 U. S. 483, 493 (1954)). Our Nation’s colleges and universities “represent the training ground for a large number of our Nation’s leaders,” and so there is special reason to safeguard the guarantee “that public institutions are open and available to all segments of American society, including people of all races and ethnicities.” 539 U. S., at 331–332.

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Michiganders who were unhappy with *Grutter* were free to pursue an end to race-sensitive admissions policies in their State. See *ante*, at 16–17. They were free to elect governing boards that opposed race-sensitive admissions policies or, through public discourse and dialogue, to lobby the existing boards toward that end. They were also free to remove from the boards the authority to make any decisions with respect to admissions policies, as opposed to only decisions concerning race-sensitive admissions policies. But what the majority could not do, consistent with the Constitution, is change the ground rules of the political process in a manner that makes it more difficult for racial minorities alone to achieve their goals. In doing so, the majority effectively rigs the contest to guarantee a particular outcome. That is the very wrong the political-process doctrine seeks to remedy. The doctrine “hews to the unremarkable notion that when two competitors are running a race, one may not require the other to run twice as far or to scale obstacles not present in the first runner’s course.” *BAMN v. Regents of Univ. of Michigan*, 701 F. 3d 466, 474 (CA6 2012).

## B

The political-process doctrine also follows from the rest of our equal protection jurisprudence—in particular, our reapportionment and vote dilution cases. In those cases, the Court described the right to vote as “the essence of a democratic society.” *Shaw*, 509 U. S., at 639. It rejected States’ use of ostensibly race-neutral measures to prevent minorities from exercising their political rights. See *id.*, at 639–640. And it invalidated practices such as at-large electoral systems that reduce or nullify a minority group’s ability to vote as a cohesive unit, when those practices were adopted with a discriminatory purpose. *Id.*, at 641. These cases, like the political-process doctrine, all sought to preserve the political rights of the minority.

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Two more recent cases involving discriminatory restructurings of the political process are also worthy of mention: *Romer v. Evans*, 517 U. S. 620 (1996), and *League of United Latin American Citizens v. Perry*, 548 U. S. 399 (2006) (*LULAC*).

*Romer* involved a Colorado constitutional amendment that removed from the local political process an issue primarily affecting gay and lesbian citizens. The amendment, enacted in response to a number of local ordinances prohibiting discrimination against gay citizens, repealed these ordinances and effectively prohibited the adoption of similar ordinances in the future without another amendment to the State Constitution. 517 U. S., at 623–624. Although the Court did not apply the political-process doctrine in *Romer*,<sup>13</sup> the case resonates with the principles undergirding the political-process doctrine. The Court rejected an attempt by the majority to transfer decision-making authority from localities (where the targeted minority group could influence the process) to state government (where it had less ability to participate effectively). See *id.*, at 632 (describing this type of political restructuring as a “disability” on the minority group). Rather than being able to appeal to municipalities for policy changes, the Court commented, the minority was forced to “enlis[t] the citizenry of Colorado to amend the State Constitution,” *id.*, at 631—just as in this case.

*LULAC*, a Voting Rights Act case, involved an enactment by the Texas Legislature that redrew district lines for a number of Texas seats in the House of Representatives. 548 U. S., at 409 (plurality opinion). In striking

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<sup>13</sup>The Court invalidated Amendment 2 on the basis that it lacked any rational relationship to a legitimate end. It concluded that the amendment “impose[d] a broad and undifferentiated disability on a single named group,” and was “so discontinuous with the reasons offered for it that [it] seem[ed] inexplicable by anything but animus toward the class it affect[ed].” *Romer*, 517 U. S., at 632.

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down the enactment, the Court acknowledged the “long, well-documented history of discrimination” in Texas that “touched upon the rights of . . . Hispanics to register, to vote, or to participate otherwise in the electoral process,” *id.*, at 439, and it observed that that the “political, social, and economic legacy of past discrimination’ . . . may well [have] ‘hinder[ed] their ability to participate effectively in the political process,’” *id.*, at 440. Against this backdrop, the Court found that just as “Latino voters were poised to elect their candidate of choice,” *id.*, at 438, the State’s enactment “took away [their] opportunity because [they] were about to exercise it,” *id.*, at 440. The Court refused to sustain “the resulting vote dilution of a group that was beginning to achieve [the] goal of overcoming prior electoral discrimination.” *Id.*, at 442.

As in *Romer*, the *LULAC* Court—while using a different analytic framework—applied the core teaching of *Hunter* and *Seattle*: The political process cannot be restructured in a manner that makes it more difficult for a traditionally excluded group to work through the existing process to seek beneficial policies. And the events giving rise to *LULAC* are strikingly similar to those here. Just as redistricting prevented Latinos in Texas from attaining a benefit they had fought for and were poised to enjoy, §26 prevents racial minorities in Michigan from enjoying a last-resort benefit that they, too, had fought for through the existing political processes.

#### IV

My colleagues claim that the political-process doctrine is unadministrable and contrary to our more recent equal protection precedents. See *ante*, at 11–15 (plurality opinion); *ante*, at 7–17 (SCALIA, J., concurring in judgment). It is only by not acknowledging certain strands of our jurisprudence that they can reach such a conclusion.

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A

Start with the claim that *Hunter* and *Seattle* are no longer viable because of the cases that have come after them. I note that in the view of many, it is those precedents that have departed from the mandate of the Equal Protection Clause in the first place, by applying strict scrutiny to actions designed to benefit rather than burden the minority. See *Gratz*, 539 U. S., at 301 (GINSBURG, J., dissenting) (“[A]s I see it, government decisionmakers may properly distinguish between policies of exclusion and inclusion. Actions designed to burden groups long denied full citizenship stature are not sensibly ranked with measures taken to hasten the day when entrenched discrimination and its aftereffects have been extirpated” (citation omitted)); *id.*, at 282 (BREYER, J., concurring in judgment) (“I agree . . . that, in implementing the Constitution’s equality instruction, government decisionmakers may properly distinguish between policies of inclusion and exclusion, for the former are more likely to prove consistent with the basic constitutional obligation that the law respect each individual equally” (citation omitted)); *Adarand Constructors, Inc. v. Peña*, 515 U. S. 200, 243 (1995) (Stevens, J., dissenting) (“There is no moral or constitutional equivalence between a policy that is designed to perpetuate a caste system and one that seeks to eradicate racial subordination. Invidious discrimination is an engine of oppression, subjugating a disfavored group to enhance or maintain the power of the majority. Remedial race-based preferences reflect the opposite impulse: a desire to foster equality in society”); *Wygant v. Jackson Bd. of Ed.*, 476 U. S. 267, 301–302 (1986) (Marshall, J., dissenting) (when dealing with an action to eliminate “pernicious vestiges of past discrimination,” a “less exacting standard of review is appropriate”); *Fullilove v. Klutznick*, 448 U. S. 448, 518–519 (1980) (Marshall, J., concurring in judgment) (race-based governmental action

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designed to “remed[y] the continuing effects of past racial discrimination . . . should not be subjected to conventional ‘strict scrutiny’”); *Bakke*, 438 U. S., at 359 (Brennan, White, Marshall, and Blackmun, JJ., concurring in judgment in part and dissenting in part) (“racial classifications designed to further remedial purposes” should be subjected only to intermediate scrutiny).

But even assuming that strict scrutiny should apply to policies designed to benefit racial minorities, that view is not inconsistent with *Hunter* and *Seattle*. For nothing the Court has said in the last 32 years undermines the principles announced in those cases.

1

JUSTICE SCALIA first argues that the political-process doctrine “misreads the Equal Protection Clause to protect ‘particular group[s],’” running counter to a line of cases that treat “‘equal protection as a personal right.’” *Ante*, at 9 (opinion concurring in judgment) (quoting *Adarand*, 515 U. S., at 230). Equal protection, he says, protects “‘persons, not groups.’” *Ante*, at 10 (quoting *Adarand*, 515 U. S., at 227). This criticism ignores the obvious: Discrimination against an individual occurs because of that individual’s membership in a particular group. Yes, equal protection is a personal right, but there can be no equal protection violation unless the injured individual is a member of a protected group or a class of individuals. It is membership in the group—here the racial minority—that gives rise to an equal protection violation.

Relatedly, JUSTICE SCALIA argues that the political-process doctrine is inconsistent with our precedents because it protects only the minority from political restructurings. This aspect of the doctrine, he says, cannot be tolerated because our precedents have rejected “a reading of the guarantee of equal protection under which the level of scrutiny varies according to the ability of different

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groups to defend their interests in the representative process.” *Ante*, at 10 (quoting *Richmond v. J. A. Croson Co.*, 488 U. S., 469, 495 (1989) (plurality opinion)). Equal protection, he continues, “cannot mean one thing when applied to one individual and something else when applied to a person of another color.” *Ante*, at 10 (quoting *Bakke*, 438 U. S., at 289–290) (opinion of Powell, J.).

JUSTICE SCALIA is troubled that the political-process doctrine has not been applied to trigger strict scrutiny for political restructurings that burden the majority. But the doctrine is inapplicable to the majority. The minority cannot achieve such restructurings against the majority, for the majority is, well, the majority. As the *Seattle* Court explained, “[t]he majority needs no protection against discriminat[ory restructurings], and if it did, a referendum, [for instance], might be bothersome but no more than that.” 458 U. S., at 468. Stated differently, the doctrine protects only the minority because it implicates a problem that affects only the minority. Nothing in my opinion suggests, as JUSTICE SCALIA says, that under the political-process doctrine, “the Constitution prohibits discrimination against minority groups, but not against majority groups.” *Ante*, at 10, n. 7. If the minority somehow managed to effectuate a political restructuring that burdened only the majority, we could decide then whether to apply the political-process doctrine to safeguard the political right of the majority. But such a restructuring is not before us, and I cannot fathom how it could be achieved.

JUSTICE SCALIA next invokes state sovereignty, arguing that “we have emphasized the near-limitless sovereignty of each State to design its governing structure as it sees fit.” *Ante*, at 13 (opinion concurring in judgment). But state sovereignty is not absolute; it is subject to constitu-

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tional limits. The Court surely did not offend state sovereignty by barring States from changing their voting procedures to exclude racial minorities. So why does the political-process doctrine offend state sovereignty? The doctrine takes nothing away from state sovereignty that the Equal Protection Clause does not require. All it says is that a State may not reconfigure its existing political processes in a manner that establishes a distinct and more burdensome process for minority members of our society alone to obtain legislation in their interests.

More broadly, JUSTICE SCALIA is troubled that the political-process doctrine would create supposed “affirmative-action safe havens” in places where the ordinary political process has thus far produced race-sensitive admissions policies. *Ante*, at 13–14. It would not. As explained previously, the voters in Michigan who opposed race-sensitive admissions policies had any number of options available to them to challenge those policies. See *supra*, at 34–35. And in States where decisions regarding race-sensitive admissions policies are not subject to the political process in the first place, voters are entirely free to eliminate such policies via a constitutional amendment because that action would not reallocate power in the manner condemned in *Hunter* and *Seattle* (and, of course, present here). The *Seattle* Court recognized this careful balance between state sovereignty and constitutional protections:

“[W]e do not undervalue the magnitude of the State’s interest in its system of education. Washington could have reserved to state officials the right to make all decisions in the areas of education and student assignment. It has chosen, however, to use a more elaborate system; having done so, the State is obligated to operate that system within the confines of the Fourteenth Amendment.” 458 U. S., at 487.

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The same is true of Michigan.

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Finally, JUSTICE SCALIA disagrees with “the proposition that a facially neutral law may deny equal protection solely because it has a disparate racial impact.” *Ante*, at 15 (opinion concurring in judgment). He would acknowledge, however, that an act that draws racial distinctions or makes racial classifications triggers strict scrutiny regardless of whether discriminatory intent is shown. See *Adarand*, 515 U. S., at 213. That should settle the matter: Section 26 draws a racial distinction. As the *Seattle* Court explained, “when the political process or the decisionmaking mechanism used to *address* racially conscious legislation—and only such legislation—is singled out for peculiar and disadvantageous treatment, the governmental action plainly rests on ‘distinctions based on race.’” 458 U. S., at 485 (some internal quotation marks omitted); see also *id.*, at 470 (noting that although a State may “‘allocate governmental power on the basis of any general principle,’” it may not use racial considerations “to define the governmental decisionmaking structure”).

But in JUSTICE SCALIA’s view, cases like *Washington v. Davis*, 426 U. S. 229 (1976), and *Arlington Heights v. Metropolitan Housing Development Corp.*, 429 U. S. 252 (1977), call *Seattle* into question. It is odd to suggest that prior precedents call into question a later one. *Seattle* (decided in 1982) postdated both *Washington v. Davis* (1976) and *Arlington Heights* (1977). JUSTICE SCALIA’s suggestion that *Seattle* runs afoul of the principles established in *Washington v. Davis* and *Arlington Heights* would come as a surprise to Justice Blackmun, who joined the majority opinions in all three cases. Indeed, the *Seattle* Court explicitly rejected the argument that *Hunter* had been effectively overruled by *Washington v. Davis* and *Arlington Heights*:

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“There is one immediate and crucial difference between *Hunter* and [those cases]. While decisions such as *Washington v. Davis* and *Arlington Heights* considered classifications facially unrelated to race, the charter amendment at issue in *Hunter* dealt in explicitly racial terms with legislation designed to benefit minorities ‘as minorities,’ not legislation intended to benefit some larger group of underprivileged citizens among whom minorities were disproportionately represented.” 458 U. S., at 485.

And it concluded that both the *Hunter* amendment and the *Seattle* initiative rested on distinctions based on race. 458 U. S., at 485. So does §26.<sup>14</sup>

## B

My colleagues also attack the first prong of the doctrine as “rais[ing] serious constitutional concerns,” *ante*, at 11 (plurality opinion), and being “unadministrable,” *ante*, at 7 (SCALIA, J., concurring in judgment). JUSTICE SCALIA wonders whether judges are equipped to weigh in on what constitutes a “racial issue.” See *ante*, at 8. The plurality, too, thinks courts would be “with no clear legal standards or accepted sources to guide judicial decision.” *Ante*, at 12.

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<sup>14</sup>The plurality raises another concern with respect to precedent. It points to decisions by the California Supreme Court and the United States Court of Appeals for the Ninth Circuit upholding as constitutional Proposition 209, a California constitutional amendment identical in substance to §26. *Ante*, at 14. The plurality notes that if we were to affirm the lower court’s decision in this case, “those holdings would be invalidated . . . .” *Ibid.* I fail to see the significance. We routinely resolve conflicts between lower courts; the necessary result, of course, is that decisions of courts on one side of the debate are invalidated or called into question. I am unaware of a single instance where that (inevitable) fact influenced the Court’s decision one way or the other. Had the lower courts proceeded in opposite fashion—had the California Supreme Court and Ninth Circuit invalidated Proposition 209 and the Sixth Circuit upheld §26—would the plurality come out the other way?

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Yet as JUSTICE SCALIA recognizes, *Hunter* and *Seattle* provide a standard: Does the public policy at issue “inur[e] primarily to the benefit of the minority, and [was it] designed for that purpose”? *Seattle*, 458 U. S., at 472; see *ante*, at 8. Surely this is the kind of factual inquiry that judges are capable of making. JUSTICE SCALIA, for instance, accepts the standard announced in *Washington v. Davis*, which requires judges to determine whether discrimination is intentional or whether it merely has a discriminatory effect. Such an inquiry is at least as difficult for judges as the one called for by *Hunter* and *Seattle*. In any event, it is clear that the constitutional amendment in this case has a racial focus; it is facially race-based and, by operation of law, disadvantages only minorities. See *supra*, at 15–16.

“No good can come” from these inquiries, JUSTICE SCALIA responds, because they divide the Nation along racial lines and perpetuate racial stereotypes. *Ante*, at 9. The plurality shares that view; it tells us that we must not assume all individuals of the same race think alike. See *ante*, at 11–12. The same could have been said about desegregation: Not all members of a racial minority in *Seattle* necessarily regarded the integration of public schools as good policy. Yet the *Seattle* Court had little difficulty saying that school integration as a general matter “inure[d] . . . to the benefit of” the minority. 458 U. S., at 472.

My colleagues are of the view that we should leave race out of the picture entirely and let the voters sort it out. See *ante*, at 13 (plurality opinion) (“Racial division would be validated, not discouraged, were the *Seattle* formulation . . . to remain in force”); *ante*, at 9 (SCALIA, J., concurring in judgment) (“[R]acial stereotyping [is] at odds with equal protection mandates”). We have seen this reasoning before. See *Parents Involved*, 551 U. S., at 748 (“The way to stop discrimination on the basis of race is to stop

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discriminating on the basis of race”). It is a sentiment out of touch with reality, one not required by our Constitution, and one that has properly been rejected as “not sufficient” to resolve cases of this nature. *Id.*, at 788 (KENNEDY, J., concurring in part and concurring in judgment). While “[t]he enduring hope is that race should not matter[,] the reality is that too often it does.” *Id.*, at 787. “[R]acial discrimination . . . [is] not ancient history.” *Bartlett v. Strickland*, 556 U. S. 1, 25 (2009) (plurality opinion).

Race matters. Race matters in part because of the long history of racial minorities’ being denied access to the political process. See Part I, *supra*; see also *South Carolina v. Katzenbach*, 383 U. S. 301, 309 (1966) (describing racial discrimination in voting as “an insidious and pervasive evil which had been perpetuated in certain parts of our country through unremitting and ingenious defiance of the Constitution”). And although we have made great strides, “voting discrimination still exists; no one doubts that.” *Shelby County*, 570 U. S., at \_\_\_\_ (slip op., at 2).

Race also matters because of persistent racial inequality in society—inequality that cannot be ignored and that has produced stark socioeconomic disparities. See *Gratz*, 539 U. S., at 298–300 (GINSBURG, J., dissenting) (cataloging the many ways in which “the effects of centuries of law-sanctioned inequality remain painfully evident in our communities and schools,” in areas like employment, poverty, access to health care, housing, consumer transactions, and education); *Adarand*, 515 U. S., at 273 (GINSBURG, J., dissenting) (recognizing that the “lingering effects” of discrimination, “reflective of a system of racial caste only recently ended, are evident in our workplaces, markets, and neighborhoods”).

And race matters for reasons that really are only skin deep, that cannot be discussed any other way, and that cannot be wished away. Race matters to a young man’s view of society when he spends his teenage years watching

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others tense up as he passes, no matter the neighborhood where he grew up. Race matters to a young woman's sense of self when she states her hometown, and then is pressed, "No, where are you *really* from?", regardless of how many generations her family has been in the country. Race matters to a young person addressed by a stranger in a foreign language, which he does not understand because only English was spoken at home. Race matters because of the slights, the snickers, the silent judgments that reinforce that most crippling of thoughts: "I do not belong here."

In my colleagues' view, examining the racial impact of legislation only perpetuates racial discrimination. This refusal to accept the stark reality that race matters is regrettable. The way to stop discrimination on the basis of race is to speak openly and candidly on the subject of race, and to apply the Constitution with eyes open to the unfortunate effects of centuries of racial discrimination. As members of the judiciary tasked with intervening to carry out the guarantee of equal protection, we ought not sit back and wish away, rather than confront, the racial inequality that exists in our society. It is this view that works harm, by perpetuating the facile notion that what makes race matter is acknowledging the simple truth that race *does* matter.

V

Although the only constitutional rights at stake in this case are process-based rights, the substantive policy at issue is undeniably of some relevance to my colleagues. See *ante*, at 18 (plurality opinion) (suggesting that race-sensitive admissions policies have the "potential to become . . . the source of the very resentments and hostilities based on race that this Nation seeks to put behind it"). I will therefore speak in response.

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A

For over a century, racial minorities in Michigan fought to bring diversity to their State's public colleges and universities. Before the advent of race-sensitive admissions policies, those institutions, like others around the country, were essentially segregated. In 1868, two black students were admitted to the University of Michigan, the first of their race. See Expert Report of James D. Anderson 4, in *Gratz v. Bollinger*, No. 97-75231 (ED Mich.). In 1935, over six decades later, there were still only 35 black students at the University. *Ibid.* By 1954, this number had risen to slightly below 200. *Ibid.* And by 1966, to around 400, among a total student population of roughly 32,500—barely over 1 percent. *Ibid.* The numbers at the University of Michigan Law School are even more telling. During the 1960's, the Law School produced 9 black graduates among a total of 3,041—less than three-tenths of 1 percent. See App. in *Grutter v. Bollinger*, O. T. 2002, No. 02-241, p. 204.

The housing and extracurricular policies at these institutions also perpetuated open segregation. For instance, incoming students were permitted to opt out of rooming with black students. Anderson, *supra*, at 7–8. And some fraternities and sororities excluded black students from membership. *Id.*, at 6–7.

In 1966, the Defense Department conducted an investigation into the University's compliance with Title VI of the Civil Rights Act, and made 25 recommendations for increasing opportunities for minority students. *Id.*, at 9. In 1970, a student group launched a number of protests, including a strike, demanding that the University increase its minority enrollment. *Id.*, at 16–23. The University's Board of Regents responded, adopting a goal of 10 percent black admissions by the fall of 1973. *Id.*, at 23.

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During the 1970's, the University continued to improve its admissions policies,<sup>15</sup> encouraged by this Court's 1978 decision in *Bakke*. In that case, the Court told our Nation's colleges and universities that they could consider race in admissions as part of a broader goal to create a diverse student body, in which students of different backgrounds would learn together, and thereby learn to live together. A little more than a decade ago, in *Grutter*, the Court reaffirmed this understanding. In upholding the admissions policy of the Law School, the Court laid to rest any doubt whether student body diversity is a compelling interest that may justify the use of race.

Race-sensitive admissions policies are now a thing of the past in Michigan after §26, even though—as experts agree and as research shows—those policies were making a difference in achieving educational diversity. In *Grutter*, Michigan's Law School spoke candidly about the strides the institution had taken successfully because of race-sensitive admissions. One expert retained by the Law School opined that a race-blind admissions system would have a “very dramatic, negative effect on underrepresented minority admissions.” *Grutter*, 539 U. S., at 320 (internal quotation marks omitted). He testified that the school had admitted 35 percent of underrepresented minority students who had applied in 2000, as opposed to only 10 percent who would have been admitted had race not been considered. *Ibid.* Underrepresented minority students would thus have constituted 4 percent, as opposed to the actual 14.5 percent, of the class that entered in 2000. *Ibid.*

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<sup>15</sup>In 1973, the Law School graduated 41 black students (out of a class of 446) and the first Latino student in its history. App. in *Grutter v. Bollinger*, O. T. 2002, No. 02-241, p. 204. In 1976, it graduated its first Native American student. *Ibid.* On the whole, during the 1970's, the Law School graduated 262 black students, compared to 9 in the previous decade, along with 41 Latino students. *Ibid.*

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Michigan’s public colleges and universities tell us the same today. The Board of Regents of the University of Michigan and the Board of Trustees of Michigan State University inform us that those institutions cannot achieve the benefits of a diverse student body without race-sensitive admissions plans. See Brief for Respondents Regents of the University of Michigan, the Board of Trustees of Michigan State University et al. 18–25. During proceedings before the lower courts, several university officials testified that §26 would depress minority enrollment at Michigan’s public universities. The Director of Undergraduate Admissions at the University of Michigan “expressed doubts over the ability to maintain minority enrollment through the use of a proxy, like socioeconomic status.” Supp. App. to Pet. for Cert. 285a. He explained that university officials in States with laws similar to §26 had not ““achieve[d] the same sort of racial and ethnic diversity that they had prior to such measures . . . without considering race.”” *Ibid.* Similarly, the Law School’s Dean of Admissions testified that she expected “a decline in minority admissions because, in her view, it is impossible ‘to get a critical mass of underrepresented minorities . . . without considering race.’” *Ibid.* And the Dean of Wayne State University Law School stated that “although some creative approaches might mitigate the effects of [§26], he ‘did not think that any one of these proposals or any combination of these proposals was reasonably likely to result in the admission of a class that had the same or similar or higher numbers of African Americans, Latinos and Native Americans as the prior policy.’” *Ibid.*

Michigan tells a different story. It asserts that although the statistics are difficult to track, “the number of underrepresented minorities . . . [in] the entering freshman class at Michigan as a percentage changed very little” after §26. Tr. of Oral Arg. 15. It also claims that “the statistics in California across the 17 campuses in the

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University of California system show that today the underrepresented minority percentage is better on 16 out of those 17 campuses”—all except Berkeley—than before California’s equivalent initiative took effect. *Id.*, at 16. As it turns out, these statistics weren’t “even good enough to be wrong.” Reference Manual on Scientific Evidence 4 (2d ed. 2000) (Introduction by Stephen G. Breyer (quoting Wolfgang Pauli)).

Section 26 has already led to decreased minority enrollment at Michigan’s public colleges and universities. In 2006 (before §26 took effect), underrepresented minorities made up 12.15 percent of the University of Michigan’s freshman class, compared to 9.54 percent in 2012—a roughly 25 percent decline. See University of Michigan—New Freshman Enrollment Overview, Office of the Registrar, online at <http://www.ro.umich.edu/report/10enrolloverview.pdf> and <http://www.ro.umich.edu/report/12enrollmentsummary.pdf>.<sup>16</sup> Moreover, the total number of college-aged underrepresented minorities in Michigan has *increased* even as the number of underrepresented minorities admitted to the University has *decreased*. For example, between 2006 and 2011, the proportion of black freshmen among those enrolled at the University of Michigan declined from 7 percent to 5 percent, even though the proportion of black college-aged persons in Michigan increased from 16 to 19 percent. See Fessenden and Keller, How Minorities Have Fared in States with Affirmative Action Bans, N. Y. Times, June 24, 2013, online at <http://www.nytimes.com/interactive/2013/06/24/us/affirmative-action-bans.html>.

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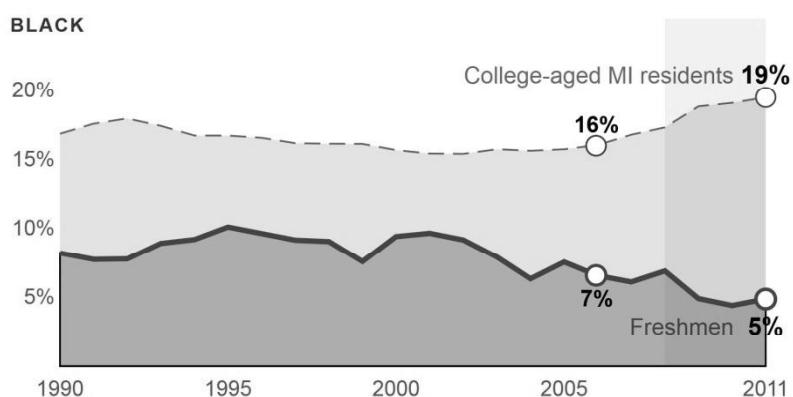
<sup>16</sup>These percentages include enrollment statistics for black students, Hispanic students, Native American students, and students who identify as members of two or more underrepresented minority groups.

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**UNIVERSITY OF MICHIGAN**  
**Black Students<sup>17</sup>**



A recent study also confirms that §26 has decreased minority degree attainment in Michigan. The University of Michigan's graduating class of 2012, the first admitted after §26 took effect, is quite different from previous classes. The proportion of black students among those attaining bachelor's degrees was 4.4 percent, the lowest since 1991; the proportion of black students among those attaining master's degrees was 5.1 percent, the lowest since 1989; the proportion of black students among those attaining doctoral degrees was 3.9 percent, the lowest since 1993; and the proportion of black students among those attaining professional school degrees was 3.5 percent, the lowest since the mid-1970's. See Kidder, Restructuring Higher Education Opportunity?: African American Degree Attainment After Michigan's Ban on Affirmative Action, p.1 (Aug. 2013), online at <http://papers.ssrn.com/sol3/abstract=2318523>.

<sup>17</sup>This chart is reproduced from Fessenden and Keller, How Minorities Have Fared in States with Affirmative Action Bans, N. Y. Times, June 24, 2013, online at <http://www.nytimes.com/interactive/2013/06/24/us/affirmative-action-bans.html>.

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The President and Chancellors of the University of California (which has 10 campuses, not 17) inform us that “[t]he abandonment of race-conscious admissions policies resulted in an immediate and precipitous decline in the rates at which underrepresented-minority students applied to, were admitted to, and enrolled at” the university. Brief for President and Chancellors of the University of California as *Amici Curiae* 10 (hereinafter President and Chancellors Brief). At the University of California, Los Angeles (UCLA), for example, admission rates for underrepresented minorities plummeted from 52.4 percent in 1995 (before California’s ban took effect) to 24 percent in 1998. *Id.*, at 12. As a result, the percentage of underrepresented minorities fell by more than half: from 30.1 percent of the entering class in 1995 to 14.3 percent in 1998. *Ibid.* The admissions rate for underrepresented minorities at UCLA reached a new low of 13.6 percent in 2012. See Brief for California Social Science Researchers and Admissions Experts as *Amici Curiae* 28.

The elimination of race-sensitive admissions policies in California has been especially harmful to black students. In 2006, for example, there were fewer than 100 black students in UCLA’s incoming class of roughly 5,000, the lowest number since at least 1973. See *id.*, at 24.

The University of California also saw declines in minority representation at its graduate programs and professional schools. In 2005, underrepresented minorities made up 17 percent of the university’s new medical students, which is actually a lower rate than the 17.4 percent reported in 1975, three years before *Bakke*. President and Chancellors Brief 13. The numbers at the law schools are even more alarming. In 2005, underrepresented minorities made up 12 percent of entering law students, well below the 20.1 percent in 1975. *Id.*, at 14.

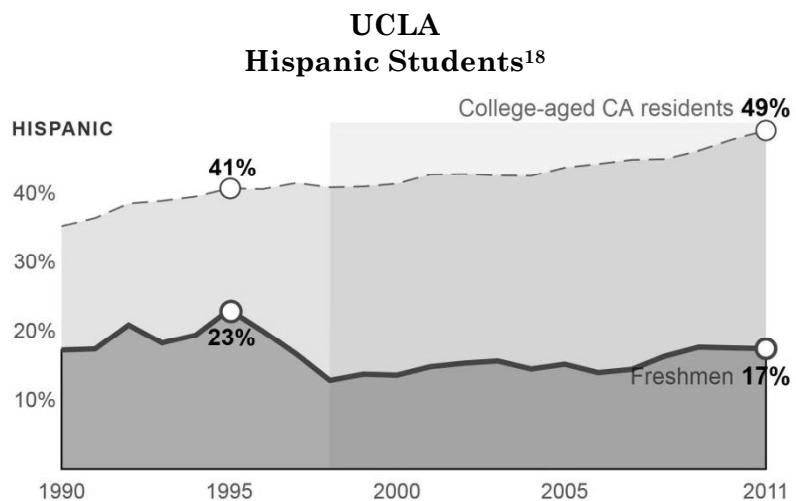
As in Michigan, the declines in minority representation at the University of California have come even as the

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minority population in California has increased. At UCLA, for example, the proportion of Hispanic freshmen among those enrolled declined from 23 percent in 1995 to 17 percent in 2011, even though the proportion of Hispanic college-aged persons in California increased from 41 percent to 49 percent during that same period. See Fessenden and Keller.

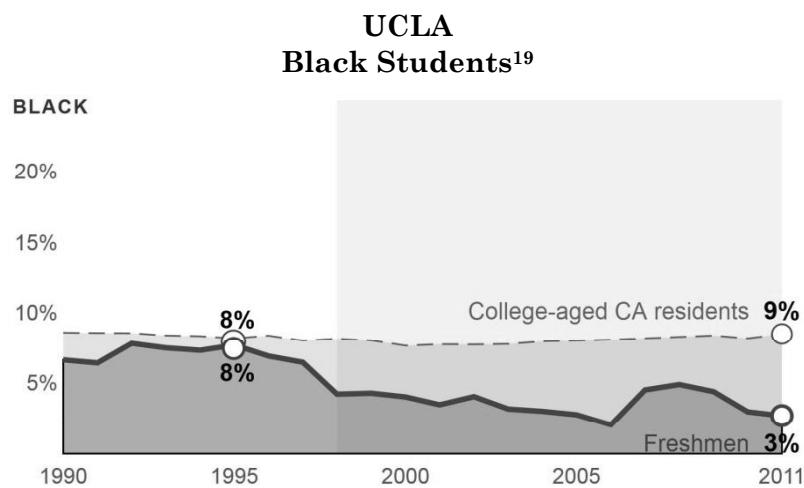


And the proportion of black freshmen among those enrolled at UCLA declined from 8 percent in 1995 to 3 percent in 2011, even though the proportion of black college-aged persons in California increased from 8 percent to 9 percent during that same period. See *ibid.*

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<sup>18</sup> *Ibid.*

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While the minority admissions rates at UCLA and Berkeley have decreased, the number of minorities enrolled at colleges across the county has increased. See Phillips, Colleges Straining to Restore Diversity: Bans on Race-Conscious Admissions Upend Racial Makeup at California Schools, Wall Street Journal, Mar. 7, 2014, p. A3.

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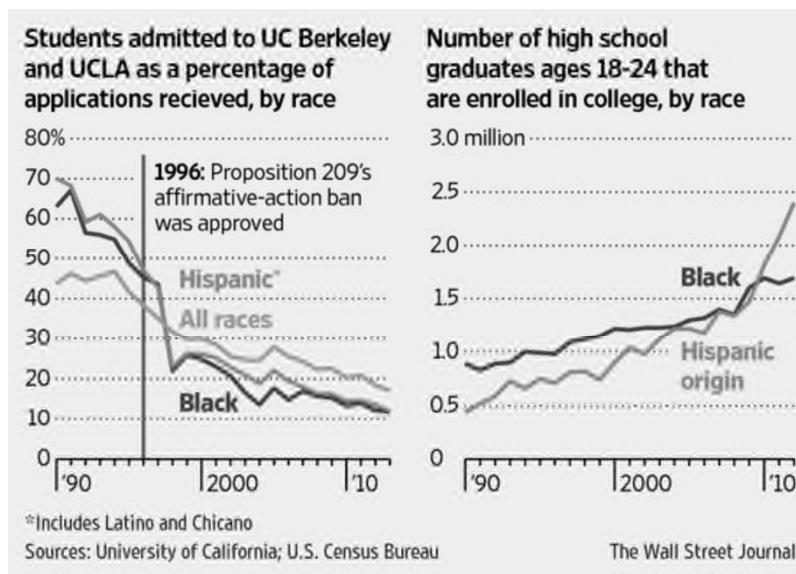
<sup>19</sup> *Ibid.*

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## BERKELEY AND UCLA<sup>20</sup>



The President and Chancellors assure us that they have tried. They tell us that notwithstanding the university's efforts for the past 15 years "to increase diversity on [the University of California's] campuses through the use of race-neutral initiatives," enrollment rates have "not rebounded . . . [or] kept pace with the demographic changes among California's graduating high-school population." President and Chancellors Brief 14. Since Proposition 209 took effect, the university has spent over a half-billion dollars on programs and policies designed to increase diversity. Phillips, *supra*, at A3. Still, it has been unable to meet its diversity goals. *Ibid.* Proposition 209, it says, has "completely changed the character" of the university." *Ibid.* (quoting the Associate President and Chief Policy

<sup>20</sup>This chart is reproduced from Phillips, Colleges Straining to Restore Diversity: Bans on Race-Conscious Admissions Upend Racial Makeup at California Schools, Wall Street Journal, Mar. 7, 2014, p. A3.

SOTOMAYOR, J., dissenting

Advisor of the University of California).

B

These statistics may not influence the views of some of my colleagues, as they question the wisdom of adopting race-sensitive admissions policies and would prefer if our Nation's colleges and universities were to discard those policies altogether. See *ante*, at 2 (ROBERTS, C. J., concurring) (suggesting that race-sensitive admissions policies might "do more harm than good"); *ante*, at 9, n. 6 (SCALIA, J., concurring in judgment); *Grutter*, 539 U. S., at 371–373 (THOMAS, J., concurring in part and dissenting in part); *id.*, at 347–348 (SCALIA, J., concurring in part and dissenting in part). That view is at odds with our recognition in *Grutter*, and more recently in *Fisher v. University of Texas at Austin*, 570 U. S. \_\_\_ (2013), that race-sensitive admissions policies are necessary to achieve a diverse student body when race-neutral alternatives have failed. More fundamentally, it ignores the importance of diversity in institutions of higher education and reveals how little my colleagues understand about the reality of race in America.

This Court has recognized that diversity in education is paramount. With good reason. Diversity ensures that the next generation moves beyond the stereotypes, the assumptions, and the superficial perceptions that students coming from less-heterogeneous communities may harbor, consciously or not, about people who do not look like them. Recognizing the need for diversity acknowledges that, "[j]ust as growing up in a particular region or having particular professional experiences is likely to affect an individual's views, so too is one's own, unique experience of being a racial minority in a society, like our own, in which race unfortunately still matters." *Grutter*, 539 U. S., at 333. And it acknowledges that "to cultivate a set of leaders with legitimacy in the eyes of the citizenry, it is necessary that the path to leadership be visibly open to

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talented and qualified individuals of every race and ethnicity.” *Id.*, at 332.

Colleges and universities must be free to prioritize the goal of diversity. They must be free to immerse their students in a multiracial environment that fosters frequent and meaningful interactions with students of other races, and thereby pushes such students to transcend any assumptions they may hold on the basis of skin color. Without race-sensitive admissions policies, this might well be impossible. The statistics I have described make that fact glaringly obvious. We should not turn a blind eye to something we cannot help but see.

To be clear, I do not mean to suggest that the virtues of adopting race-sensitive admissions policies should inform the legal question before the Court today regarding the constitutionality of §26. But I cannot ignore the unfortunate outcome of today’s decision: Short of amending the State Constitution, a Herculean task, racial minorities in Michigan are deprived of even an opportunity to convince Michigan’s public colleges and universities to consider race in their admissions plans when other attempts to achieve racial diversity have proved unworkable, and those institutions are unnecessarily hobbled in their pursuit of a diverse student body.

\* \* \*

The Constitution does not protect racial minorities from political defeat. But neither does it give the majority free rein to erect selective barriers against racial minorities. The political-process doctrine polices the channels of change to ensure that the majority, when it wins, does so without rigging the rules of the game to ensure its success. Today, the Court discards that doctrine without good reason.

In doing so, it permits the decision of a majority of the voters in Michigan to strip Michigan’s elected university

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boards of their authority to make decisions with respect to constitutionally permissible race-sensitive admissions policies, while preserving the boards' plenary authority to make all other educational decisions. "In a most direct sense, this implicates the judiciary's special role in safeguarding the interests of those groups that are relegated to such a position of political powerlessness as to command extraordinary protection from the majoritarian political process." *Seattle*, 458 U.S., at 486 (internal quotation marks omitted). The Court abdicates that role, permitting the majority to use its numerical advantage to change the rules mid-contest and forever stack the deck against racial minorities in Michigan. The result is that Michigan's public colleges and universities are less equipped to do their part in ensuring that students of all races are "better prepare[d] . . . for an increasingly diverse workforce and society . . ." *Grutter*, 539 U.S., at 330 (internal quotation marks omitted).

Today's decision eviscerates an important strand of our equal protection jurisprudence. For members of historically marginalized groups, which rely on the federal courts to protect their constitutional rights, the decision can hardly bolster hope for a vision of democracy that preserves for all the right to participate meaningfully and equally in self-government.

I respectfully dissent.

STATE OF UTAH  
OFFICE OF THE ATTORNEY GENERALSEAN D. REYES  
ATTORNEY GENERALSPENCER E. AUSTIN  
Chief Criminal DeputyPARKER DOUGLAS  
General Counsel & Chief of StaffBRIDGET K. ROMANO  
Solicitor GeneralBRIAN L. TARBET  
Chief Civil DeputyApril 29, 2014  
*Via electronic filing*

Elisabeth A. Shumaker  
 Clerk of the Court  
 United States Court of Appeals for the Tenth Circuit  
 Byron White U.S. Courthouse  
 1823 Stout Street  
 Denver, Colorado 80257

Re: *Kitchen v. Herbert*, No. 13-4178  
 Rule 28(j) citation of supplemental authority, *Schuette v. Coalition to Defend Affirmative Action*, No. 12-682 (U.S. April 22, 2014), slip opinion attached hereto.

Dear Ms. Shumaker,

In *Grutter v. Bollinger*, 539 U.S. 306 (2003), the Supreme Court upheld the limited use of racial preferences in university admissions while making clear that its holding did *not* apply to states that banned preferences: “[T]he States may perform their role as laboratories for experimentation to devise various solutions where the best solution is far from clear.” *Id.* at 342 (quoting *United States v. Lopez*, 514 U.S. 549, 581 (1995) (Kennedy, J., concurring)). The Court elaborated on that principle in *Schuette*, which squarely held that a state’s voters *can* ban preferences, and in so doing reinforced Utah’s points about the importance of letting the people make difficult policy choices through democratic means. OB35-36,98-101; RB1-7,98-103.

Specifically, while acknowledging an individual’s right “not to be injured by the unlawful exercise of governmental power,” Justice Kennedy’s plurality opinion emphasized that “[o]ur constitutional system embraces ... the right of citizens to debate so they can learn and

Elisabeth A. Shumaker  
April 29, 2014

decide and then, through the political process, act in concert to try to shape the course of their own times....” *Schuette*, slip op. at 15-16. “Were the Court to rule that the question addressed by Michigan voters is ... too delicate to be resolved [by the people] ... , that holding would be an unprecedented restriction on the exercise of a fundamental right held not just by one person but by all in common. It is the right to speak and debate and learn and then ... to act through a lawful electoral process.” *Id.* at 16; *accord* concurrence of Breyer, J., at 6.

So too here. In *Windsor*, the Court held that the federal government lacked authority to override state laws redefining marriage in genderless terms. But the last sentence of Justice Kennedy’s majority opinion clarified that it did *not* apply to states retaining the one-man, one-woman marriage definition: “This opinion and its holding are confined to . . . lawful [same-sex] marriages.” Like *Schuette* (and consistent with *Baker*), this Court should hold that Utah’s voters appropriately exercised their “fundamental right” to collective democratic action when they decided to preserve the man-woman definition that pre-dates government itself.

Respectfully submitted,

/s/ Gene Schaerr

Elisabeth A. Shumaker  
April 29, 2014

## ECF CERTIFICATIONS

Pursuant to Section II(I) of the Court's CM/ECF User's Manual, the undersigned certifies that:

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2. hard copies of the foregoing letter required to be submitted to the clerk's office are exact copies of the brief as filed via ECF; and
3. the letter filed via ECF was scanned for viruses with the most recent version of Microsoft Security Essentials v. 2.1.111.6.0, and according to the program is free of viruses.

/s/ Gene Schaerr

April 29, 2014  
*Via electronic filing*

Elisabeth A. Shumaker  
Clerk of the Court  
United States Court of Appeals for the Tenth Circuit  
Byron White United States Courthouse  
1823 Stout Street  
Denver, Colorado 80257

Re: *Bishop v. Smith*, Nos. 14-5003, 14-5006, Federal Rule of Appellate Procedure  
28(j) Citation of Supplemental Authority, *Schuette v. Coalition to Defend  
Affirmative Action*, No. 12-682 (U.S. April 22, 2014), slip opinion attached

Dear Ms. Shumaker:

In *Schuette*, the Supreme Court upheld a Michigan constitutional amendment prohibiting the State from engaging in preferential race-based treatment. *Schuette* supports Smith's argument that the People should be free to decide difficult policy questions through the political process. *See* Aplt.Br.1-4, 28-31, 67-69.

The plurality opinion, authored by Justice Kennedy, stressed the People's right to "shap[e] the destiny of their own times" on sensitive policy matters. SlipOp.15. "[F]reedom does not stop with individual rights. Our constitutional system embraces, too, the right of citizens to debate so they can learn and decide and then, through the political process, act in concert to try to shape the course of their own times[.]" SlipOp.15-16.

That a particular question of public policy is "sensitive," "complex," "delicate," "arcane," "difficult," "divisive," or "profound" does not disable the People from "prudently" addressing it. SlipOp.16, 18. Concluding otherwise would impermissibly restrict "the exercise of a fundamental right held not just by one person but by all in common"—namely, "the right to speak and debate and learn and then, as a matter of political will, to act through a lawful electoral process." SlipOp.16. Thus, when the People are faced with complex and divisive issues, "courts may not disempower the voters from choosing which path to follow." SlipOp.13.

Removing profound policy questions from the People would not only have "serious First Amendment implications," it would also be "inconsistent with the underlying premises of a responsible, functioning democracy." SlipOp.16. Indeed, "[i]t is demeaning to the democratic process to presume that the voters are not capable of deciding an issue of this sensitivity on decent and rational grounds." SlipOp.17. What is more, a judicial decision overturning a voter-enacted measure that remains the subject of public discussion "in essence would announce a finding that the past 15 years of state public debate on th[e] issue have been improper." SlipOp.14.

Finally, the Court “assume[d]” the voters’ concerns about the adverse consequences that might result from failing to enact the challenged law. SlipOp.18. And the Court acknowledged that “[w]hether those adverse results would follow is, and should be, the subject of [political] debate.” SlipOp.18.

Respectfully submitted,  
s/ Byron J. Babione

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**CERTIFICATE OF DIGITAL SUBMISSION**

I hereby certify (1) that all the required privacy redactions have been made as required by Tenth Circuit Rule 25.5, (2) that the submitted hard copies, if any, are an exact copy of the ECF submission, and (3) that the digital submissions have been scanned for viruses with the most recent version of a commercial virus scanning program, Sophos Endpoint Security and Control, Version 10.3, and are free of viruses according to that program.

Date: April 29, 2014

s/ Byron J. Babione

Byron J. Babione

**CERTIFICATE OF SERVICE**

I hereby certify that on April 29, 2014, a true and accurate copy of this letter was electronically filed with the Court using the CM/ECF system, which will send notification of such filing to the following:

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IN THE  
**United States Court of Appeals  
FOR THE FOURTH CIRCUIT**

TIMOTHY B. BOSTIC, TONY C. LONDON;  
CAROL SCHALL; MARY TOWNLEY,

*Plaintiffs - Appellees,*

CHRISTY BERGHOFF, on behalf of themselves and all others similarly situated;  
JOANNE HARRIS, on behalf of themselves and all others similarly situated;  
JESSICA DUFF, on behalf of themselves and all others similarly situated;  
VICTORIA KIDD, on behalf of themselves and all others similarly situated,

*Intervenors,*

v.

GEORGE E. SCHAEFER, III,  
in his official capacity as the Clerk of Court for Norfolk Circuit Court,

and *Defendant - Appellant,*

JANET M. RAINY, in her official capacity as State Registrar of Vital  
Records; ROBERT F. MCDONNELL, in his official capacity as Governor  
of Virginia; KENNETH T. CUCCINELLI, II, in his official capacity as  
Attorney General of Virginia,

*Defendants,*

MICHELE MCQUIGG,

*Intervenor - Defendant.*

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ON APPEAL FROM THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF VIRGINIA AT NORFOLK

---

**REPLY BRIEF OF APPELLANT  
GEORGE E. SCHAEFER, III**

---

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Appellant George E. Schaefer, III, in his official capacity as the Clerk of Court for the Norfolk Circuit Court (hereinafter “Clerk Schaefer” or “Schaefer”), by counsel, files this Reply Brief pursuant to Rule 28 of the Federal Rules of Appellate Procedure and the Accelerated Briefing Order previously issued by this Court (Doc. 39) as follows:

**Preliminary Objection to Purported Facts Not Found by the District Court**

Clerk Schaefer objects to certain factual statements asserted by the other parties. First, the *Harris* Class Intervenors submit a lengthy statement which purportedly details the underlying facts of their class action case filed in the Western District of Virginia. (Doc. 130, *Harris* Class Br. at 20-24.) However, the *Harris* case is still pending, has been subject to no judicial fact-finding, and is currently subject to a stay without a ruling on any dispositive motion. *See Chandler & Price Co. v. Brandtjen & Kluge, Inc.*, 296 U.S. 53, 59, 56 S. Ct. 6, 80 L. Ed. 39 (1935) (“Introduction by intervention of issues outside those that properly may arise between the original parties complicates the suit and is liable to impose upon plaintiff a burden having no relation to the field of the litigation opened by his bill.”); *See also, Vinson v. Washington Gas Light Co.*, 321 U.S. 489, 498, 64 S. Ct. 731, 88 L. Ed. 883 (1944) (“an intervenor is admitted to the proceeding as it stands, and in respect of the pending issues, but is not permitted to enlarge those issues or compel an alteration of the nature of the proceeding.”)

Second, the Appellees seek to establish the factual basis for their arguments through citations of “facts” Appellees culled from news clippings and other sources which Appellees included as attachments to their brief in support of summary judgment below. (Doc. 129, Appellees’ Br. at 11-14.) Appellees made no effort to establish the truth and admissibility of what is plainly hearsay. This Court should not rely upon any of Appellees’ factual statements which rely for their authority upon hearsay which the District Judge neither admitted as evidence nor found to be true.

## **Argument**

### **I. States have the exclusive right to define marriage.**

#### **a. *Baker v. Nelson* and *United States v. Windsor* dictate the States have the right to define marriage.**

Consistent with *Baker v. Nelson*, 409 U.S. 810, 93 S. Ct. 37, 34 L. Ed. 2d 65 (1972), the 8<sup>th</sup> Circuit Court of Appeals in 2006 declared that “[i]n nearly one hundred and fifty years since the Fourteenth Amendment was adopted, to our knowledge no Justice of the Supreme Court has suggested that a state statute or constitutional provision codifying the traditional definition of marriage violates the Equal Protection Clause or any other provisions of the United States Constitution.”

*Citizens for Equal Protection v. Bruning*, 455 F.3d 859, 870 (8<sup>th</sup> Cir. 2006). Appellees argue that *United States v. Windsor* necessarily alters this analysis by

including a reference to *Loving v. Virginia*, 388 U.S. 1, 87 S. Ct. 1817, 18 L. Ed. 2d 1010 (1967), which they take to mean states may no longer define marriage in a manner which precludes same-sex marriages. However, as will be shown, the decision in *Windsor* promotes the rights of the states to define marriage, and the citation to *Loving* reflects a concern with overtly targeted legislation which the case *sub judice* does not present.

The last sentence of the majority's opinion in *Windsor* expressly limits itself by stating “[t]his opinion and its holding are confined to those lawful marriages.” *United States v. Windsor*, \_\_ U.S. \_\_, 133 S. Ct. 2675, 2696, 186 L. Ed. 2d 808 (2013). The phrase “those lawful marriages” refers to those couples who the State of New York through its marriage laws recognized and granted the status of marriage. *Id.* The Court ruled that the federal government could not deny and degrade a marriage status legislatively granted to gays and lesbians by New York without violating the 5<sup>th</sup> Amendment. The constitutional violation in *Windsor* arises from the exercise of New York’s legislative discretion to recognize same-sex marriage and the federal government taking away that right via DOMA. DOMA was rightly judged unconstitutional for stigmatizing and denying same-sex couples the rights and obligations attendant to New York’s exercise of state legislative authority to confer marital rights and obligations upon a particular class of couples.

Thus the *Windsor* case aligns with the Supreme Court's rejection of an expressly stigmatizing state law in *Romer v. Evans* 517 U.S. 620, 116 S. Ct. 1620, 134 L. Ed. 855 (1996), which properly struck down a state constitutional amendment that attempted "to repeal existing statutes, regulations, ordinances and policies" establishing sexual orientation as a protected status. *Romer*, 517 U.S. at 626. The challenged constitutional amendment sought to revoke "from homosexuals, but no others, specific legal protection from the injuries caused by discrimination, and it forbids reinstatement of these laws and policies." *Id.* at 627. These types of legislative schemes specifically and facially directed solely at gays and lesbians "to make them unequal to everyone else" have no place in "our constitutional tradition." *Id.* at 634-35.

The citation to *Loving v. Virginia*, 388 U.S. 1, 87 S.Ct. 1817, 18 L.Ed. 2d 1010 (1967) in the *Windsor* opinion does not alter the status quo that has existed at least since *Baker v. Nelson*. It is noncontroversial that state laws "defining and regulating marriage...must respect the constitutional rights of persons." *Windsor* 133 S. Ct. at 2691. When the Court made this statement in *Windsor* the *Baker* decision had long-settled the point that there was no federal constitutional right to a same-sex marriage. Whether to approve same-sex marriage is a "regulation of domestic relations...that has long been regarded as a virtually exclusive province of the States." *Id.* at 2691 (quoting *Sosna v. Iowa*, 419 U.S. 393, 95 S. Ct. 553, 42

L. Ed. 2d 532 (1975) (internal quotations removed)). The people of New York decided to “give this class of persons the right to marry” as an expression of the state’s “historic and essential authority to define the marital relation in this way....” *Id.* at 2692. To say a state has the “authority” to define a marital relation to include a particular class of persons does not mean no state has the authority to maintain a different, traditional definition which does not include that class. Instead, each state has the “authority” to decide whether to extend the definition to include same-sex couples. Such matters are proper for the democratic process unlike the invidious racial laws rightly judged unconstitutional in *Loving*.

Furthermore, the type of scheme addressed in *Windsor* and *Romer* is not before this Court. Appellees do not challenge a new law; they assert a new right against laws the Supreme Court have deemed constitutional since at least 1972. Since the Supreme Court decided *Baker v. Nelson*, there has been no doctrinal change in the law that the States cannot constitutionally limit marriage to couples of the opposite sex.

Thus, properly understood, the opinion in *United States v. Windsor* harmonizes with, rather than departs doctrinally from, the decision in *Baker v. Nelson*. The majority’s opinion and rationale in *Windsor* regarding a state’s legislative authority to recognize same-sex marriage are entirely consistent with, and in fact arise from, the ruling in *Baker* finding the States have the authority to

define marriage as between a man and a woman without violating the 14<sup>th</sup> Amendment, i.e. that such legislative action does not present a substantial federal question. Because the exercise of state legislative discretion to grant marriage status to gays and lesbians was the predicate to finding that DOMA violated the 5<sup>th</sup> Amendment, *Windsor* cannot then be a doctrinal development that eroded *Baker*'s precedential force. If *Baker v. Nelson* was no longer good law—and the States did not have the right to only recognize traditional, opposite sex marriages—the Court would not have had to make such the limited and constrained ruling it did in *Windsor*. *Windsor* 133 S. Ct. at 2696.

The question of same-sex marriage does not involve comparing the relative weight of a constitutional right versus state authority over domestic relations. For the last 150 years there never has been any indication from the Supreme Court that a state's decision to limit marriage to opposite-sex couples violates the Fourteenth Amendment or any other provision of the Constitution. *Bruning*, 455 F.3d at 870. This understanding was expressly confirmed in *Baker* and again in *Windsor*. Therefore, no change in precedent or law has occurred since *Baker* that causes this particular case to present a substantial federal question.<sup>1</sup>

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<sup>1</sup> The analysis of the authority for states to regulate marriage should be similar to the analysis used in connection with state regulation of voting in state and local elections. “[T]he Supreme Court has recognized that the States have long been held to have broad powers to determine the conditions under which the right of suffrage may be exercised.” *Evans v. Cornman*, 398 U.S. 419, 422, 90 S. Ct. 1752, 26 L.

**b. *Schuette v. BAMN* reaffirms the Supreme Court's commitment and recognition of the democratic process and the States' right to resolve difficult social issues.**

The Supreme Court of the United States recently issued a ruling in *Schuette v. BAMN* confirming the constitutionality of Michigan's state constitutional amendment which prohibited any preference based upon race for admission into Michigan's state universities. *Schuette v. BAMN*, No. 12-682, slip op. at 1 (U.S. Sup. Ct. April 22, 2014) (plurality, J. Kennedy). The constitutional amendment at issue was passed “[a]fter a statewide debate” by a majority of Michigan voters (58 percent to 42 percent) in response to the Supreme Court's ruling that race based preferences for university admissions could be constitutional. *Id.* at 2. The Court has repeatedly recognized the question of race based preferences (referred to by some as affirmative action) is a “complex policy question among and within the States.” *Id.* at 4 (citing *Grutter v. Bollinger*, 539 U.S. 306, 123 S. Ct. 2325, 156 L. Ed.2d 304 (2003)). The Court reasoned that when such difficult questions are at issue, “our federal structure ‘permits innovation and experimentation’ and ‘enables greater citizen involvement in the democratic process.’” *Id.* at 4-5 (quoting *Bond v.*

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Ed. 2d 370 (1970) (quoting *Lassiter v. Northampton Election Bd.*, 360 U.S. 45, 50, 79 S.Ct. 985, 3 L.Ed.2d 1072 (1959). See also *YMCA Vote at 18 Club v. Board of Elections*, 319 F. Supp. 543 (1970) holding that a challenge to state laws regulating the minimum age to vote in state elections did not present a substantial federal question.

*United States*, 564 U.S. \_\_, \_\_ (2011 (slip op., at 9)). Moreover, the case “reflects in part the national dialogue regarding the wisdom and practicality of race-conscious admissions policies in higher education.” *Id.* at 5. As with the choice to use or not to use race as a consideration for admission into a university, the choice to allow or not to allow same-sex marriage is the subject of active and vigorous debate across the country.

The Sixth Circuit Court of Appeals had held the Michigan ban on race based preferences was unconstitutional under what is known as the “political process” doctrine because the ban on race based admissions policies was enshrined in the Michigan state constitution. The court of appeals relied upon a series of cases culminating in *Washington v. Seattle School Dist. No. 1*, 458 U.S. 457, 102 S. Ct. 3187, 73 L.Ed.2d 896 (1982). However, the Supreme Court found such reliance improper and reversed the Sixth Circuit’s decision. Although the Court stopped short of fully overturning *Seattle* and other cases utilizing the political process doctrine, it found those cases should be read narrowly. *Id.* at 11. The Court specifically rejected the broad reading of *Seattle* by the lower court which in essence would have created a rule of law where “any state action with a ‘racial focus’ that makes it ‘more difficult for certain racial minorities than for other

groups' to 'achieve legislation that is in their interest' is subject to strict scrutiny."<sup>2</sup>

*Id.* at 11. This expansive reading "has no principled limitation and raises serious questions of compatibility with the Court's settled equal protection jurisprudence . . . That expansive [reading] does not provide a proper guide for decisions and should not be deemed authoritative or controlling." *Id.* at 11. Instead, the political process doctrine is only to be applied to the limited circumstances when the "political restriction in question was designed to be used, or was likely to be used, to encourage infliction of injury by reason of race." *Id.* at 17.

The political process doctrine does not apply here because race is not a factor in the challenged laws. More importantly, the political process doctrine cannot apply because there was no injury—as required in the cases applying the doctrine—caused by the Commonwealth's passage of a constitutional amendment confirming the existing definition of marriage. Same-sex marriage proponents cannot argue the amendment inflicted any new harm upon same-sex couples. Before its passage, same-sex couples could not be married. After its passage same-sex couples could not be married. The passage of the Marshall/Newman Amendment was the policy choice of the General Assembly and the voters, after open public debate, to continue with the status quo. Thus, this case does not deal

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<sup>2</sup> A similar broad reading of *Seattle* has been proposed by the same-sex marriage proponents in this case, and under *Schuette* must be rejected by this court. (Doc. 130 *Harris* Class Br. at 47-48.)

with the taking away of a right previously held by same-sex couples as in the *Seattle* line of cases.

Justice Breyer noted this distinction in his concurring opinion in *Schuette*. In the *Seattle* line of cases, the “minorities had participated in the political process and they had won. The majority’s subsequent reordering of the political process repealed the minority’s successes and made it more difficult for the minority to succeed in the future.” *Id.* at 5 (J. Breyer, concurring in judgment). Such an injury—the taking away of a right previously won—is not present in the case at bar. Moreover, the political process doctrine has never been applied outside the context of invidious racial discrimination. Limiting use of the political process doctrine to racial discrimination is appropriate given the lengthy history of preventing racial minorities from meaningfully participating in the political process. *Id.* at 6-10 (J. Sotomayor dissenting). Extending the narrow political process doctrine to same-sex marriage proponents in this case would be the same impermissible, expansive reading of the *Seattle* line of cases employed by the Sixth Circuit Court of Appeals and rejected by the Supreme Court.

The plurality in *Schuette* based its ruling on the concept “that the courts may not disempower the voters from choosing which path to follow.” *Id.* at 11 (J. Kennedy, plurality). The Court also found the Michigan plaintiffs had not suffered a “specific injury” like the parties in cases where the political process doctrine had

previously been applied. *Id.* at 14. In those cases, a right based upon racial lines had been conferred, but then taken away, and the ability to reclaim that right was made more difficult by changing the political landscape. In *Schuette*, the Court found there was no such injury because the Michigan voters had merely made the decision “that race-based preferences granted by governmental entities should be ended.” *Id.* at 14. If the Court had removed the question from the public debate by using the political process doctrine, it would have “announce[d] a finding that the past 15 years of state public debate on this issue have been improper.” *Id.* at 14.

Both *Windsor* and the recent case of *Schuette* have relied upon *United States v. Bond* for the proposition that the federal system allows States to “respond through the enactment of positive law, to the initiative of those who seek a voice in shaping their own times.” *Id.* at 15; *Windsor*, 133 S. Ct. at 2692. Justice Kennedy went on to find:

[I]ndividual liberty has constitutional protection, and that liberty’s full extent and meaning may remain yet to be discovered and affirmed. Yet the freedom does not stop with individual rights. Our constitutional system embraces too, the rights of citizens to debate so they can learn and decide and then, through the political process, act in concert to try to shape the course of their own times and the course of a nation that must strive always to make freedom even greater and more secure.

*Id.* at 15-16.

Taking away the democratic process “would be an unprecedented restriction on the exercise of a fundamental right held not just by one person but by all in common. It is the right to speak and debate and learn and then, as a matter of political will, to act through a lawful electoral process.” *Id.* at 16. Taking difficult policy questions away from the electorate and out of the democratic process “is inconsistent with the underlying premises of a responsible, functioning democracy.” *Id.* at 16.

Same-sex marriage proponents routinely cite to statements made by politicians and others who sought passage of Virginia’s Marriage Laws as “proof” the laws were promoted purely by animus, hatred, homophobia and disdain for gays and lesbians. However, as noted in the Appellants’ prior briefs and a plethora of *amicus* filings, there are non-animus based justifications for these laws. In *Schuette*, Justice Kennedy recognized “[t]he process of public discourse and political debate should not be foreclosed even if there is a risk that during a public campaign there will be those, on both sides, who seek to use [ ] division and discord to their own political advantage.” *Id.* at 17. Democracy dictates the public look beyond, continue the debate, and form a consensus because “First Amendment dynamics would be disserved if this Court were to say that the question here at issue is beyond the capacity of the voters to debate and then to determine.” *Id.* at 17.

Absent a positive state law such as a statute, marriage would be defined and understood as being between a man and a woman. The traditional definition of marriage accords with history and the common law. The voters and the legislature of the Commonwealth passed laws confirming this understanding. Same-sex marriage proponents are certainly able and permitted to seek adoption of a positive law changing this definition. However, for the present, the democratic process in Virginia has operated, and these voices of the majority cannot be ignored without offending the First Amendment concerns cited by Justice Kennedy.

Chief Justice Roberts issued a brief concurring opinion in *Schuette* wherein he showed his support for the rights of the people to debate and decide difficult social issues. He noted “[p]eople can disagree in good faith on this issue, but it similarly does more harm than good to question the openness and candor of those on either side of the debate.” *Id.* at 2 (C.J. Roberts concurring).

Regrettably, same-sex marriage proponents want to short circuit the debate and prematurely declare their opponents will be adjudged to be on the wrong side of history. (*See e.g.* JA at 265-96.) This is exactly the type of questioning the openness and candor of the debate warned against by Chief Justice Roberts. Clerk Schaefer continues to appeal and defend Virginia’s Marriage Laws so the debate can continue and obtain final resolution—regardless of his personal beliefs and ideologies. Is it possible the majority of citizens in all of the states, including

Virginia, which have passed same-sex marriage prohibitions via voter initiatives or referendum passed these laws out of hatred or animus? Is a more reasoned and rational explanation that they simply have a different idea of the purpose and definition of marriage uninfluenced by animus towards gays and lesbians; a concept of marriage that has been the norm for millennia between persons who as a couple are physically capable of natural procreation?

**II. Virginia's Marriage Laws do not discriminate on sexual orientation and are not motivated solely by animus towards gays and lesbians.**

This Court should take care in defining the fundamental right at issue and class being discriminated against. The fundamental right asserted must be carefully and narrowly defined (not just the right to marry generally, but the right to same-sex marriage) *Washington v. Glucksberg*, 521 U.S. 702, 722-723, 117 S. Ct. 2258, 138 L.Ed.2d 772 (1997) (defining right at issue was not just the right to die but the right to commit suicide with assistance in doing so). Defining the class at issue is the first step in analyzing whether a group is being discriminated against. *Furnace v. Sullivan*, 705 F.3d 1021, 1030 (9th Cir. 2013). The proper class definition is “same sex couples desiring a [Virginia] marriage license.” *Bishop v. United States*, 962 F. Supp. 2d 1252, 1282 (N.D. Okla. 2014). The class at issue is not

homosexuals.<sup>3</sup> Where same-sex marriage is recognized, a heterosexual might enter into a same-sex marriage for reasons unrelated to sexuality just as a male homosexual might for reasons of his own marry a heterosexual woman. Recognizing same-sex marriage does not extend benefits to another class of people so much as it fundamentally changes the institution. The majority of prior Supreme Court precedent where marriage was found to be a fundamental right dealt with other, supplemental restrictions on marriage issues (e.g. race, incarceration, or child support), which did not change the fundamental definition of marriage. In contrast, requiring the State to redefine marriage to include same-sex couples would change the basic understanding and core concept of marriage.

The more searching rational basis review employed in *Romer v. Evans* only applies where the law's only purpose is animus—which is not the case here. The discrimination at issue in *Romer v. Evans* was irrational because there was no explanation for it other than to single out a group. Protection of children's interests was not at issue in *Romer*, as it is here. Traditional marriage has always been found legitimate, was not created to discriminate against homosexuals, and the motivation behind some individuals' recent re-affirmations of the traditional definition of marriage is irrelevant. Instead, “[m]arriage and procreation are fundamental to the very existence and survival of the race.” *Skinner v. Oklahoma*,

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<sup>3</sup> This case was brought as a facial challenge to Virginia's Marriage Laws. On their face, Virginia's Marriage Laws make no distinction based upon sexuality.

316 U.S. 535, 62 S. Ct. 1110, 86 L. Ed. 1655 (1942). Encouraging traditional marriage does not disparage other alternative parenting arrangements. State sanctioned marriage is not about acknowledging society's acceptance of two persons' love and commitment to one another. It is not about furthering or opposing the political and social objectives of a group or encouraging or discouraging the public to recognize gays and lesbians as part of society. State recognition of marriage exists to encourage a stable relationship between two persons who may be able to naturally procreate and provide a protective structure for their offspring in case they conceive children. Even the most narrowly tailored law promoting this legitimate government interest will sweep some couples which cannot or choose not to have children into the fold, but that does not invalidate the law and does not show animus towards homosexuals. Therefore, the standard, deferential version of rational basis review should be properly applied to Virginia's Marriage Laws.

### **III. Virginia's Marriage Laws do not discriminate based upon gender.**

"The Court agrees with the vast majority of courts considering the issue that an opposite-sex definition of marriage does not constitute gender discrimination."

*Bishop v. United States*, 962 F. Supp. 2d 1252, 1286 (N.D. Okla. 2014) (quoting *Jackson v. Abercrombie*, 884 F. Supp. 2d 1065, 1099 (D. Haw. 2012). Indeed, "[c]ommon sense dictates . . . the law cannot be subject to heightened scrutiny on"

the basis of gender discrimination. *Id.* The same-sex marriage proponents' attempt to characterize Virginia's Marriage Laws as discriminatory based upon gender are without merit and inconsistent with the majority of courts ruling on this question.

**IV. *Baker v. Nelson* has not been altered by subsequent doctrinal developments.**

All of the same-sex marriage proponents argue *Baker* is no longer controlling by citing to the "doctrinal developments" exception found in *Hicks v. Miranda*, 422 U.S. 332-44, 95 S. Ct. 2281, 45 L.Ed.2d 223 (1975). (See e.g. Rainey Br. at 70 ("a doctrinal sea change has occurred since *Baker*.")) However, they have not identified what the change has been which justifies ignoring *Baker*. *Windsor*, *Lawrence*, and *Romer*, are not such doctrinal changes. None of those cases apply heightened scrutiny or suspect class status to same-sex couples. In fact, those cases affirm that laws affecting same-sex couples and homosexuals are not entitled to anything but rational basis review. For example, *Lawrence v. Texas*, one of the cases routinely cited by same-sex marriage proponents as a doctrinal development since *Baker* expressly states it was not addressing same-sex marriage rights. *Lawrence v. Texas*, 539 U.S. 558, 578, 123 S.Ct. 2472, 156 L.Ed.2d 508 (2003). The Court wanted to make it clear, it was not changing its position on same-sex marriage, and to suggest otherwise is disingenuous. This consistent application of the law is anything but a doctrinal development worthy of ignoring

Supreme Court precedent directly on point. Moreover, *Schuette* further entrenches the concept that there is no compelling federal question presented when the issue, such as the States' right to define marriage, is a significant and contentious policy question best left to the democratic process and the First Amendment rights of all citizens. (See discussion of *Schuette v. BAMN* supra at Section I.b.).

## **V. Neither same-sex couples nor homosexuals are a suspect class.**

As explained in Clerk Schaefer's and Clerk McQuigg's opening briefs, the question of whether or not homosexuality or same-sex couples are a suspect classification subject to heightened scrutiny has already been answered by the Fourth Circuit and the Supreme Court in the negative.<sup>4</sup> Appellees attempt to undermine this binding authority by pointing out that *Thomasson v. Perry*, 80 F.3d 915 (4<sup>th</sup> Cir. 1996) and *Veney v. Wyche*, 293 F.3d 726 (4<sup>th</sup> Cir. 2002) were decided before the Supreme Court's ruling in *Lawrence v. Texas*. However, such a distinction is of no merit because *Lawrence* did not find homosexuals to be part of a suspect class either. Thus, a full analysis of the four factor test for recognizing a new suspect class is unnecessary.

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<sup>4</sup> Clerk Schaefer does not concede that homosexuality would qualify for heightened scrutiny if this Court were to apply the traditional four factors in deciding whether or not a class is suspect or quasi-suspect as suggested by Appellees. Rather, because there is binding precedent on this issue, such an analysis is not necessary. Moreover, a detailed factual inquiry, based upon facts not properly before this Court, would be necessary to fully address all four factors.

The Supreme Court's recent decision in *Windsor v. United States* continues the precedent of finding neither homosexuals nor same-sex couples to be suspect or quasi-suspect classifications. There, the Court did not apply heightened scrutiny to either class or even apply the four factor test to determine if they were a suspect or quasi-suspect class. By implication, this was an affirmation of the Court's prior refusal of find such classifications. This was done despite the fact same-sex marriage proponents had argued to the Court such a classification was warranted and the Second Circuit Court of Appeals had explicitly made such a finding. *See* e.g. *Windsor v. United States*, 699 F.3d 169, 181-85 (2d Cir. 2012).

## **VI. None of the Plaintiffs have standing or state a claim regarding the recognition portion of Virginia's Marriage Laws.**

One of the many important questions before this Court is whether the parties in this case are the proper parties for a challenge to Virginia's laws refusing to recognize same-sex marriages or civil unions validly entered into in other states. The Amended Complaint failed to state any facts showing any of the Plaintiffs suffered an injury from the recognition laws due to any act by either Clerk Schaefer or Ms. Rainey.<sup>5</sup>

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<sup>5</sup> Ms. Rainey states in her brief “[t]he parties agreed that the State Registrar is the proper State defendant.” (Doc 126, Rainey Br. at 17.) Clerk Schaefer and his counsel are not party to any such agreement. Similarly, Appellees seem to take it as an irrefutable conclusion that Schall and Townley have standing and have stated a claim against Ms. Rainey. To the extent the question of whether or not Ms.

Other federal district court cases highlight the need for the proper facts and parties to obtain relief when challenging similar recognition laws. In *Obergefell v. Wymslo*, the constitutionality of Ohio's recognition law was at issue, but the plaintiffs in that case were surviving spouses from same-sex unions entered in other states but residing in Ohio at the time of death. *Obergefell v. Wymslo*, 962 F. Supp. 2d 968, 972-73 (S.D. Ohio 2013). Those plaintiffs sued state and local officials who refused to list the same-sex spouse from a valid out of state marriage as the surviving spouse on death certificates. *Id.* Thus, standing was not an issue because an application for a benefit (listing on a death certificate as the surviving spouse) was made and the right was denied by a government official. However, in *Bishop v. United States*, the parties did not have standing or raise a claim regarding Oklahoma's recognition statute because they only sued a local court clerk who, just like Clerk Schaefer, had no authority to recognize same-sex unions from other states. *Bishop v. United States*, 962 F. Supp. 2d 1252, 1273 (N.D. Okla. 2014). More importantly, the plaintiffs in Bishop had "not taken any steps to obtain recognition" of their out of state marriage by the public official named in their lawsuit. *Id.*

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Rainey is a proper party and standing exists to assert claims against her remains a question for this Court to answer, regardless of any agreement by the parties or failure to defend claims by Ms. Rainey.

Here, there is no question that Mr. Bostic and Mr. London have no standing or claim related to the recognition laws at issue. The same-sex marriage proponents all but concede this fact in their briefs. However, Ms. Rainey argues she is a proper public official because she is “responsible for promulgating the form, maintaining the record and overseeing enforcement of rules relating to birth certificates. . . [and] plays a role in the process [of adoption].” (Doc. 126, Rainey Br. at 30 n. 11.) Even if she could be a public official subject to suit regarding Virginia’s recognition laws, the proper allegations are absent. Schall and Townley make no allegation they attempted to have both their names placed on their daughter’s birth certificate or that any application to adopt E.S-T. has been made in Virginia.

Rainey goes on to argue she would be required to issue a new birth certificate “if the Registrar receives a certified copy of an adoption decree entered in another state together with the information necessary to establish a new birth certificate.” *Id.* However, those facts are similarly not alleged in this case. Schall and Townley have not alleged they submitted an out of state adoption decree or sought issuance of a birth certificate with both of them named as the parents. Moreover, it appears birth certificates have been issued to same-sex couples by the Department of Vital Statistics (with the approval of former Attorney General Kenneth Cuccinelli) naming both partners on a Virginia birth certificate after being presented with an adoption decree from another state. Vieth, Peter, 28 Virginia

Lawyers Weekly 43 at 1, Children of same-sex marriages recognized (Mar. 31, 2014) (available at <http://valawyersweekly.com/2014/03/28/children-of-same-sex-marriages-recognized/> last visited April 28, 2014, a copy of which is attached hereto as Exhibit A). Without the necessary facts to establish an injury inflicted by Ms. Rainey, it appears Schall and Townley have failed to allege any claim and lack standing to challenge the recognition laws.

## **VII. Virginia's Marriage Laws survive rational basis review.**

Appellees' arguments attempt to appeal to the Court's sense of fairness and equity by claiming same-sex couples have some characteristics similar to opposite-sex couples because they can enter personal relationships, raise children, and thus should be allowed to marry. However, simply having "a common characteristic shared by [same-sex couples] and [opposite-sex couples] alike, is not sufficient to invalidate a statute when other characteristics peculiar to only one group rationally explain the statute's different treatment of the two groups." *Johnson v. Robison*, 415 U.S. 361, 378, 94 S.Ct. 1160, 39 L.ED.2d 389 (1974). Just as in *Johnson*, the groups at issue are not similarly situated and the classification survives constitutional scrutiny. The procreative potential of opposite-sex couples rationally explains the line drawn by Virginia law. Moreover, no right has been given and then taken away. There is nothing in Virginia's Marriage Laws

preventing same-sex couples from having a family or creating a personal relationship. There is no impediment for them to express their love for one another.

Appellees also argue that Virginia's Marriage Laws cannot withstand constitutional scrutiny because marriage licenses are not a scarce commodity. (Doc. 129, Appellees' Br. at 57.) This distinction conveniently ignores the fact allowing same-sex marriages would make numerous governmental benefits available to same-sex couples which utilize scarce State resources, the very same governmental benefits which Appellees allege they are denied due to Virginia's Marriage Laws. (JA at 63-69.) Appellees then argue Virginia's Marriage Laws are not narrowly tailored enough to promote the government interest of pairing naturally procreative couples, but they do not suggest any narrower method that would pass constitutional scrutiny. In other words, they argue that since States cannot exclude infertile couples from marriage, the scope of marriage must also be expanded to include same-sex couples who cannot naturally procreate together. This line of argument creates a self-fulfilling prophecy—with no limiting principle—which could be used by any group to expand the right to fit their needs. For these reasons and those previously asserted by Clerk Schaefer, Clerk McQuigg and the numerous amicus briefs submitted to this Court, Virginia's Marriage Laws pass rational basis review.

## CONCLUSION

For the foregoing reasons, George E. Schaefer, III in his official capacity as the Clerk of Court for Norfolk Circuit Court, respectfully requests this Court reverse the district court's grant of summary judgment in favor of the Plaintiffs, vacate the permanent and preliminary injunctive relief granted by the district court, and remand this case with instructions to grant summary judgment in favor of the Defendants.

Respectfully submitted this 30<sup>th</sup> day of April, 2014,

GEORGE E. SCHAEFER, III, in his official capacity as Clerk of Court for Norfolk Circuit Court

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**CERTIFICATE OF COMPLIANCE WITH RULE 32(a)**

1. This brief complies with the type-volume limitation of Fed. R. App. P. 32(a)(7)(B) because this brief contains 5,983 words, excluding the parts of the brief exempted by Fed. R. App. P. 32(a)(7)(B)(iii).
2. This brief complies with the typeface requirements of Fed. R. App. P. 32(a)(5) and the type style requirements of Fed. R. App. P. 32(a)(6) because this brief has been prepared in a proportionally spaced typeface using Microsoft Word 2010 in 14 point Times New Roman font.

/s/ David B. Oakley

**CERTIFICATE OF SERVICE**

I hereby certify that on the 30<sup>th</sup> day of April, 2014, the foregoing document was filed with the Clerk of Court in paper format with the appropriate number of copies to the Court and using the CM/ECF system which will then send a notification of such filing (NEF) to the following:

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